

# Pattern and Copattern matching

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Iteration, Recursion, Induction

Coiteration, Corecursion

Bisimilarity and Coinduction

Proofs by Coinduction of Bisimilarity in Transition Systems

Mixed Patterns and Copatterns

Unnesting of Pattern/Copattern Matching

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## $\mathbb{N}$ as an Initial Algebra

►  $\mathbb{N}$  is initial algebra of the functor  $F(X) = 1 + X$

►

$$\begin{array}{ccc} F(\mathbb{N}) = 1 + \mathbb{N} & \xrightarrow{0 + S} & \mathbb{N} \\ \downarrow F(g) = 1 + g & & \downarrow \exists! g \\ F(A) = 1 + A & \xrightarrow{f'} & A \end{array}$$

$f'$  can be decomposed as  $f' = a + f$

## Unique Iteration

$$\begin{array}{ccc}
 1 + \mathbb{N} & \xrightarrow{0 + S} & \mathbb{N} \\
 \downarrow 1 + g & & \downarrow \exists! g \\
 1 + A & \xrightarrow{a + f} & A
 \end{array}$$

Unique existence of  $g$  means **unique iteration**:

Given  $a : A$  and  $f : A \rightarrow A$  there exists a unique

$$\begin{aligned}
 g : \mathbb{N} &\rightarrow A \\
 g \ 0 &= a \\
 g \ (S \ n) &= f \ (g \ n) \\
 \text{i.e.} \\
 g \ (S^n \ 0) &= f^n \ a
 \end{aligned}$$

## Induction

- From the principle of unique iteration we can prove the principle of induction:

Assume  $A : \mathbb{N} \rightarrow \text{Set}$ ,  $a : A \ 0$  and  $f : (n : \mathbb{N}) \rightarrow A \ n \rightarrow A \ (S \ n)$

There exists a unique

$$\begin{aligned}
 g : (n : \mathbb{N}) &\rightarrow A \ n \\
 g \ 0 &= a \\
 g \ (S \ n) &= f \ n \ (g \ n)
 \end{aligned}$$

- Using induction we can prove that if we have two solutions for a iteration or recursion principle, they are pointwise equal, i.e. uniqueness of iteration and recursion.

## Unique Recursion

- From the principle of unique iteration we can prove the principle of unique (primitive) recursion:

Given  $a : A$  and  $f : \mathbb{N} \rightarrow A \rightarrow A$  there exists a unique

$$\begin{aligned}
 g : \mathbb{N} &\rightarrow A \\
 g \ 0 &= a \\
 g \ (S \ n) &= f \ n \ (g \ n)
 \end{aligned}$$

## Pattern Matching

- The above means that we can define

$$\begin{aligned}
 g : (n : \mathbb{N}) &\rightarrow A \ n \\
 g \ 0 &= a \quad \text{for some } a : A \\
 g \ (S \ n) &= a' \quad \text{for some } a' : A \text{ depending on } n
 \end{aligned}$$

where in the second case we can use the **recursion hypothesis** or **induction hypothesis**  $g \ n$ .

- This means we can define  $g \ n$  by **pattern matching** on  $n : \mathbb{N}$ .

## Iteration, Recursion, Induction

## Theorem

Assume  $\mathbb{N} : \text{Set}$ ,  $0 : \mathbb{N}$ ,  $S : \mathbb{N} \rightarrow \mathbb{N}$ .

The following are equivalent

- ▶ The principle of unique iteration.
- ▶ The principle of unique recursion.
- ▶ The principle of unique induction.
- ▶ The principle of induction.

## Streams as a Final Coalgebra

- ▶ Dual of  $+$  is  $\times$ , so we use for clarity a functor using product rather than disjoint union:
- ▶ Stream is the final coalgebra of  $F(X) = \mathbb{N} \times X$

$$\begin{array}{ccc}
 X & \xrightarrow{f} & \mathbb{N} \times X = F(X) \\
 \exists! g \downarrow & & \downarrow \text{id} \times g = F(g) \\
 \text{Stream} & \xrightarrow{\text{head} \times \text{tail}} & \mathbb{N} \times \text{Stream} = F(\text{Stream})
 \end{array}$$

- ▶ We can decompose  $f$  as

$$f = f_0 \times f_1$$

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## Unique Coiteration

$$\begin{array}{ccc}
 X & \xrightarrow{f_0 \times f_1} & \mathbb{N} \times X \\
 \exists! g \downarrow & & \downarrow \text{id} \times g \\
 \text{Stream} & \xrightarrow{\text{head} \times \text{tail}} & \mathbb{N} \times \text{Stream}
 \end{array}$$

This corresponds to the principle of unique coiteration:  
There exists a unique

$$\begin{aligned}
 g : A &\rightarrow \text{Stream} \\
 \text{head}(g\ x) &= f_0\ x \\
 \text{tail}(g\ x) &= g(f_1\ x)
 \end{aligned}$$

## Unique Coiteration

- We had:

$$\begin{aligned} \text{head } (g \ x) &= f_0 \ x \\ \text{tail } (g \ x) &= g \ (f_1 \ x) \end{aligned}$$

- By choosing  $f_0, f_1$  we can define  $g : X \rightarrow \text{Stream}$  s.t.

$$\begin{aligned} \text{head } (g \ x) &= n \quad \text{for some } n : \mathbb{N} \text{ depending on } x \\ \text{tail } (g \ x) &= g \ x' \quad \text{for some } x' : X \text{ depending on } x \end{aligned}$$

## Unique Corecursion

- From unique coiteration we can derive **unique corecursion**:  
There exists a unique

$$\begin{aligned} g : A &\rightarrow \text{Stream} \\ \text{head } (g \ x) &= n \quad \text{for some } n : \mathbb{N} \text{ depending on } x \\ \text{tail } (g \ x) &= g \ x' \quad \text{for some } x' : X \text{ depending on } x \\ &\text{or} \\ &= s \quad \text{for some } s : \text{Stream} \text{ depending on } x \end{aligned}$$

- This means we can define  $g \ x$  by **copattern matching**

## Examples

- We can define

$$\begin{aligned} \text{cons} &: (\mathbb{N} \times \text{Stream}) \rightarrow \text{Stream} \\ \text{head } (\text{cons}(n, s)) &= n \\ \text{tail } (\text{cons}(n, s)) &= s \end{aligned}$$

Note: `cons` not primitive but **defined** by corecursion

$$\begin{aligned} \text{inc} &: \mathbb{N} \rightarrow \text{Stream} \\ \text{head } (\text{inc } n) &= n \\ \text{tail } (\text{inc } n) &= \text{inc } (n + 1) \end{aligned}$$

## Examples

$$\begin{aligned} \text{inc}' &: \mathbb{N} \rightarrow \text{Stream} \\ \text{head } (\text{inc}'(n)) &= n \\ \text{tail } (\text{inc}'(n)) &= \text{inc}''(n + 1) \end{aligned}$$

$$\begin{aligned} \text{inc}'' &: \mathbb{N} \rightarrow \text{Stream} \\ \text{head } (\text{inc}''(n)) &= n \\ \text{tail } (\text{inc}''(n)) &= \text{inc}'(n + 1) \end{aligned}$$

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## Bisimilarity

- ▶ That  $\sim$  is a  $F^{\sim}$  coalgebra means there exist

$$\begin{aligned} \text{elim}_{\sim} &: (s, s' : \text{Stream}) \\ &\rightarrow s \sim s' \\ &\rightarrow (\text{head } s = \text{head } s') \times (\text{tail } s \sim \text{tail } s') \end{aligned}$$

i.e.

$$s \sim s' \rightarrow (\text{head } s = \text{head } s') \wedge ((\text{tail } s) \sim (\text{tail } s'))$$

- ▶ Let  $\text{elim}_{\sim}^0$  and  $\text{elim}_{\sim}^1$  the two components of  $\text{elim}_{\sim}$ ,

$$\text{elim}_{\sim}^0 : (s, s' : \text{Stream}) \rightarrow s \sim s' \rightarrow \text{head } s = \text{head } s'$$

$$\text{elim}_{\sim}^1 : (s, s' : \text{Stream}) \rightarrow s \sim s' \rightarrow \text{tail } s \sim \text{tail } s'$$

and hide the first two arguments of  $\text{elim}_{\sim}^i$ .

## Bisimilarity

- ▶ Bisimilarity  $\sim$  on Streams is an **indexed final coalgebra**.
- ▶ Consider the category  $\text{Set}^{\text{Stream} \times \text{Stream}}$  of binary relations

$$\varphi : \text{Stream} \times \text{Stream} \rightarrow \text{Set}$$

- ▶ Let

$$F^{\sim} : \text{Set}^{\text{Stream} \times \text{Stream}} \rightarrow \text{Set}^{\text{Stream} \times \text{Stream}}$$

$$F^{\sim}(\varphi, (s, s')) = (\text{head } s = \text{head } s') \times \varphi (\text{tail } s, \text{tail } s')$$

## Bisimilarity

- ▶ That  $\sim$  is a final  $F^{\sim}$ -coalgebra means that it is the largest such relation:

$$\begin{array}{ccc} \varphi(s, s') & \xrightarrow{f} & \text{head } s = \text{head } s' \wedge \varphi(\text{tail } s, \text{tail } s') \\ \exists! g \downarrow & & \downarrow \text{id} \wedge g \\ s \sim s' & \xrightarrow{\text{elim}_{\sim}} & \text{head } s = \text{head } s' \wedge (\text{tail } s) \sim (\text{tail } s') \end{array}$$

- ▶ This means that

$$\forall s, s'. \varphi(s, s') \rightarrow \text{head } s = \text{head } s' \wedge \varphi(\text{tail } s, \text{tail } s')$$

then

$$\forall s, s'. \varphi(s, s') \rightarrow s \sim s'$$

## Bisimilarity

- ▶ So we have

$$s \sim s' \rightarrow \text{head } s = \text{head } s' \wedge (\text{tail } s) \sim (\text{tail } s')$$

and if

$$\forall s, s'. \varphi (s, s') \rightarrow \text{head } s = \text{head } s' \wedge \varphi (\text{tail } s, \text{tail } s')$$

then

$$\forall s, s'. \varphi (s, s') \rightarrow s \sim s'$$

## Coinduction

## Theorem

Assume  $\text{Stream} : \text{Set}$ ,  $\text{head} : \text{Stream} \rightarrow \mathbb{N}$ ,  $\text{tail} : \text{Stream} \rightarrow \text{Stream}$ .

The following are equivalent

- ▶ The principle of unique coiteration.
- ▶ The principle of unique corecursion.
- ▶ The principle of iteration together with the principle that bisimilarity  $\sim$  implies equality

$$\forall s, s' : \text{Stream}. s \sim s' \rightarrow s = s'$$

Because of the possibility of defining elements of  $s \sim s'$  the latter can be considered as a **principle of coinduction**.

## Corecursive Proof of Bisimilarity

- ▶ Because  $\sim$  is a final coalgebra we can compute proofs of it by corecursion:

- ▶ We can define

$$f : (s, s' : \text{Stream}) \rightarrow \varphi s s' \rightarrow s \sim s'$$

$$\text{elim}_{\sim}^0 (f s s' x) = \text{an element of head } s = \text{head } s'$$

$$\text{elim}_{\sim}^0 (f s s' x) = \text{an element of } (\text{tail } s) \sim (\text{tail } s')$$

where in the last line we can use

- ▶ either a proof of  $\text{tail } s \sim \text{tail } s'$  defined before
- ▶ or use the corecursion hypothesis  $f (\text{tail } s) (\text{tail } s') x'$  for some  $x' : \varphi (\text{tail } s) (\text{tail } s')$

## Principle of Coinduction

- ▶ Let  $\varphi : \text{Stream} \rightarrow \text{Stream} \rightarrow \text{Set}$ .

- ▶ We can prove

$$\forall s, s' : \text{Stream}. \varphi s s' \rightarrow s = s'$$

by showing

$$\forall s, s' : \text{Stream}. \varphi s s' \rightarrow \text{head } s = \text{head } s'$$

$$\forall s, s' : \text{Stream}. \varphi s s' \rightarrow \text{tail } s = \text{tail } s'$$

where for proving  $\text{tail } s = \text{tail } s'$  we can use the coinduction hypothesis that  $\varphi (\text{tail } s) (\text{tail } s')$  implies  $\text{tail } s = \text{tail } s'$ .

## Indexed Coinduction

- ▶ Instead of defining  $\varphi$  as a predicate  $\text{Stream} \rightarrow \text{Stream} \rightarrow \text{Set}$  we can assume

$A : \text{Set}$   
 $s, t : A \rightarrow \text{Stream}$   
 and define

$$\varphi s' t' = (a : A) \times (s' = s a) \times (t' = t a)$$

- ▶ Coinduction of  $\varphi$  becomes then the principle of indexed coinduction (see next slide)

## Example Proof by Coinduction

- ▶ Remember

$$\begin{aligned} \text{inc} & : \mathbb{N} \rightarrow \text{Stream} \\ \text{head}(\text{inc } n) & = n \\ \text{tail } (\text{inc } n) & = \text{inc } (n + 1) \end{aligned}$$

$$\begin{aligned} \text{inc}' & : \mathbb{N} \rightarrow \text{Stream} \\ \text{head}(\text{inc}'(n)) & = n \\ \text{tail } (\text{inc}'(n)) & = \text{inc}''(n + 1) \end{aligned}$$

$$\begin{aligned} \text{inc}'' & : \mathbb{N} \rightarrow \text{Stream} \\ \text{head}(\text{inc}''(n)) & = n \\ \text{tail } (\text{inc}''(n)) & = \text{inc}'(n + 1) \end{aligned}$$

## Indexed Coinduction

- ▶ Assume

$$\begin{aligned} A & : \text{Set} \\ s_0, s_1 & : A \rightarrow \text{Stream} \end{aligned}$$

- ▶ We can prove

$$\forall a : A. s_0 a = s_1 a$$

by showing

$$\begin{aligned} \forall a : A. \text{head } (s a) & = \text{head } (t a) \\ \forall a : A. \text{tail } (s a) & = \text{tail } (t a) \end{aligned}$$

where for proving  $\text{tail } (s a) = \text{tail } (t a)$  we can use that  $\text{tail } (s a) = s a'$  and  $\text{tail } (t a) = t a'$  and therefore by **coinduction-hypothesis**  $s a' = t a'$ .

## Example Proof by Coinduction

- ▶ We show

$$\forall n \in \mathbb{N}. \text{inc}' n = \text{inc } n \wedge \text{inc}'' n = \text{inc } n$$

- ▶ Formally we would use in the above

$$\begin{aligned} A & = \mathbb{N} + \mathbb{N} \\ s \text{ (inl } n) & = \text{inc}' n \\ s \text{ (inr } n) & = \text{inc}'' n \\ t \text{ (inl } n) & = \text{inc } n \\ t \text{ (inr } n) & = \text{inc } n \\ \text{and show} \\ \forall a : A. s a & = t a \end{aligned}$$

## Example Proof by Coinduction

- ▶ Proof of

$$\forall n \in \mathbb{N}. \text{inc}' n = \text{inc } n \wedge \text{inc}'' n = \text{inc } n$$

- ▶ Assume  $n : \mathbb{N}$ .

$$\text{head}(\text{inc}' n) = n = \text{head}(\text{inc } n)$$

$$\text{head}(\text{inc}'' n) = n = \text{head}(\text{inc } n)$$

$$\text{tail}(\text{inc}' n) = \text{inc}''(n+1) \stackrel{\text{co-IH}}{=} \text{inc}(n+1) = \text{tail}(\text{inc } n)$$

$$\text{tail}(\text{inc}'' n) = \text{inc}'(n+1) \stackrel{\text{co-IH}}{=} \text{inc}(n+1) = \text{tail}(\text{inc } n)$$

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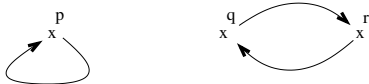
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## Bisimilarity

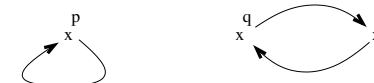
- ▶ Consider the following (unlabelled) transition system:



- ▶ Bisimilarity is the final coalgebra

$$p \sim q \rightarrow \left( \begin{array}{l} \forall p'. p \rightarrow p' \\ \rightarrow \exists q'. q \rightarrow q' \wedge p' \sim q' \\ \wedge \dots \text{symmetric case} \dots \end{array} \right)$$

## Proof using the Definition of $\sim$



- ▶ We show  $p \sim q \wedge p \sim r$  by coinduction:

- ▶ **Coinduction step for  $p \sim q$ :**

- ▶ Assume  $p \rightarrow p'$ . Then  $p' = p$ .  
We have  $q \rightarrow r$  and by co-IH  $p \sim r$ .
- ▶ Assume  $q \rightarrow q'$ . Then  $q' = r$ .  
We have  $p \rightarrow p$  and by co-IH  $p \sim r$ .

- ▶ **Coinduction step for  $p \sim r$ :**

- ▶ Assume  $p \rightarrow p'$ . Then  $p' = p$ .  
We have  $r \rightarrow q$  and by co-IH  $p \sim q$ .
- ▶ Assume  $r \rightarrow r'$ . Then  $r' = q$ .  
We have  $p \rightarrow p$  and by co-IH  $p \sim q$ .



## Traditional Argument of Proving Bisimilarity

- ▶ The standard argument for showing  $p \sim q \wedge p \sim r$  is as follows:  
Define a relation  $\varphi$  on states by

$$\varphi(p', q') \Leftrightarrow p' = p \wedge (q' = q \vee q' = r)$$

Show  $\varphi$  is a simulation:

$$\begin{aligned} \forall p, p', q. \varphi(p, q) \wedge p \longrightarrow p' &\Rightarrow \exists q'. q \longrightarrow q' \wedge \varphi(p', q') \\ \forall p, q, q'. \varphi(p, q) \wedge q \longrightarrow q' &\Rightarrow \exists p'. p \longrightarrow p' \wedge \varphi(p', q') \end{aligned}$$

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## Comparison with Proofs by Induction

- ▶ We can compare both proofs to proofs by induction on natural number. Consider a proof of

$$\forall n, m, k. n + (m + k) = (n + m) + k$$

- ▶ The traditional proof would corresponds to defining a relation

$$R(k) \Leftrightarrow \forall n, m. n + (m + k) = (n + m) + k$$

and showing

$$R(0) \wedge \forall n. R(n) \rightarrow R(S(n))$$

- ▶ Although this argument and the standard inductive proof using the induction hypothesis are equivalent, the standard inductive proof is more convenient and easier to follow.
- ▶ We hope that proofs by coinduction will similarly be easier if we do it by referring to the coinduction hypothesis.

## Nested Pattern Matching

- ▶ Course of Value primitive recursion allows deep pattern matching.  
E.g. we can define the Fibonacci numbers

$$\begin{aligned} \text{fib} : \mathbb{N} &\rightarrow \mathbb{N} \\ \text{fib } 0 &= 1 \\ \text{fib } (S \ 0) &= 1 \\ \text{fib } (S \ (S \ n)) &= \text{fib } n + \text{fib } (S \ n) \end{aligned}$$

- ▶ We can now even mix pattern and copattern matching.

## Example Mixed Pattern/Copattern Matching

- ▶ We can define now functions by patterns and copatterns.
- ▶ Example define stream:  
 $f\ n =$   
 $n, n, n-1, n-1, \dots 0, 0, N, N, N-1, N-1, \dots 0, 0, N, N, N-1, N-1,$

## Results of paper in POPL (2013)

- ▶ Development of a recursive simply typed calculus (no termination check).
- ▶ Allows to derive schemata for pattern/copattern matching.
- ▶ Proof that subject reduction holds.

$$t : A, \quad t \longrightarrow t' \text{ implies } t' : A$$

- ▶ Subject reduction fails when using codata types in combination with the equality type (e.g. in Coq and early versions of Agda).

## Example Mixed Pattern/Copattern Matching

$$f\ n = n, n, n-1, n-1, \dots 0, 0, N, N, N-1, N-1, \dots 0, 0, N, N, N-1, N-1,$$

$$f : \mathbb{N} \rightarrow \text{Stream}$$

$$f = ?$$

$$f : \mathbb{N} \rightarrow \text{Stream}$$

$$f = ?$$

Copattern matching on  $f : \mathbb{N} \rightarrow \text{Stream}$ :

$$f : \mathbb{N} \rightarrow \text{Stream}$$

$$f\ n = ?$$

$$f : \mathbb{N} \rightarrow \text{Stream}$$

$$f\ n = ?$$

**Copattern matching** on  $f\ n : \text{Stream}$ :

$$f : \mathbb{N} \rightarrow \text{Stream}$$

$$\text{head}(f\ n) = ?$$

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## Consider Example from above

$$\begin{aligned}
 f &: \mathbb{N} \rightarrow \text{Stream} \\
 \text{head } (f \ n) &= n \\
 \text{head } (\text{tail } (f \ n)) &= n \\
 \text{tail } (\text{tail } (f \ 0)) &= f \ N \\
 \text{tail } (\text{tail } (f \ (S \ n))) &= f \ n
 \end{aligned}$$

We show how this example can be reduced to unnested (co)pattern matching.

In a second step (not shown today) one can reduce it to primitive (co)recursion operators.

**Copattern matching** on  $\text{tail } (f \ n)$ :

$$\begin{aligned}
 f &: \mathbb{N} \rightarrow \text{Stream} \\
 \text{head } (f \ n) &= n \\
 \text{head } (\text{tail } (f \ n)) &= n \\
 \text{tail } (\text{tail } (f \ n)) &= ?
 \end{aligned}$$

corresponds to

$$\begin{aligned}
 f &: \mathbb{N} \rightarrow \text{Stream} \\
 \text{head } (f \ n) &= n \\
 \text{tail } (f \ n) &= g \ n \\
 \\
 g &: \mathbb{N} \rightarrow \text{Stream} \\
 (\text{head } (\text{tail } (f \ n))) &= \text{head } (g \ n) = n \\
 (\text{tail } (\text{tail } (f \ n))) &= \text{tail } (g \ n) = ?
 \end{aligned}$$

## Unnesting of Nested (Co)Pattern Matching

We follow the steps in the pattern matching:

We start with

$$\begin{aligned}
 f &: \mathbb{N} \rightarrow \text{Stream} \\
 \text{head } (f \ n) &= n \\
 \text{tail } (f \ n) &= ?
 \end{aligned}$$

**Pattern matching** on  $\text{tail } (\text{tail } (f \ n))$ :

$$\begin{aligned}
 f &: \mathbb{N} \rightarrow \text{Stream} \\
 \text{head } (f \ n) &= n \\
 \text{head } (\text{tail } (f \ n)) &= n \\
 \text{tail } (\text{tail } (f \ 0)) &= f \ N \\
 \text{tail } (\text{tail } (f \ (S \ n))) &= f \ n
 \end{aligned}$$

corresponds to

$$\begin{aligned}
 f &: \mathbb{N} \rightarrow \text{Stream} \\
 \text{head } (f \ n) &= n \\
 \text{tail } (f \ n) &= g \ n \\
 \\
 g &: \mathbb{N} \rightarrow \text{Stream} \\
 (\text{head } (\text{tail } (f \ n))) &= \text{head } (g \ n) = n \\
 (\text{tail } (\text{tail } (f \ n))) &= \text{tail } (g \ n) = k \ n \\
 \\
 k &: \mathbb{N} \rightarrow \text{Stream} \\
 (\text{tail } (\text{tail } (f \ 0))) &= k \ 0 = f \ N \\
 (\text{tail } (\text{tail } (f \ (S \ n)))) &= k \ (S \ n) = f \ n
 \end{aligned}$$

## Conclusion

- ▶ Principle of induction is well established and makes proofs much easier.
- ▶ In theoretical computer science coinductive principles occur frequently.
  - ▶ Main reason: interactive programs running continuously in various frameworks (imperative, object-oriented, process-calculi)
- ▶ Coalgebras as being defined by their eliminators rather than infinite applications of constructors makes clear when recursive calls are allowed.
- ▶ Proofs by coinduction in the above situation can be carried out similarly as proofs by induction.
- ▶ Main difficulty: when are we allowed to apply co-IH?
  - ▶ In the corecursion step we have a proof obligation, and can use the co-IH to prove it.

## Conclusion

- ▶ Copattern matching as the dual of pattern matching.
  - ▶ Pattern matching is an elimination principle for inductive types (initial algebras).
  - ▶ Copattern matching is an introduction principle for coinductive types (final coalgebras).
- ▶ Mixed pattern and copattern matching can be reduced to simple pattern and copattern matching.