

Combining Automated and Interactive Theorem Proving in Agda

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1. An Introduction to Agda
2. Integrating Automated Theorem Proving into Agda
3. Defining the Mini SAT Solver in Agda
4. Correctness Proof for the Mini SAT Solver

Basics of Agda

- ▶ The core of Agda is a very simple language.
- ▶ Functional programming language based on dependent types.
- ▶ Mainly used as an interactive theorem prover.
- ▶ Compiled version exists, prototype of a dependently typed programming language.

Algebraic Data Types

- ▶ Agda has **infinitely many type levels**, called

$$\text{Set} \subseteq \text{Set1} \subseteq \text{Set2} \subseteq \dots$$

- ▶ Algebraic data types can be introduced by determining their strictly positive constructors, e.g.

```
data ℕ : Set where
  zero  : ℕ
  suc   : ℕ → ℕ
```

Pattern Matching

- ▶ Once a set is introduced in this way functions can be defined
 - ▶ using **pattern matching**
 - ▶ **recursively**, as long as termination is accepted by the **termination checker**.
- ▶ Example

$$\text{double} : \mathbb{N} \rightarrow \mathbb{N}$$
$$\text{double zero} = \text{zero}$$
$$\text{double (suc } n) = \text{suc (suc (double } n))$$

Mixfix Symbols

- ▶ Agda allows mixfix symbols, with positions denoted by `_` e.g.

$$\begin{aligned} _+__ &: \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N} \\ n + \text{zero} &= n \\ n + \text{suc } m &= \text{suc } (n + m) \end{aligned}$$

- ▶ We replace `suc` by `_+1`, use builtin `ℕ` which allows 0 and obtain

$$\begin{aligned} _+__ &: \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N} \\ n + 0 &= n \\ n + (m + 1) &= (n + m) + 1 \end{aligned}$$

- ▶ It supports as well the use of Unicode symbols.
- ▶ This allows to write code which looks very close to mathematical code.

Dependent Types

Assume we have defined the type of matrices $\text{Mat } n \ m$ depending on dimensions n and m :

$$\text{Mat} : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \text{Set}$$

Then the type of matrix multiplication is

$$\begin{aligned} \text{matmult} &: (n \ m \ k : \mathbb{N}) \\ &\rightarrow \text{Mat } n \ m \\ &\rightarrow \text{Mat } m \ k \\ &\rightarrow \text{Mat } n \ k \end{aligned}$$

Dependent Algebraic Data Types

We can define the type of n -vectors (or n -tuples) based on a set X :
 ($\{n : \mathbb{N}\}$ denotes a hidden argument)

```
data Vector(X : Set) : ℕ → Set where
  []      : Vector X zero
  _ :: _  : X → {n : ℕ} → Vector X n → Vector X (n + 1)
```

e.g. (using the builtin natural numbers)

```
a  : Vector ℕ 3
a = 0 :: 1 :: 2 :: []
```


Logic in Agda

Logic in Agda (which is intuitionistic) is based on the principle of **propositions as types**:

- ▶ Propositions are elements of `Set`.
- ▶ Elements of propositions are proofs of this proposition.
- ▶ A proposition holds iff it has a proof.

Examples:

- ▶ The **true proposition**:

```
data T : Set where
  triv : T
```

\perp, \wedge, \vee

- ▶ The **false proposition**:

data \perp : Set where

Pattern matching on an empty data type (ex falsum quodlibet) is denoted as follows:

$$f : \perp \rightarrow \mathbb{N}$$

$$f ()$$

- ▶ **Conjunction**:

$_ \wedge _ (A B : \text{Set}) : \text{Set}$ where
and : $A \rightarrow B \rightarrow A \wedge B$

- ▶ **Disjunction**:

$_ \vee _ (A B : \text{Set}) : \text{Set}$ where
inl : $A \rightarrow A \vee B$
inr : $B \rightarrow A \vee B$

$\rightarrow, \neg, \forall, \exists$

- ▶ **Implication:** $A \rightarrow B$ is the function type $A \rightarrow B$.
- ▶ **Negation:** $\neg A = A \rightarrow \perp$.
- ▶ **Universal quantification:** $\forall x : A. \varphi$ is given as

$$(x : A) \rightarrow \varphi$$

- ▶ **Existential quantification:**

`data \exists (A : Set) (φ : A \rightarrow Set) : Set where`
`exists : (x : A) \rightarrow (φ x) \rightarrow \exists A φ`

- ▶ **Example:**

$\forall \epsilon > 0. \exists \delta > 0. \varphi(\epsilon, \delta)$ is written as

$$(\epsilon : \mathbb{Q}) \rightarrow \epsilon > 0 \rightarrow \exists \mathbb{Q} (\lambda \delta. \delta > 0 \wedge \varphi \epsilon \delta)$$

Decidable Prime Formulas

Booleans:

```
data  $\mathbb{B}$  : Set where
  tt  :  $\mathbb{B}$ 
  ff  :  $\mathbb{B}$ 
```

Atom converts Booleans into the corresponding formula:

```
Atom :  $\mathbb{B} \rightarrow$  Set
Atom tt  =  $\top$ 
Atom ff  =  $\perp$ 
```

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Main Idea

- ▶ Define a data type of codes for formulas in Agda:

$$\text{data For : Set where}$$

$$\dots$$

- ▶ Define what is meant by an environment, which e.g. assigns values to free variables, determines the state etc. We get

$$\text{Env : Set}$$

- ▶ Define a function $\llbracket _ \rrbracket$ which assigns to codes for formulas and environments the corresponding Agda formula:

$$\llbracket _ \rrbracket : \text{For} \rightarrow \text{Env} \rightarrow \text{Set}$$

Main Idea

Define a check function, which checks whether a formula is universally true:

$$\text{check} : \text{For} \rightarrow \mathbb{B}$$

Prove that check is correct:

$$\text{correctCheck} : (\varphi : \text{For}) \rightarrow \text{Atom} (\text{check } \varphi) \rightarrow (\xi : \text{Env}) \rightarrow \llbracket \varphi \rrbracket \xi$$

Implement in Agda a builtin version of check which calls an automated theorem proving tool. Declare check as a builtin:

$$\{-\# \text{ BUILTIN CHECK check } \#\}$$

Now when check is called for a closed element of For, instead of the (inefficient) Agda code the automated theorem prover is called.

Usage

Assume an Agda formula ψ , e.g.

$$\psi : \mathbb{B} \rightarrow \mathbb{B} \rightarrow \text{Set}$$

$$\psi \ b \ b' = (\text{Atom } b \wedge \text{Atom } b') \vee \neg(\text{Atom } b) \vee \neg(\text{Atom } b')$$

Assume that ψ has a code $\llbracket \psi \rrbracket$ in For, i.e.

$$\llbracket \psi \rrbracket : \text{For}$$

$$\llbracket \psi \rrbracket = \dots$$

s.t.

$$\llbracket \llbracket \psi \rrbracket \rrbracket [x \mapsto b, y \mapsto b'] = \psi \ b \ b'$$

Usage

$$\llbracket [\psi] \rrbracket [x \mapsto b, y \mapsto b'] = \psi \ b \ b'$$

Then we can prove this formula (which we could prove by hand) as follows:

```
theorem : (b b' :  $\mathbb{B}$ )  $\rightarrow$   $\psi$  b b'
```

```
theorem b b' = correctCheck  $[\psi]$  triv [x  $\mapsto$  b, y  $\mapsto$  b']
```

Type checking

```
triv : Atom (check  $[\psi]$ )
```

will require that

```
check  $[\psi]$ 
```

evaluates to tt.

This evaluation will activate the automated theorem proving tool.

Note that in the example above we obtain

```
theorem : (b b' :  $\mathbb{B}$ )  $\rightarrow$  (Atom b  $\wedge$  Atom b')  $\vee$   $\neg$ (Atom b)  $\vee$   $\neg$ (Atom b')
```

Interleaving Interactive and Automated Theorem Proving

This allows to combine both theorem proving techniques:

Interactive Theorem Proving



Automated Theorem Proving



Interactive Theorem Proving



Automated Theorem Proving



...

Simplicity of check

The function `check` will be defined in such a way that

- ▶ The definition is simple.
 - ▶ When using a builtin function, we need to check that the function fulfils the equations.
 - ▶ So we need to implement in Agda the verification that when using `check` its Agda definition is correct.
- ▶ The correctness proof is simple, so that it can be given in Agda.
- ▶ Efficiency is not a concern since its usage will be replaced by a call to an efficient automated theorem prover.

Security Concerns

An initial idea was to define a flexible builtin in Agda, which automatically calls a user-defined Haskell function.

Problem:

- ▶ Then one could write Agda code, which during type checking calls an arbitrary Haskell function.
- ▶ Such a function might erase your hard disk.

Solution:

- ▶ To define a new builtin needs to require some modification of the Agda type checking program.
- ▶ Users should be aware that if programming is involved there might be a security problem.
- ▶ They won't expect this from a proof code to be type checked.

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For

```

data For : Set where
  const   :  $\mathbb{B} \rightarrow$  For
  x       :  $\mathbb{N} \rightarrow$  For
  _ $\wedge$ for_ : For  $\rightarrow$  For  $\rightarrow$  For
  _ $\vee$ for_  : For  $\rightarrow$  For  $\rightarrow$  For
   $\neg$ for   : For  $\rightarrow$  For

```

check0 checks whether the formula holds if all variables are instantiated with tt:

```

check0 : For  $\rightarrow$   $\mathbb{B}$ 
check0 (const b)      = b
check0 (x n)          = tt
check0 ( $\varphi \wedge$ for  $\psi$ ) = check0  $\varphi \wedge^{\mathbb{B}}$  check0  $\psi$ 
check0 ( $\varphi \vee$ for  $\psi$ )  = check0  $\varphi \vee^{\mathbb{B}}$  check0  $\psi$ 
check0 ( $\neg$ for  $\varphi$ )     =  $\neg^{\mathbb{B}}$  (check0  $\varphi$ )

```

instantiate-

instantiate- φ b

- ▶ instantiates in φ variable $x\ 0$ by b
- ▶ replaces $x\ (n + 1)$ by $x\ n$

instantiate- : For \rightarrow $\mathbb{B} \rightarrow$ Forinstantiate- (const b) b' = const b instantiate- (x 0) b' = const b' instantiate- (x ($n + 1$)) b' = x n

$$\text{instantiate- } \left(\varphi \begin{array}{l} \wedge_{\text{for}} \\ \vee_{\text{for}} \end{array} \psi \right) b' = \begin{array}{l} \text{instantiate- } \varphi\ b' \\ \wedge_{\text{for}} \\ \vee_{\text{for}} \end{array} \text{instantiate- } \psi\ b'$$
instantiate- (\neg -for φ) b' = \neg -for (instantiate- φ b')

check1

check1 φ n checks whether φ is universally true if

- ▶ variables $(x\ 0) \cdots (x\ (n - 1))$ are arbitrary,
- ▶ other variables are instantiated by tt.

check1 : For \rightarrow $\mathbb{N} \rightarrow$ \mathbb{B}

check1 φ 0 = check0 φ

check1 φ ($n + 1$) = check1 (instantiate- φ tt) n
 $\wedge \mathbb{B}$ check1 (instantiate- φ ff) n

maxVar

maxVar returns

$$\max\{n + 1 \mid (x \ n) \text{ occurs in } \varphi\}$$

maxVar : For \rightarrow \mathbb{N}

maxVar (const b) = 0

maxVar (x n) = $n + 1$

maxVar ($\varphi \overset{\wedge}{\text{for}} \psi$) = max (maxVar φ) (maxVar ψ)

maxVar (\neg for φ) = maxVar φ

Now we define check:

check : For \rightarrow \mathbb{B}

check φ = check1 φ (maxVar φ)

Nondependent Types

- ▶ Until now the code was kept minimal, and didn't require dependent types.
- ▶ `check` depends on all of this code.
- ▶ When defining the builtin function all this codes needs to be reflected into Haskell.
 - ▶ Possible because no dependent types were used.
- ▶ The code in the following needs not to be translated into Haskell code.
 - ▶ We will use dependent types, and will no longer be minimalistic.

[[φ]]

Environments are given here as elements of $Vector \mathbb{B} n$ for some n .

- ▶ For $i < n$, variable $x\ i$ is instantiated by the i element of this vector,
- ▶ For $i \geq n$, variable $x\ i$ is instantiated by tt .

$$\begin{aligned}
 \llbracket - \rrbracket &: \text{For} \rightarrow \{n : \mathbb{N}\} \rightarrow \text{Vector } \mathbb{B} n \rightarrow \text{Set} \\
 \llbracket \text{const } b \rrbracket \vec{b} &= \text{Atom } b \\
 \llbracket x\ n \rrbracket [] &= \text{Atom } tt \\
 \llbracket x\ 0 \rrbracket (b :: \vec{b}) &= \text{Atom } b \\
 \llbracket x\ (n+1) \rrbracket (b :: \vec{b}) &= \llbracket x\ n \rrbracket \vec{b} \\
 \llbracket \varphi \wedge_{\text{for}} \psi \rrbracket \vec{b} &= \llbracket \varphi \rrbracket \vec{b} \wedge \llbracket \psi \rrbracket \vec{b} \\
 \llbracket \neg_{\text{for}} \varphi \rrbracket \vec{b} &= \neg (\llbracket \varphi \rrbracket \vec{b})
 \end{aligned}$$

$$\llbracket \varphi \rrbracket b$$

We have

$$\llbracket x\ 0 \wedge_{\text{for}} x\ 1 \rrbracket (b :: b' :: []) = \text{Atom } b \wedge \text{Atom } b'$$

We define as well $\llbracket \varphi \rrbracket b$ s.t.

$$\llbracket x\ 0 \wedge_{\text{for}} x\ 1 \rrbracket b (b :: b' :: []) = b \wedge_{\mathbb{B}} b'$$

$$\llbracket _ \rrbracket b : \text{For} \rightarrow \{n : \mathbb{N}\} \rightarrow \text{Vector } \mathbb{B} \ n \rightarrow \mathbb{B}$$

$$\llbracket \text{const } b \rrbracket b \vec{b} = b$$

$$\llbracket x\ n \rrbracket b [] = \text{tt}$$

$$\llbracket x\ 0 \rrbracket b (b :: \vec{b}) = b$$

$$\llbracket x\ (n+1) \rrbracket b (b :: \vec{b}) = \llbracket x\ n \rrbracket b \vec{b}$$

$$\llbracket \varphi \wedge_{\text{for}} \psi \rrbracket b \vec{b} = \llbracket \varphi \rrbracket b \vec{b} \wedge_{\mathbb{B}} \llbracket \psi \rrbracket b \vec{b}$$

$$\llbracket \neg_{\text{for}} \varphi \rrbracket b \vec{b} = \neg_{\mathbb{B}} (\llbracket \varphi \rrbracket b \vec{b})$$

$$\llbracket \varphi \rrbracket'$$

We define $\llbracket \varphi \rrbracket'$ s.t.

$$\llbracket x_0 \wedge \text{for } x_1 \rrbracket' (b :: b' :: []) = \text{Atom } (b \wedge_{\mathbb{B}} b')$$

$$\begin{aligned} \llbracket - \rrbracket' &: \text{For} \rightarrow \{n : \mathbb{N}\} \rightarrow \text{Vector } \mathbb{B} \ n \rightarrow \text{Set} \\ \llbracket \varphi \rrbracket' \vec{b} &= \text{Atom}(\llbracket \varphi \rrbracket \mathbf{b} \vec{b}) \end{aligned}$$

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Correctness of check0 and Induction Step of check1

lemma1 : $(\varphi : \text{For}) \rightarrow (\text{Atom} (\text{check0 } \varphi) \leftrightarrow \llbracket \varphi \rrbracket \llbracket \rrbracket)$

lemma2 : $(\varphi : \text{For})$
 $\rightarrow \{n : \mathbb{N}\} \rightarrow (\vec{b} : \text{Vector } \mathbb{B} (n + 1))$
 $\rightarrow (\llbracket \varphi \rrbracket \vec{b} \leftrightarrow \llbracket \text{instantiate- } \varphi (\text{head } \vec{b}) \rrbracket (\text{tail } \vec{b}))$

Correctness of check1

correctnessCheck1 : (φ : For)
→ (n : \mathbb{N})
→ (Atom (check1 φ n)
↔ (\vec{b} : Vector \mathbb{B} n) → $\llbracket \varphi \rrbracket \vec{b}$))

Independence of $\llbracket \varphi \rrbracket \vec{b}$ of Variables out of Range

Let

$$\begin{aligned} \text{truncateWithDefaultTt} : \{m : \mathbb{N}\} \rightarrow \text{Vector } \text{Bool } m \rightarrow (n : \mathbb{N}) \\ \rightarrow \text{Vector } \mathbb{B } m \end{aligned}$$

which

- ▶ truncates its argument to length n
- ▶ iff necessary fills it by tt.

$$\begin{aligned} \text{lemma4} : (\varphi : \text{For}) \\ \rightarrow (n : \mathbb{N}) \\ \rightarrow (\max \text{Var } \varphi \leq n) \\ \rightarrow \{m : \mathbb{N}\} \rightarrow (\vec{b} : \text{Vector } \mathbb{B } m) \\ \rightarrow (\llbracket \varphi \rrbracket \vec{b} \leftrightarrow \llbracket \varphi \rrbracket (\text{truncateWithDefaultTt } \vec{b } n)) \end{aligned}$$

Equivalence of $\llbracket \varphi \rrbracket \vec{b}$ and $\llbracket \varphi \rrbracket' \vec{b}$

lemma3 : (φ : For)
 $\rightarrow \{n : \mathbb{N}\} \rightarrow (\vec{b} : \text{Vector } \mathbb{B} \ n)$
 $\rightarrow (\llbracket \varphi \rrbracket \vec{b} \leftrightarrow \llbracket \varphi \rrbracket' \vec{b})$

Correctness of check

`correctnessCheck` : $(\varphi : \text{For})$
 $\rightarrow \text{Atom} (\text{check } \varphi)$
 $\rightarrow \{m : \mathbb{N}\} \rightarrow (\vec{b} : \text{Vector } \mathbb{B} \ m)$
 $\rightarrow \llbracket \varphi \rrbracket \vec{b}$

`correctnessCheck'` : $(\varphi : \text{For})$
 $\rightarrow \text{Atom} (\text{check } \varphi)$
 $\rightarrow \{m : \mathbb{N}\} \rightarrow (\vec{b} : \text{Vector } \mathbb{B} \ m)$
 $\rightarrow \llbracket \varphi \rrbracket' \vec{b}$

Example

x_0 : For
 $x_0 = x\ 0$

x_1 : For
 $x_1 = x\ 1$

example : For
 example = $((x_0 \wedge \text{for } x_1) \vee \text{for } (\neg \text{for } x_0)) \vee \text{for } (\neg \text{for } x_1)$

proof : $(b\ b' : \mathbb{B}) \rightarrow ((\text{Atom } b \wedge \text{Atom } b') \vee (\neg(\text{Atom } b)) \vee (\neg(\text{Atom } b')))$
 proof $b\ b' = \text{correctnessCheck example1 triv } (b :: (b' :: []))$

proof' : $(b\ b' : \mathbb{B}) \rightarrow \text{Atom}(((b \wedge \mathbb{B} b') \vee \mathbb{B} (\neg \mathbb{B} b)) \vee \mathbb{B} (\neg \mathbb{B} b'))$
 proof' $b\ b' = \text{correctnessCheck' example1 triv } (b :: (b' :: []))$

Conclusion

- ▶ Proof in case of the SAT solver relatively short and quite readable.
- ▶ Builtin tool has been implemented by Karim Kanso; problem that it is not part of official Agda, therefore difficult to maintain with new versions.
 - ▶ Need for a more flexible builtin mechanism in Agda.
- ▶ Karim Kanso is carrying the same out for Model checking (CTL).

Future Work

- ▶ Combine with semidecision procedure.
- ▶ Combine with automated theorem provers which provide certificates.