## Pattern and Copattern matching

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Iteration, Recursion, Induction

Coiteration, Corecursion

**Bisimilarity and Coinduction** 

Proofs by Coinduction of Bisimilarity in Transition Systems

Mixed Patterns and Copatterns

Unnesting of Pattern/Copattern Matching

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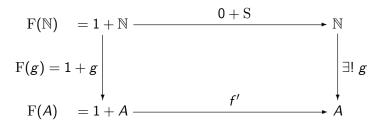
#### Iteration, Recursion, Induction

- Coiteration, Corecursion
- **Bisimilarity and Coinduction**
- Proofs by Coinduction of Bisimilarity in Transition Systems
- Mixed Patterns and Copatterns
- Unnesting of Pattern/Copattern Matching

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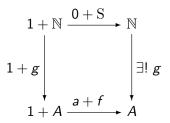
## ${\mathbb N}$ as an Initial Algebra

•  $\mathbb{N}$  is initial algebra of the functor F(X) = 1 + X



f' can be decomposed as f' = a + f

### Unique Iteration



Unique existence of g means **unique iteration**:

Given a: A and  $f: A \rightarrow A$  there exists a unique

$$g: \mathbb{N} \to A$$
  

$$g \ 0 = a$$
  

$$g \ (S \ n) = f \ (g \ n)$$
  
i.e  

$$g \ (S^n \ 0) = f^n \ a$$

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# **Unique Recursion**

From the principle of unique iteration we can prove the principle of unique (primitive) recursion:

Given a: A and  $f: \mathbb{N} \to A \to A$  there exists a unique

$$g: \mathbb{N} \to A$$
  

$$g 0 = a$$
  

$$g (S n) = f n (g n)$$

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## Induction

From the principle of unique iteration we can prove the principle of induction:

Assume  $A : \mathbb{N} \to \text{Set}$ ,  $a : A \mid 0$  and  $f : (n : \mathbb{N}) \to A \mid n \to A \mid (S \mid n)$ There exists a unique

$$g: (n:\mathbb{N}) \to A n$$
  

$$g 0 = a$$
  

$$g (S n) = f n (g n)$$

 Using induction we can prove that if we have two solutions for a iteration or recursion principle, they are pointwise equal, i.e. uniqueness of iteration and recursion.

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# Pattern Matching

► The above means that we can define

$$g: (n: \mathbb{N}) \to A n$$
  

$$g \ 0 = a \quad \text{for some } a: A$$
  

$$g \ (S n) = a' \quad \text{for some } a': A \text{ depending on } n$$

where in the second case we can use the **recursion hypothesis** or **induction hypothesis** g n.

• This means we can define g n by **pattern matching** on  $n : \mathbb{N}$ .

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## Iteration, Recursion, Induction

#### Theorem

Assume  $\mathbb{N}$  : Set,  $0 : \mathbb{N}$ ,  $S : \mathbb{N} \to \mathbb{N}$ . The following are equivalent

- The principle of unique iteration.
- The principle of unique recursion.
- The principle of unique induction.
- The principle of induction.

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Iteration, Recursion, Induction

Coiteration, Corecursion

**Bisimilarity and Coinduction** 

Proofs by Coinduction of Bisimilarity in Transition Systems

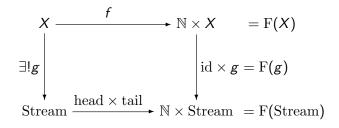
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## Streams as a Final Coalgebra

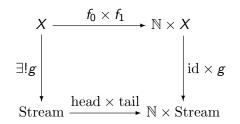
- Dual of + is ×, so we use for clarity a functor using product rather than disjoint union:
- Stream is the final coalgebra of  $F(X) = \mathbb{N} \times X$



▶ We can decompose *f* as

$$f = f_0 \times f_1$$

# Unique Coiteration



This corresponds to the principle of unique coiteration: There exists a unique

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## Unique Coiteration

► We had:

head 
$$(g x)$$
) =  $f_0 x$   
tail  $(g x)$  =  $g(f_1 x)$ 

• By choosing  $f_0$ ,  $f_1$  we can define  $g: X \to \text{Stream s.t.}$ 

head 
$$(g x) = n$$
 for some  $n : \mathbb{N}$  depending on x  
tail  $(g x) = g x'$  for some  $x' : X$  depending on x

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# Unique Corecursion

 From unique coiteration we can derive unique corecursion: There exists a unique

$$g: A \rightarrow \text{Stream}$$
  
head  $(g x) = n$  for some  $n : \mathbb{N}$  depending on  $x$   
tail  $(g x) = g x'$  for some  $x' : X$  depending on  $x$   
or  
 $= s$  for some  $s : \text{Stream}$  depending on  $x$ 

► This means we can define g x by copattern matching

### Examples

We can define

Note: cons not primitive but **defined** by corecursion

inc :  $\mathbb{N} \to \text{Stream}$ head (inc n) = n tail (inc n) = inc (n+1)

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## **Examples**

$$\begin{array}{rcl} \operatorname{inc}' & : & \mathbb{N} \to \operatorname{Stream} \\ \operatorname{head} & (\operatorname{inc}'(n)) & = & n \\ \operatorname{tail} & (\operatorname{inc}'(n)) & = & \operatorname{inc}''(n+1) \end{array}$$

$$\begin{array}{rcl} \operatorname{inc}'' & : & \mathbb{N} \to \operatorname{Stream} \\ \operatorname{head} & (\operatorname{inc}''(n)) & = & n \\ \operatorname{tail} & (\operatorname{inc}''(n)) & = & \operatorname{inc}'(n+1) \end{array}$$

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Iteration, Recursion, Induction

Coiteration, Corecursion

#### **Bisimilarity and Coinduction**

Proofs by Coinduction of Bisimilarity in Transition Systems

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- ► Bisimilarity ~ on Streams is an **indexed final coalgebra**.
- $\blacktriangleright$  Consider the category  $\mathrm{Set}^{\mathrm{Stream} \times \mathrm{Stream}}$  of binary relations

 $\varphi: \operatorname{Stream} \times \operatorname{Stream} \to \operatorname{Set}$ 

#### Let

$$\begin{array}{l} \mathrm{F}^{\sim} : & \mathrm{Set}^{\mathrm{Stream} \times \mathrm{Stream}} \to \mathrm{Set}^{\mathrm{Stream} \times \mathrm{Stream}} \\ \mathrm{F}^{\sim}(\varphi, (s, s')) = (\mathrm{head} \; s = \mathrm{head} \; s') \times \varphi \; (\mathrm{tail} \; s, \mathrm{tail} \; s') \end{array}$$

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#### $\blacktriangleright$ That $\sim$ is a $F^{\sim}$ coalgebra means there exist

$$\operatorname{elim}_{\sim} : (s, s' : \operatorname{Stream}) \\ \to s \sim s' \\ \to (\operatorname{head} s = \operatorname{head} s') \times (\operatorname{tail} s \sim \operatorname{tail} s')$$

#### i.e.

- $s \sim s' 
  ightarrow ( ext{head} \ s = ext{head} \ s') \wedge (( ext{tail} \ s) \sim ( ext{tail} \ s'))$
- ▶ Let  $\operatorname{elim}^0_{\sim}$  and  $\operatorname{elim}^1_{\sim}$  the two components of  $\operatorname{elim}_{\sim}$ ,

$$\begin{array}{ll} \operatorname{elim}^0_{\sim} & : & (s,s':\operatorname{Stream}) \to s \sim s' \to \operatorname{head} s = \operatorname{head} s' \\ \operatorname{elim}^1_{\sim} & : & (s,s':\operatorname{Stream}) \to s \sim s' \to \operatorname{tail} s \sim \operatorname{tail} s' \end{array}$$

and hide the first two arguments of  $\operatorname{elim}_{\sim}^{i}$ .

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 $\blacktriangleright$  That  $\sim$  is a final  $F^{\sim}\mbox{-coalgebra}$  means that it is the largest such relation:

This means that

$$\forall s, s'. \varphi \ (s, s') \rightarrow \text{head} \ s = \text{head} \ s' \wedge \varphi \ (\text{tail} \ s, \text{tail} \ s')$$

then

$$\forall s, s'. \varphi(s, s') \rightarrow s \sim s'$$

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So we have

$$s \sim s' \rightarrow \text{head } s = \text{head } s' \wedge ( ext{tail } s) \sim ( ext{tail } s')$$

#### and if

$$\forall s, s'. \varphi \ (s, s') \rightarrow \text{head} \ s = \text{head} \ s' \wedge \varphi \ (\text{tail} \ s, \text{tail} \ s')$$

then

$$orall s, s'. arphi \left( s, s' 
ight) 
ightarrow s \sim s'$$

#### Corecursive Proof of Bisimilarity

- ► Because ~ is a final coalgebra we can compute proofs of it by corecursion:
- We can define

$$\begin{array}{ll} f:(s,s':\operatorname{Stream}) \to \varphi \ s \ s' \to s \sim s'\\ \operatorname{elim}^0_{\sim} \ (f \ s \ s' \ x) &= & \operatorname{an \ element \ of \ head} s = \operatorname{head} s'\\ \operatorname{elim}^0_{\sim} \ (f \ s \ s' \ x) &= & \operatorname{an \ element \ of \ (tail \ s)} \sim (tail \ s') \end{array}$$

where in the last line we can use

- either a proof of tail  $s \sim \text{tail } s'$  defined before
- or use the corecursion hypothesis f (tail s) (tail s') x' for some x' : φ (tail s) (tail s')

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# Coinduction

#### Theorem

Assume Stream : Set, head : Stream  $\rightarrow \mathbb{N}$ , tail : Stream  $\rightarrow$  Stream. The following are equivalent

- The principle of unique coiteration.
- The principle of unique corecursion.
- The principle of iteration together with the principle that bisimilarity
   ~ implies equality

$$\forall s, s' : \text{Stream.} s \sim s' \rightarrow s = s'$$

Because of the possibility of defining elements of  $s \sim s'$  the latter can be considered as a **principle of coinduction**.

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# Principle of Coinduction

- Let  $\varphi$  : Stream  $\rightarrow$  Stream  $\rightarrow$  Set.
- ► We can prove

$$\forall s, s' : \text{Stream}. \varphi \ s \ s' \rightarrow s = s'$$

#### by showing

$$\forall s, s' : \text{Stream}.\varphi \ s \ s' \to \text{head} \ s = \text{head} \ s' \\ \forall s, s' : \text{Stream}.\varphi \ s \ s' \to \text{tail} \ s = \text{tail} \ s' \end{cases}$$

where for proving tail s = tail s' we can use the coinduction hypothesis that  $\varphi$  (tail s) (tail s') implies tail s = tail s'.

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# Indexed Coinduction

▶ Instead of defining  $\varphi$  as a predicate Stream → Stream → Set we can assume

$$\begin{array}{l} A : \text{Set} \\ s,t : A \to \text{Stream} \\ \text{and define} \\ \varphi \ s' \ t' = (a:A) \times (s' = s \ a) \times (t' = t \ a) \end{array}$$

 Coinduction of φ becomes then the principle of indexed coinduction (see next slide)

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# Indexed Coinduction

Assume

► We can prove

 $\forall a : A.s_0 \ a = s_1 \ a$ 

by showing

$$\forall a : A.head (s a) = head (t a) \\ \forall a : A.tail (s a) = tail (t a)$$

where for proving tail (s a) = tail(t a) we can use that tail (s a) = s a' and tail (t a) = t a' and therefore by **coinduction-hypothesis** s a' = t a'.

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# Example Proof by Coinduction

Remember

:  $\mathbb{N} \to \text{Stream}$ inc head(inc n) = ntail (inc n) = inc (n + 1) inc' :  $\mathbb{N} \to \text{Stream}$ head(inc'(n)) = ntail (inc'(n)) = inc''(n+1)inc" :  $\mathbb{N} \to \text{Stream}$ head(inc''(n)) = ntail (inc''(n)) = inc'(n+1)

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## Example Proof by Coinduction

We show

$$\forall n \in \mathbb{N}. \mathrm{inc}' \ n = \mathrm{inc} \ n \wedge \mathrm{inc}'' \ n = \mathrm{inc} \ n$$

Formally we would use in the above

$$A = \mathbb{N} + \mathbb{N}$$
  

$$s (inl n) = inc' n$$
  

$$s (inr n) = inc n$$
  

$$t (inl n) = inc n$$
  

$$t (inr n) = inc n$$
  
and show  

$$\forall a : A.s a = t a$$

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## Example Proof by Coinduction

Proof of

$$\forall n \in \mathbb{N}.inc' \ n = inc \ n \wedge inc'' \ n = inc \ n$$

• Assume  $n : \mathbb{N}$ .

head (inc' 
$$n$$
) =  $n$  = head (inc  $n$ )  
head (inc"  $n$ ) =  $n$  = head (inc  $n$ )  
tail (inc'  $n$ ) = inc" ( $n$  + 1)  $\stackrel{\text{co-IH}}{=}$  inc ( $n$  + 1) = tail (inc  $n$ )  
tail (inc"  $n$ ) = inc' ( $n$  + 1)  $\stackrel{\text{co-IH}}{=}$  inc ( $n$  + 1) = tail (inc  $n$ )

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**Bisimilarity and Coinduction** 

#### Proofs by Coinduction of Bisimilarity in Transition Systems

Mixed Patterns and Copatterns

Unnesting of Pattern/Copattern Matching

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► Consider the following (unlabelled) transition system:



Bisimilarity is the final coalgebra

$$p \sim q 
ightarrow (orall p'.p \longrightarrow p' \ 
ightarrow \exists q'.q \longrightarrow q' \wedge p' \sim q') \ \wedge \cdots ext{symmetric case} \cdots \}$$

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## Proof using the Definition of $\sim$



- We show  $p \sim q \wedge p \sim r$  by coinduction:
- ► Coinduction step for p ~ q:
  - Assume p → p'. Then p' = p.
     We have q → r and by co-IH p ~ r.
  - Assume q → q'. Then q' = r.
     We have p → p and by co-IH p ~ r.

#### ► Coinduction step for *p* ~ *r*:

- Assume  $p \longrightarrow p'$ . Then p' = p. We have  $r \longrightarrow q$  and by co-IH  $p \sim q$ .
- Assume r → r'. Then r' = q.
   We have p → p and by co-IH p ~ q.

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## Traditional Argument of Proving Bisimiliarity

► The standard argument for showing p ~ q ∧ p ~ r is as follows: Define a relation φ on states by

$$arphi(p',q') \Leftrightarrow p' = p \land (q' = q \lor q' = r)$$

Show  $\varphi$  is a simulation:

$$\begin{array}{l} \forall p, p', q.\varphi(p,q) \land p \longrightarrow p' \Rightarrow \exists q'.q \longrightarrow q' \land \varphi(p',q') \\ \forall p, q, q'.\varphi(p,q) \land q \longrightarrow q' \Rightarrow \exists p'.p \longrightarrow p' \land \varphi(p',q') \end{array}$$

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## Comparison with Proofs by Induction

We can compare both proofs to proofs by induction on natural number. Consider a proof of

$$\forall n, m, k.n + (m+k) = (n+m) + k$$

► The traditional proof would corresponds to defining a relation

$$R(k) \Leftrightarrow \forall n, m.n + (m+k) = (n+m) + k$$

and showing

$$R(0) \land \forall n. R(n) \rightarrow R(S(n))$$

- Although this argument and the standard inductive proof using the induction hypothesis are equivalent, the standard inductive proof is more convenient and easier to follow.
- We hope that proofs by coinduction will similarly be easier if we do it by referring to the coinduction hypothesis.

Anton Setzer (Swansea)

Iteration, Recursion, Induction

Coiteration, Corecursion

**Bisimilarity and Coinduction** 

Proofs by Coinduction of Bisimilarity in Transition Systems

Mixed Patterns and Copatterns

Unnesting of Pattern/Copattern Matching

# Nested Pattern Matching

Course of Value primitive recursion allows deep pattern matching.
 E.g. we can define the Fibonaccie numbers

We can now even mix pattern and copattern matching.

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- ▶ We can define now functions by patterns and copatterns.
- ► Example define stream:
  f n =
  n, n, n-1, n-1, ...0, 0, N, N, N-1, N-1, ...0, 0, N, N, N-1, N-1,

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 $f n = n, n, n-1, n-1, \dots, 0, 0, N, N, N-1, N-1, \dots, 0, 0, N, N, N-1, N-1,$ 

$$f: \mathbb{N} \to \text{Stream}$$
$$f = ?$$

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 $f n = n, n, n-1, n-1, \dots, 0, 0, N, N, N-1, N-1, \dots, 0, 0, N, N, N-1, N-1,$ 

 $\begin{array}{ll} f:\mathbb{N}\to \text{Stream}\\ f&=&? \end{array}$ 

Copattern matching on  $f : \mathbb{N} \to \text{Stream}$ :

 $\begin{array}{l} f:\mathbb{N}\to \text{Stream}\\ f\ n\ =\ ? \end{array}$ 

 $f n = n, n, n-1, n-1, \dots, 0, 0, N, N, N-1, N-1, \dots, 0, 0, N, N, N-1, N-1,$ 

 $\begin{array}{l} f:\mathbb{N}\to \text{Stream}\\ f\ n\ =\ ? \end{array}$ 

**Copattern matching** on *f n* : Stream:

 $f: \mathbb{N} \to \text{Stream}$ head (f n) = ?tail (f n) = ?

 $f n = n, n, n-1, n-1, \dots, 0, 0, N, N, N-1, N-1, \dots, 0, 0, N, N, N-1, N-1,$ 

 $\begin{array}{l} f:\mathbb{N}\to \text{Stream}\\ f\ n\ =\ ? \end{array}$ 

Solve first case, copattern match on second case:

$$\begin{array}{rcl} f:\mathbb{N} \to \operatorname{Stream} \\ \operatorname{head} & (f \ n) &= \ n \\ \operatorname{head} & (\operatorname{tail} (f \ n)) &= \ ? \\ \operatorname{tail} & (\operatorname{tail} (f \ n)) &= \ ? \end{array}$$

 $f n = n, n, n-1, n-1, \dots, 0, 0, N, N, N-1, N-1, \dots, 0, 0, N, N, N-1, N-1,$ 

$$\begin{array}{l} f:\mathbb{N}\to \text{Stream}\\ f\,n\ =\ ? \end{array}$$

#### Solve second line, pattern match on n

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 $f n = n, n, n-1, n-1, \dots, 0, 0, N, N, N-1, N-1, \dots, 0, 0, N, N, N-1, N-1,$ 

$$f: \mathbb{N} \to \text{Stream}$$
$$f \ n = ?$$

#### Solve remaining cases

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# Results of paper in POPL (2013)

- Development of a recursive simply typed calculus (no termination check).
- ► Allows to derive schemata for pattern/copattern matching.
- Proof that subject reduction holds.

$$t: A, t \longrightarrow t' \text{ implies } t': A$$

 Subject reduction fails when using codata types in combination with the equality type (e.g. in Coq and early versions of Agda).

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Iteration, Recursion, Induction

Coiteration, Corecursion

**Bisimilarity and Coinduction** 

Proofs by Coinduction of Bisimilarity in Transition Systems

Mixed Patterns and Copatterns

Unnesting of Pattern/Copattern Matching

# Consider Example from above

 $f: \mathbb{N} \to \text{Stream}$ head (f n) = nhead (tail (f n)) = ntail (tail (f 0)) = f Ntail (tail (f (S n))) = f n

We show how this example can be reduced to unnested (co)pattern matching.

In a second step (not shown today) one can reduce it to primitive (co)recursion operators.

# Unnesting of Nested (Co)Pattern Matching

We follow the steps in the pattern matching: We start with

 $f : \mathbb{N} \to \text{Stream}$ head (f n) = ntail (f n) = ?

### **Copattern matching** on tail (*f n*):

$$f: \mathbb{N} \to \text{Stream}$$
  
head  $(f n) = n$   
head  $(\text{tail } (f n) = n$   
tail  $(\text{tail } (f n) = ?$ 

corresponds to

$$f: \mathbb{N} \to \text{Stream}$$
  
head  $(f n) = n$   
tail  $(f n) = g n$ 

0

$$g : \mathbb{N} \to \text{Stream}$$
  
(head (tail  $(f \ n)$ ) =) head  $(g \ n) = n$   
(tail (tail  $(f \ n)$ ) =) tail  $(g \ n) = ?$ 

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#### **Pattern matching** on tail (tail (f n)):

$$f: \mathbb{N} \to \text{Stream}$$
  
head  $(f n) = n$   
head  $(\text{tail } (f n) = n$   
tail  $(\text{tail } (f 0) = f N$   
tail  $(\text{tail } (f (S n)) = f n$ 

corresponds to

$$\begin{array}{rll} g:\mathbb{N}\to \mathrm{Stream}\\ (\mathrm{head}\;(\mathrm{tail}\;(f\;n)) & =) & \mathrm{head}\;(g\;n) & = & n\\ (\mathrm{tail}\;(\mathrm{tail}\;(f\;n)) & =) & \mathrm{tail}\;(g\;n) & = & k\;n \end{array}$$

$$k: \mathbb{N} \to \text{Stream}$$
(tail (tail (f 0)) =)  $k$  0 =  $f N$   
(tail (tail (f (S n))) =)  $k$  (S n) =  $f n$ 

# Conclusion

- Principle of induction is well established and makes proofs much easier.
- In theoretical computer science coinductive principles occur frequently.
  - Main reason: interactive programs running continuously in various frameworks (imperative, object-oriented, process-calculi)
- Coalgebras as being defined by their eliminators rather than infinite applications of constructors makes clear when recursive calls are allowed.
- Proofs by coinduction in the above situation can be carried out similarly as proofs by induction.
- ► Main difficulty: when are we allowed to apply co-IH?
  - In the corecursion step we have a proof obligation, and can use the co-IH to prove it.

### Conclusion

- Copattern matching as the dual of pattern matching.
  - Pattern matching is an elimination principle for inductive types (initial algebras).
  - Copattern matching is an introduction principle for coinductive types (final coalgebras).
- Mixed pattern and copattern matching can be reduced to simple pattern and copattern matching.

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