

# How to Reason Informally Coinductively

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# Goal

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Inductive Definition	Coinductive Definition
Determined by Introduction	?
Iteration	?
Primitive Recursion	?
Pattern matching	?
Induction	?
Induction-Hypothesis	?

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<sup>1</sup>Part of this table is due to Peter Hancock, see acknowledgements at the end.

# Introduction/Elimination of Inductive/Coinductive Sets

- ▶ Introduction rules for Natural numbers means that we have

$$0 \in \mathbb{N}$$

$$S : \mathbb{N} \rightarrow \mathbb{N}$$

- ▶ Dually, coinductive sets are given by their elimination rules i.e. by **observations**.

As an example we consider Stream:

$$\text{head} : \text{Stream} \rightarrow \mathbb{N}$$

$$\text{tail} : \text{Stream} \rightarrow \text{Stream}$$

# Duality

Inductive Definition	Coinductive Definition
Determined by Introduction	Determined by Observation
Iteration	?
Primitive Recursion	?
Pattern matching	?
Induction	?
Induction-Hypothesis	?

# Unique Iteration

- ▶ That  $(\mathbb{N}, 0, S)$  are minimal can be given by:
  - ▶ Assume another  $\mathbb{N}$ -structure  $(X, z, s)$ , i.e.

$$\begin{aligned}z &\in X \\s &: X \rightarrow X\end{aligned}$$

- ▶ Then there exist a **unique homomorphism**  $g : (\mathbb{N}, 0, S) \rightarrow (X, z, s)$ :

$$\begin{aligned}g : \mathbb{N} &\rightarrow X \\g(0) &= z \\g(S(n)) &= s(g(n))\end{aligned}$$

- ▶ This means we can define uniquely

$$\begin{aligned}g : \mathbb{N} &\rightarrow X \\g(0) &= x \quad \text{for some } x \in X \\g(S(n)) &= x' \quad \text{for some } x' \in X \text{ depending on } g(n)\end{aligned}$$

# Unique Coiteration

- ▶ Dually, that  $(\text{Stream}, \text{head}, \text{tail})$  is maximal can be given by:
  - ▶ Assume another Stream-structure  $(X, h, t)$ :

$$h : X \rightarrow \mathbb{N}$$

$$t : X \rightarrow X$$

- ▶ Then there exist a **unique homomorphism**  $g : (X, h, t) \rightarrow (\text{Stream}, \text{head}, \text{tail})$ :

$$g : X \rightarrow \text{Stream}$$

$$\text{head}(g(x)) = h(x)$$

$$\text{tail}(g(x)) = g(t(x))$$

- ▶ Means we can define uniquely

$$g : X \rightarrow \text{Stream}$$

$$\text{head}(g(x)) = n \quad \text{for some } n \in \mathbb{N} \text{ depending on } x$$

$$\text{tail}(g(x)) = g(x') \quad \text{for some } x' \in X \text{ depending on } x$$

# Comparison

- ▶ When using iteration the instance of  $g$  we can use is restricted, but we can apply an arbitrary function to it.
- ▶ When using coiteration we can choose which instance of  $g$  we want, but can use it only directly.

# Duality

Inductive Definition	Coinductive Definition
Determined by Introduction	Determined by Observation
Iteration	Coiteration
Primitive Recursion	?
Pattern matching	?
Induction	?
Induction-Hypothesis	?



# Unique Primitive Recursion

- ▶ From unique iteration we can derive principle of **unique primitive recursion**
  - ▶ We can define uniquely

$$\begin{aligned}g &: \mathbb{N} \rightarrow X \\g(0) &= x \quad \text{for some } x \in X \\g(S(n)) &= x' \quad \text{for some } x' \in X \text{ depending on } n, g(n)\end{aligned}$$

- ▶ Primitive **pattern matching**.

# Unique Primitive Corecursion

- ▶ From unique coiteration we can derive principle of **unique primitive corecursion**
  - ▶ We can define uniquely

$$\begin{aligned}g &: X \rightarrow \text{Stream} \\ \text{head}(g(x)) &= n \text{ for some } n \in \mathbb{N} \text{ depending on } x \\ \text{tail}(g(x)) &= g(x') \text{ for some } x' \in X \text{ depending on } x \\ &\text{or} \\ &= s \text{ for some } s \in \text{Stream} \text{ depending on } x\end{aligned}$$

- ▶ **Note:** No application of a function to  $g(x')$  allowed.
- ▶ Primitive **copattern matching**.

# Example

$$\begin{aligned}s &\in \text{Stream} \\ \text{head}(s) &= 0 \\ \text{tail}(s) &= s\end{aligned}$$

$$\begin{aligned}s' : \mathbb{N} &\rightarrow \text{Stream} \\ \text{head}(s'(n)) &= 0 \\ \text{tail}(s'(n)) &= s'(n+1)\end{aligned}$$

$$\begin{aligned}\text{cons} : (\mathbb{N} \times \text{Stream}) &\rightarrow \text{Stream} \\ \text{head}(\text{cons}(n, s)) &= n \\ \text{tail}(\text{cons}(n, s)) &= s\end{aligned}$$

# Duality

Inductive Definition	Coinductive Definition
Determined by Introduction	Determined by Observation
Iteration	Coiteration
Primitive Recursion	Primitive Corecursion
Pattern matching	Copattern matching
Induction	?
Induction-Hypothesis	?

# Induction

- ▶ From unique iteration one can derive principle of **induction**:

We can prove  $\forall n \in \mathbb{N}.\varphi(n)$  by proving  
 $\varphi(0)$   
 $\forall n \in \mathbb{N}.\varphi(n) \rightarrow \varphi(S(n))$

- ▶ Using induction we can prove (assuming extensionality of functions) uniqueness of iteration and primitive recursion.

# Equivalence

## Theorem

Let  $(\mathbb{N}, 0, S)$  be an  $\mathbb{N}$ -algebra. The following is equivalent

1. *The principle of unique iteration.*
2. *The principle of unique primitive recursion.*
3. *The principle of iteration + induction.*
4. *The principle of primitive recursion + induction.*

# Coinduction

- ▶ Uniqueness in coiteration is equivalent to the principle:  
**Bisimulation implies equality**
- ▶ Bisimulation on Stream is the largest relation  $\sim$  on Stream s.t.

$$s \sim s' \rightarrow \text{head}(s) = \text{head}(s') \wedge \text{tail}(s) \sim \text{tail}(s')$$

- ▶ Largest can be expressed as  $\sim$  being an indexed coinductively defined set.
- ▶ Primitive corecursion over  $\sim$  means:  
We can prove

$$\forall s, s'. X(s, s') \rightarrow s \sim s'$$

by showing

$$\begin{aligned} X(s, s') &\rightarrow \text{head}(s) = \text{head}(s') \\ X(s, s') &\rightarrow X(\text{tail}(s), \text{tail}(s')) \vee \text{tail}(s) \sim \text{tail}(s') \end{aligned}$$

# Coinduction

- ▶ Combining
    - ▶ bisimulation implies equality
    - ▶ bisimulation can be shown corecursively
- we obtain the following principle of **coinduction**



# Schema of Coinduction

- ▶ We can prove

$$\forall s, s'. X(s, s') \rightarrow s = s'$$

by showing

$$\forall s, s'. X(s, s') \rightarrow \text{head}(s) = \text{head}(s')$$

$$\forall s, s'. X(s, s') \rightarrow \text{tail}(s) = \text{tail}(s')$$

where  $\text{tail}(s) = \text{tail}(s')$  can be derived

- ▶ directly or
- ▶ from a proof of

$$X(\text{tail}(s), \text{tail}(s'))$$

invoking the **co-induction-hypothesis**

$$X(\text{tail}(s), \text{tail}(s')) \rightarrow \text{tail}(s) = \text{tail}(s')$$

- ▶ **Note:** Only direct use of co-IH allowed.

# Indexed Coinduction

- ▶ For using coinduction, one typically wants to show for some  $f, g : X \rightarrow \text{Stream}$

$$\forall x \in X. f(x) = g(x)$$

- ▶ Using  $X(s, s') = \{x \mid f(x) = s \wedge g(x) = s'\}$  we obtain the principle of **indexed coinduction**

# Schema Indexed Coinduction

- ▶ We can prove

$$\forall x \in X. f(x) = g(x)$$

by showing

$$\begin{aligned}\forall x \in X. \text{head}(f(x)) &= \text{head}(g(x)) \\ \forall x \in X. \text{tail}(f(x)) &= \text{tail}(g(x))\end{aligned}$$

where  $\text{tail}(f(x)) = \text{tail}(g(x))$  can be derived

- ▶ directly or
- ▶ by

$$\text{tail}(f(x)) = f(x') \quad \text{tail}(g(x)) = g(x')$$

and using the **co-induction-hypothesis**

$$f(x') = g(x')$$

- ▶ Again **only direct use of co-IH** allowed  
(otherwise you can derive  $\text{tail}(f(x)) = \text{tail}(g(x))$  from  $f(x) = g(x)$ ).
- ▶ In fact the above is the same as uniqueness of corecursion.

# Equivalence

## Theorem

*Let  $(\text{Stream}, \text{head}, \text{tail})$  be a Stream-coalgebra. The following is equivalent*

- 1. The principle of unique coiteration.*
- 2. The principle of unique primitive corecursion.*
- 3. The principle of coiteration + coinduction*
- 4. The principle of primitive corecursion + coinduction*
- 5. The principle of coiteration + indexed coinduction.*
- 6. The principle of primitive corecursion + indexed coinduction.*

# Example

- ▶ Remember

$$\begin{array}{lcl} \text{head}(s) & = & 0 \\ \text{tail}(s) & = & s \end{array} \quad \begin{array}{lcl} \text{head}(s'(n)) & = & 0 \\ \text{tail}(s'(n)) & = & s'(n+1) \end{array}$$

- ▶ We show  $\forall n \in \mathbb{N}. s = s'(n)$  by indexed coinduction:
  - ▶  $\text{head}(s) = 0 = \text{head}(s'(n))$ .
  - ▶  $\text{tail}(s) = s \stackrel{\text{co-IH}}{=} s'(n+1) = \text{tail}(s'(n))$ .

# Example

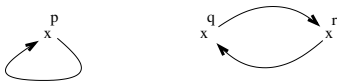
$$\text{head}(s) = 0$$

$$\text{tail}(s) = s$$

- ▶ We show  $s = \text{cons}(0, s)$  by indexed coinduction:
  - ▶  $\text{head}(s) = 0 = \text{head}(\text{cons}(0, s))$ .
  - ▶  $\text{tail}(s) = s = \text{tail}(\text{cons}(0, s))$   
(no use of co-IH).

# Proofs of Other Bisimilarity Relations

- ▶ The above can be used as well for proving other bisimilarity relations.
- ▶ Consider the following (unlabelled) transition system:



- ▶ Bisimilarity is the final coalgebra

$$\begin{aligned} p \sim q \rightarrow & (\forall p'. p \longrightarrow p' \\ & \rightarrow \exists q'. q \longrightarrow q' \wedge p' \sim q') \\ & \wedge \dots \text{symmetric case} \dots \} \end{aligned}$$

# Proof using the Definition of $\sim$



- ▶ We show  $p \sim q \wedge p \sim r$  by indexed coinduction:
- ▶ **Coinduction step for  $p \sim q$ :**
  - ▶ Assume  $p \longrightarrow p'$ . Then  $p' = p$ .  
We have  $q \longrightarrow r$  and by co-IH  $p \sim r$ .
  - ▶ Assume  $q \longrightarrow q'$ . Then  $q' = r$ .  
We have  $p \longrightarrow p$  and by co-IH  $p \sim r$ .
- ▶ **Coinduction step for  $p \sim r$ :**
  - ▶ Assume  $p \longrightarrow p'$ . Then  $p' = p$ .  
We have  $r \longrightarrow q$  and by co-IH  $p \sim q$ .
  - ▶ Assume  $r \longrightarrow r'$ . Then  $r' = q$ .  
We have  $p \longrightarrow p$  and by co-IH  $p \sim q$ .



# Conclusion

Inductive Definition	Coinductive Definition
Determined by Introduction	Determined by Observation
Iteration	Coiteration
Primitive Recursion	Primitive Corecursion
Pattern matching	Copattern matching
Induction	Coinduction (?)
Induction-Hypothesis	Coinduction-Hypothesis

# Acknowledgements

- ▶ To look at iteration, recursion, induction in parallel with coiteration, corecursion, coinduction I learned from Peter Hancock, although we didn't resolve in our discussions what coinduction is and what the precise formulation of corecursion would be.
- ▶ How to derive from iteration recursion I learned from Thorsten Altenkirch, however that seems to be a well-known fact.

# Bibliography

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# Appendix

# Difficulty defining Pred Using Iteration

- ▶ Using iteration pred, the inverse of 0, S is inefficient:

$$\begin{aligned}\text{pred} : \mathbb{N} &\rightarrow \{-1\} \cup \mathbb{N} \\ \text{pred}(0) &= -1 \\ \text{pred}(S(n)) &= S'(\text{pred}(n))\end{aligned}$$

where

$$\begin{aligned}S' : \{-1\} \cup \mathbb{N} &\rightarrow \mathbb{N} \\ S'(-1) &= 0 \\ S(n) &= S(n) \text{ if } n \in \mathbb{N}\end{aligned}$$

$$\begin{aligned}\text{pred}(2) &= S'(\text{pred}(1)) = S'(S'(\text{pred}(0))) \\ &= S'(S'(-1)) = S'(0) = S(0) = 1\end{aligned}$$

# Difficulty defining Cons Using Coiteration

- ▶ Using coiteration `cons`, the inverse of `head`, `tail` is difficult to define

$$\text{cons} : (\mathbb{N} \times \text{Stream}) \rightarrow \text{Stream}$$
$$\text{head}(\text{cons}(n, s)) = n$$
$$\text{tail}(\text{cons}(n, s)) = \text{cons}(\text{head}(s), \text{tail}(s))$$

e.g.  $\text{tail}(\text{tail}(\text{cons}(n, s))) = \text{cons}(\text{head}(\text{tail}(s)), \text{tail}(\text{tail}(s)))$