

Coalgebras in Dependent Type Theory – The Saga Continues

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September 8, 2010

1. Coalgebras as Defined By Elimination Rules
2. Using Destructors: Destructor Patterns, Objects
3. Codata and \sim
4. ∞A
5. Understanding Nested Algebras and Coalgebras
5. Model

Algebraic Data Types

Algebraic data types one of the main ingredients of Agda.

data List ($A : \text{Set}$) : Set where

$[]$: List A

$- :: -$: $A \rightarrow \text{List } A \rightarrow \text{List } A$

Notation:

$[]' + A ::' X$

stands for the labelled disjoint union, i.e. the set B containing elements $[]'$ and $a ::' x$ for $a : A$ and $x : X$.

Let

$F_A : \text{Set} \rightarrow \text{Set}$

$F_A X = []' + A ::' X$

Algebraic Data Types

$$F_A X = []' + A ::' X$$

Then the following is essentially equivalent to the definition of List A:

```
data List (A : Set) : Set where
  intro  :  F (List A) → List A
```

where

$$\begin{aligned} [] &= \text{intro } []' \\ a :: l &= \text{intro } (a ::' l) \end{aligned}$$

Algebraic Data Types

The introduction, elimination, and equality rules for algebraic data types follow then from the diagram for initial F -algebras (denoted by μF)

$$\begin{array}{ccc}
 F(\mu F) & \xrightarrow{\text{intro}} & \mu F \\
 \downarrow Fg & & \downarrow \exists! g \\
 F A & \xrightarrow{f} & A
 \end{array}$$

One writes $\mu X.t$ for $\mu(\lambda X.t)$ e.g.

$$\text{List } A = \mu X. []' + A ::' X$$

Final Coalgebras

Final Coalgebras νF are obtained by reversing the arrows:

$$\begin{array}{ccc}
 A & \xrightarrow{f} & F A \\
 \exists! g \downarrow & & \downarrow F g \\
 \nu F & \xrightarrow{\text{unfold}} & F (\nu F)
 \end{array}$$

Again we write $\nu X.t$ for $\nu (\lambda X.t)$.

In weakly final coalgebras the uniqueness of g is omitted.

Coalgebras can be used to model **interactive programs** and **objects** from **object-oriented programming** in dependent type theory.

Suggested Notation

$\text{coalg } \text{coList } (A : \text{Set}) : \text{Set}$ where
 $\text{unfold} : \text{coList } A \rightarrow [] + A ::' \text{coList } A$

- ▶ To an element of $\text{coList } A$ as above we can apply unfold as above.
- ▶ Furthermore from the finality we can derive the principle of guarded recursion:

We can define $f : B \rightarrow \text{coList } A$ by saying what $\text{unfold } (f b)$ is:

- ▶ $[]'$
- ▶ $a ::' l$ for some $a : A, l : \text{coList } A$
- ▶ $a ::' f b'$ for some $a : A, b' : B$.

Example

$$\begin{aligned} \text{inclist} &: \mathbb{N} \rightarrow \text{coList } \mathbb{N} \\ \text{unfold } (\text{inclist } n) &= n ::' (\text{inclist } (n + 1)) \end{aligned}$$

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Using Several Destructors

When using data we had several constructors.
 Similarly we can allow for coalg several destructors.

Example:

$$\begin{aligned} \text{coalg Stream } (A : \text{Set}) &: \text{Set where} \\ \text{head} &: \text{Stream } A \rightarrow A \\ \text{tail} &: \text{Stream } A \rightarrow \text{Stream } A \end{aligned}$$

$$\begin{aligned} \text{inc} &: \mathbb{N} \rightarrow \text{Stream } \mathbb{N} \\ \text{head } (\text{inc } n) &= n \\ \text{tail } (\text{inc } n) &= \text{inc } (n + 1) \end{aligned}$$

Nested Destructor Patterns

We can even define nested destructor patterns (Andreas Abel):

$$\begin{aligned} \text{inc}' &: \mathbb{N} \rightarrow \text{Stream } \mathbb{N} \\ \text{head } (\text{inc}' \ n) &= n \\ \text{head } (\text{tail } (\text{inc}' \ n)) &= n + 1 \\ \text{tail } (\text{tail } (\text{inc}' \ n)) &= \text{inc}' \ (n + 2) \end{aligned}$$

Bisimulation

$\text{coalg } _ \approx _ \{A : \text{Set}\} : \text{Stream } A \rightarrow \text{Stream } A \rightarrow \text{Set}$ where
 $\text{headeq} : \{l \ l' : \text{Stream } A\} \rightarrow l \approx l' \rightarrow \text{head } l == \text{head } l'$
 $\text{taileq} : \{l \ l' : \text{Stream } A\} \rightarrow l \approx l' \rightarrow \text{tail } l \approx \text{tail } l'$

Example Proof

$$\begin{aligned} \text{lemma} & : (n : \mathbb{N}) \rightarrow \text{inc } n \approx \text{inc}' n \\ \text{headeq } (\text{lemma } n) & = \text{refl} \\ \text{headeq } (\text{taileq } (\text{lemma } n)) & = \text{refl} \\ \text{taileq } (\text{taileq } (\text{lemma } n)) & = \text{lemma } (n + 2) \end{aligned}$$

(Slide improved after some comments during the talk).

Fibonacci

$$\begin{aligned} \text{fib} &: \text{Stream } \mathbb{N} \\ \text{head fib} &= 1 \\ \text{head (tail fib)} &= 1 \\ \text{tail (tail fib)} &= \text{addStream fib (tail fib)} \end{aligned}$$

Not guarded recursion but can be justified by sized types.

Or (not very useful but the result of unfolding the sized version(?)):

$$\begin{aligned} \text{fib} &: \mathbb{N} \rightarrow \text{Stream } \mathbb{N} \\ \text{head (fib zero)} &= 1 \\ \text{head (fib (suc zero))} &= 1 \\ \text{head (fib (suc (suc n)))} &= \text{head (fib } n) + \text{head (fib (suc } n)) \\ \text{tail (fib } n) &= \text{fib } (n + 1) \end{aligned}$$

Combining Constructor and Destructor Patterns

We can combine constructor and destructor patterns:

$$\begin{aligned} \text{inc}'' : \mathbb{N} &\rightarrow \text{Stream } \mathbb{N} \\ \text{head } (\text{inc}'' \text{ zero}) &= 0 \\ \text{head } (\text{tail } (\text{inc}'' \text{ zero})) &= 1 \\ \text{tail } (\text{tail } (\text{inc}'' \text{ zero})) &= \text{inc}'' 2 \\ \text{head } (\text{inc}'' (\text{suc } n)) &= \text{suc } n \\ \text{tail } (\text{inc}'' (\text{suc } n)) &= \text{inc}'' (n + 1) \end{aligned}$$

Objects

coalg Stack ($A : \text{Set}$) : $\mathbb{N} \rightarrow \text{Set}$ where

top : $\{n : \mathbb{N}\} \rightarrow \text{Stack} (\text{suc } n) \rightarrow A$

pop : $\{n : \mathbb{N}\} \rightarrow \text{Stack} (\text{suc } n) \rightarrow \text{Stack } n$

push : $\{n : \mathbb{N}\} \rightarrow A \rightarrow \text{Stack } A n \rightarrow \text{Stack } A (n + 1)$

top (push $a l$) = a

pop (push $a l$) = l

Objects

$\text{coalg Stack } (A : \text{Set}) : \mathbb{N} \rightarrow \text{Set}$ where
 $\text{top} : \{n : \mathbb{N}\} \rightarrow \text{Stack } (\text{suc } n) \rightarrow A$
 $\text{pop} : \{n : \mathbb{N}\} \rightarrow \text{Stack } (\text{suc } n) \rightarrow \text{Stack } n$

The empty stack is introduced as follows:

$\text{emptystack} : \{A : \text{Set}\} \rightarrow \text{Stack } A$ zero
 $()$ - - no destructor applies

Note that the coalgebra Stack zero has no destructors and contains exactly one element up to bisimilarity.

(Slide improved after comments during the talk)

Question

- ▶ Can we get a good notion of a heap?
- ▶ Can we use this to define the class of queues efficiently?

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Complications with Coalgebras

Several constructors for **data** corresponds to disjoint unions of the argument types.

$$\begin{aligned} \text{data List } (A : \text{Set}) : \text{Set where} \\ \quad [] & : \text{List } A \\ \quad _ :: _ & : A \rightarrow \text{List } A \rightarrow \text{List } A \end{aligned}$$

corresponds to

$$\begin{aligned} \text{data List } (A : \text{Set}) : \text{Set where} \\ \quad \text{intro} & : \mathbf{1} + (A \times \text{List } A) \rightarrow \text{List } A \end{aligned}$$

Complications with Coalgebras

Several destructors for **coalg** corresponds to the product of the argument types:

```
data Stream (A : Set) : Set where
  head  : Stream A → A
  tail  : Stream A → Stream A
```

corresponds to

```
data Stream (A : Set) : Set where
  unfold : Stream A → A × Stream A
```

Complications with Coalgebras

If we dualise data types introduced by several constructors, we obtain types which are more complicated to describe:

List looks nice:

$$\begin{aligned} \text{data List } (A : \text{Set}) : \text{Set} \text{ where} \\ \quad [] & : \text{List } A \\ \quad _ :: _ & : A \rightarrow \text{List } A \rightarrow \text{List } A \end{aligned}$$

whereas colists don't look nice

$$\begin{aligned} \text{coalg coList } (A : \text{Set}) : \text{Set} \text{ where} \\ \text{unfold} : \text{coList } A \rightarrow [] + A ::' \text{coList } A \end{aligned}$$

Codata

Codata types seem to solve this problem:

$$\begin{aligned} & \text{codata coList } (A : \text{Set}) : \text{Set where} \\ & \quad [] : \text{coList } A \\ & \quad _ :: _ : A \rightarrow \text{coList } A \rightarrow \text{coList } A \end{aligned}$$

So elements of `coList` are now introduced by introduction rules which allows to define the disjoint union nicely.

Idea is that elements of `coList` A are infinitary lists:

- ▶ $n_1 :: n_2 :: n_3 :: \dots$
- ▶ $n_1 :: n_2 :: n_3 :: \dots :: n_k :: []$

Problem of codata

- ▶ No normalisation, e.g.

$$\text{inc } 0 = 0 :: 1 :: 2 :: \dots$$

- ▶ Undecidability of equality.

$$f \ 0 :: f \ 1 :: \dots = g \ 0 :: g \ 1 :: \dots \Leftrightarrow \forall n. f \ n = g \ n$$

In case of coalgebras

- ▶ Elements of coalgebras are not expanded indefinitely. They are only expanded if `unfold` is applied to them.
- ▶ In case of weakly final coalgebras equality of elements of the coalgebras is equality of the underlying algorithms.

Pseudo-Constructors

If we have

$$\begin{aligned} & \text{coalg } \text{coList } (A : \text{Set}) : \text{Set} \text{ where} \\ & \quad \text{unfold} : \text{coList } A \rightarrow []' + A ::' \text{coList } A \end{aligned}$$

we can define by guarded recursion

$$\begin{aligned} & [] : \text{coList } A \\ & \text{unfold } [] = []' \\ \\ & _ :: _ : A : \text{Set} \rightarrow A \rightarrow \text{coList } A \rightarrow \text{coList } A \\ & \text{unfold } (a :: l) = a ::' l \end{aligned}$$

Pseudo-Constructors

However we do not have

$$\text{unfold } l = a :: l' \text{ implies } l = a :: l$$

So elements of `coList A` are **not** of the form `[]` or `a :: l`.

But **behave** like `[]` or `a :: l`.

\sim -Notation (Nils Danielsson)

codata coList ($A : \text{Set}$) : Set where
 $[]$: coList A
 $_ :: _$: $A \rightarrow \text{coList } A \rightarrow \text{coList } A$

is an abbreviation for

coalg coList ($A : \text{Set}$) : Set where
 unfold : coList $A \rightarrow []' + A ::' \text{coList } A$

$[]$: $A : \text{Set} \rightarrow \text{coList } A$
 unfold $[]$ = $[]'$

$_ :: _$: $A : \text{Set} \rightarrow A \rightarrow \text{coList } A \rightarrow \text{coList } A$
 unfold $(a :: l)$ = $a ::' l$

\sim -Notation (Nils Danielsson)

Furthermore let

$$s \sim t \Leftrightarrow \text{unfold } s = \text{unfold } t$$

Then

$$\text{unfold } s = []' \quad \Leftrightarrow \quad s \sim []$$

$$\text{unfold } s = a ::' l \quad \Leftrightarrow \quad s \sim a :: l$$

so there is no need to write $[]'$ or $_ ::' _$ or unfold .

Unfortunately \sim was replaced by $=$ which misled the users.

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Nils Danielsson's ∞

Nils Danielsson and Thorsten Altenkirch suggested to have the following

$$\begin{aligned} \infty & : \text{Set} \rightarrow \text{Set} \\ \flat & : \{B : \text{Set}\} \rightarrow B \rightarrow \infty B \\ \natural & : \{B : \text{Set}\} \rightarrow \infty B \rightarrow B \end{aligned}$$

∞B denote coalgebraic arguments in a definition (which can be “expanded infinitely”) and one defines `coList A` as

```
data coList (A : Set) : Set where
  []      : coList A
  _ :: _  : A → ∞ (coList A) → coList A
```

What is ∞B ?

∞B cannot mean

$$\nu X.B$$

since $\nu X.B$ is as a (non-weakly) final coalgebra isomorphic to B : With $F X = B$ we get

$$\begin{array}{ccc}
 X & \xrightarrow{f} & F X = B \\
 \downarrow \exists! g & & \downarrow F g = \text{id} \\
 B & \xrightarrow{\text{id}} & F B = B
 \end{array}$$

Underlying reason

ν gives something real only if applied to a functor. Applied to a set (or $\lambda X.A$ for a set A) it is essentially the identity.

So ∞ must be something like $(\text{Set} \rightarrow \text{Set}) \rightarrow \text{Set}$.

What is ∞A ?

What is meant by it is, that if A is defined as an algebraic data type, ∞A is defined mutually coalgebraically:

```
data coList (A : Set) : Set where
  []      : coList A
  _ :: _  : A → ∞ (coList A) → coList A
```

stands for

```
data coList (A : Set) : Set where
  []      : coList A
  _ :: _  : A → ∞ (coList A) → coList A
```

```
coalg ∞ (coList_) (A : Set) : Set where
  ‡ : ∞ (coList A) → coList A
```

Order between data/codata

```

data coList (A : Set) : Set where
  []      : coList A
  _ :: _  : A → ∞ (coList A) → coList A
coalg ∞ (coList_) (A : Set) : Set where
  ‡ : ∞ (coList A) → coList A

```

But there are two interpretations of the above:

1.

$$\begin{aligned}
 F(X, Y) &= [] + A :: Y \\
 G(X, Y) &= X \\
 F'(Y) &= \mu X. F(X, Y) = \mu X. [] + A :: Y \\
 &\cong [] + A :: Y \\
 \infty (\text{coList } A) &= \nu Y. G(F'(Y), Y) = \nu Y. F'(Y) \\
 &\cong \nu Y. [] + A :: Y \\
 \text{coList } A &= F'(\infty (\text{coList } A)) \\
 &= [] + A :: (\infty (\text{coList } A))
 \end{aligned}$$

Order between data/codata

data $\text{coList } (A : \text{Set}) : \text{Set}$ where

$[]$: $\text{coList } A$

$- :: -$: $A \rightarrow \infty (\text{coList } A) \rightarrow \text{coList } A$

coalg $\infty (\text{coList } _)$ ($A : \text{Set}$) : Set where

\natural : $\infty (\text{coList } A) \rightarrow \text{coList } A$

2.

$$G(X, Y) = X$$

$$F(X, Y) = [] + A :: Y$$

$$G'(X) = \nu Y. G(X, Y) = \nu Y. X$$

$$\cong X$$

$$\text{coList } A = \mu X. F(X, G'(X)) \cong \mu X. F(X, X)$$

$$= \mu X. [] + A :: X$$

$$\infty (\text{coList } A) = G'(\text{coList } A)$$

$$\cong \text{coList } A$$

Order between data/codata

First solution gives the desired result.

Origin of problem:

- ▶ If we have two functors $F(X, Y)$, and $G(X, Y)$ and if we want to minimize X and maximize Y there are two solutions:
 - ▶ Minimize X as a functor depending on Y .
Then maximize Y .
 - ▶ Maximize Y as a functor depending on X .
Then minimize X .
- ▶ With mutual data types this problem didn't occur since if we minimize both X and Y , the order doesn't matter.

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Generality

In general we want to be able to form arbitrary combinations of μ and ν .
Idea: minimize and maximize in the order of occurrence.

data A : Set where

intro₀ : $F(A, B, C, D) \rightarrow A$

coalg B : Set where

unfold₀ : $B \rightarrow G(A, B, C, D)$

data C : Set where

intro₁ : $H(A, B, C, D) \rightarrow C$

coalg D : Set where

unfold₁ : $D \rightarrow K(A, B, C, D)$

to be interpreted as:

$F_0(Y, Z, Z')$	$=$	$\mu X.F(X, Y, Z, Z')$	A in terms of Y, Z, Z'
$G_1(Z, Z')$	$=$	$\nu Y.G(F'(Y, Z, Z'), Y, Z, Z')$	B in terms of Z, Z'
$F_1(Z, Z')$	$=$	$F_0(G_1(Z, Z'), Z, Z')$	A in terms of Z, Z'
$H_2(Z')$	$=$	$\mu Z.H(F_1(Z, Z'), G_1(Z, Z'), Z, Z')$	C in terms of Z'
$G_2(Z')$	$=$	$G_1(H_2(Z'), Z')$	B in terms of Z'
$F_2(Z')$	$=$	$F_1(H_2(Z'), Z')$	A in terms of Z'
D	$=$	$\nu Z'.K(F_2(Z'), G_2(Z'), H_2(Z'), Z')$	Final Value of D
C	$=$	$H_2(D)$	Final Value of C
B	$=$	$G_2(D)$	Final Value of B
A	$=$	$F_2(D)$	Final Value of A

Example: coList A

```
data coList (A : Set) : Set where
  []      : coList A
  _ :: _  : A → ∞ (coList A) → coList A
```

stands for

```
data coList (A : Set) : Set where
  []      : coList A
  _ :: _  : A → ∞ (coList A) → coList A
```

```
coalg ∞ (coList_) (A : Set) : Set where
  ‡ : ∞ (coList A) → coList A
```


inclist

$$\text{inclist} : \mathbb{N} \rightarrow \infty (\text{coList } \mathbb{N})$$

$$\natural (\text{inclist } n) = n :: \text{inclist } (n + 1)$$

or

$$\text{inclist } n \sim \flat (n :: \text{inclist } (n + 1))$$

With

$$s \triangleright t :\Leftrightarrow \natural s = t$$

we get

$$\text{inclist } n \triangleright n :: \text{inclist } (n + 1)$$

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Model

Form a term model with reduction rules corresponding to the equalities stated.

E.g. `inclist` is a function symbol with equality rule

$$\text{unfold}(\text{inclist } n) = n :: (\text{inclist } (n + 1))$$

Interpretation of $\mu X.F(X)$:

$$\llbracket \mu X.F(X) \rrbracket = \bigcap \{X \subseteq \text{Term} \mid \text{intro}[\llbracket F(X) \rrbracket] \subseteq X\}$$

Interpretation of $\nu X.F(X)$:

$$\llbracket \nu X.F(X) \rrbracket = \bigcup \{X \subseteq \text{Term} \mid \text{unfold}[X] \subseteq \llbracket F(X) \rrbracket\}$$

Conclusion

- ▶ Design decisions should be done by referring to the notion of coalgebras.
- ▶ Coalgebras with constructor/destructor patterns looks very neat.
- ▶ Other solutions \sim , ∞ don't look very elegant at the moment and need a proper semantic treatment.
 - ▶ \sim was a reasonable good abbreviation mechanism.
- ▶ If A is a data type referring to ∞A , then ∞A gets its meaning as a coalgebra defined implicitly mutually after the definition of A .
- ▶ Order of algebras coalgebras matters.
- ▶ Suggestion by Peter Hancock: Why not use μ and ν ?
 - ▶ Not really necessary, since we can built up expressions of nested μ, ν using mutual algebras and coalgebras understood in our way.