### **Sect. 3: The URM**

- (a) [D](#page-0-0)e[fi](#page-0-0)n[i](#page-0-0)t[i](#page-0-0)on of the URM.
- (b) Higher level programm[i](#page-11-0)ng concepts for URMs.
- (c) URM compu[t](#page-17-0)a[bl](#page-17-0)e functions.

### **Turing Completeness**

- Sometimes by "complete" it is meant that the model contains all functions computable by <sup>a</sup> Turing machine –then one obtains <sup>a</sup> mathematical definition.
- We use **Turing complete** for this mathematical definition.✿✿✿✿✿✿✿✿
	- So a model is Turing complete if it contains all functions computable by <sup>a</sup> Turing machine.

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### **(a) Definition of the URM**

- A**modelof computation** consists of <sup>a</sup> set of partial computable functions together with methods, which✿✿✿✿✿✿✿✿✿✿✿✿✿✿✿✿✿describe, how to compute those functions.
	- One aims at models of computation which are **complete**.
		- Here <sup>a</sup> model of computation is **complete**, if it contains all computable functions.
	- Since "intuitively computable" is not <sup>a</sup> mathematical notion, completeness is not <sup>a</sup> mathematical notionand cannot be proved mathematically.

**Models of Computation**

Aim: an as **simple** model of computation as possible: constructs used minimised, while still being able torepresent all intuitively computable functions.

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- Makes it easier to show for other models of computation, that the first model can be interpretedin it.
- In mathematics one always aims at giving as **simple**and **short** definitions as possible, and to **avoidunnecessary additions**.
- <span id="page-0-0"></span>Models of computation are mainly used for showing that something is **non-computable** rather than for showing that something is computable in this model.

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### **The URM**

- The URM (the unlimited register machine) is one model of computation.
	- **Particularly easy.**
	- It defines <sup>a</sup> virtual machine, i.e. <sup>a</sup> description how <sup>a</sup>computer would execute its program.
	- The URM is not intended for actual implementation (although it can easily be implemented).
	- It is not intended to be a realistic model of a computer.
	- It is intended as a mathematical model, which is then investigated mathematically.
	- $\bullet$  Not many programs are actually written in it one shows that in principal there is <sup>a</sup> way of writing <sup>a</sup>certain program in this language.

```
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```
### **URM**



**John Shepherdson (Bristol)** (2nd from the right) Developed together with Sturgis the URM.

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# **Description of the URM**

- The**URM** consists of ✿✿✿✿✿✿
	- infinitely many registers  $R_i$ 
		- **can store arbitrarily big natural number;**
	- a <mark>URM program</mark> consisting of a finite sequence of<br>**instructions** L. L. L. *L. L. L. L.* **instructions**  $I_0, I_1, I_2, \ldots I_n$ ;
	- and <sup>a</sup> **program**✿✿✿✿✿✿✿✿✿✿✿ **counter** ✿✿✿✿ **PC**. ✿✿✿✿✿✿✿✿✿✿✿
		- stores <sup>a</sup> natural number.
		- If PC contains a number  $0\leq i\leq n,$  it points to instruction  $\mathrm{I}_i$ .
		- If content of PC is outside this range, the programstops.

### **The URM**

- Rather difficult to write actual programs for the URM.
- Low level programming language (only goto)
- URM idealised machine no bounds on the amount of memory or execution time
	- however all values will be finite.
- Many variants of URM this URM will be particularly easy.

### **Remark**

- Note that the URM program is part of the URM.
- **•** One could distinguish between
	- The architecture of <sup>a</sup> URM consisting of registers, the program counter and <sup>a</sup> memory for <sup>a</sup> URMprogram,

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- and the URM program itself.
- **•** For historic reasons by a URM we mean the URM architecture **together** with <sup>a</sup> URM program.



### Program has terminated



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### **URM Instructions**

The✿✿✿✿✿✿✿✿✿✿✿✿✿✿✿✿ **predecessor**✿✿✿✿✿✿✿✿✿✿✿✿✿✿✿ **instruction**

 $\mathtt{pred}(k)$  ,

where  $k \in \mathbb{N}$ .

**Execution:** If  $\mathrm{R}_k$  contains value  $>0,$  decrease the content by 1.

If  $\mathrm{R}_k$  contains value  $0$ , leave it as it is.<br>. In all cases increment PC by <sup>1</sup>.

A more readable notation is

$$
\mathrm{R}_k:=\mathrm{R}_k\ \dot{-}\ 1
$$

### **URM Instructions**

The✿✿✿✿✿✿✿✿✿✿✿✿✿✿**conditional**✿✿✿✿✿✿✿✿ **jump** ✿✿✿✿✿✿✿✿✿✿✿✿✿✿ **instruction**

ifzero $(k, q)$ 

where  $k, q \in \mathbb{N}$ . Execution:

- If  $\mathrm{R}_k$  contains  $0,$  PC is set to  $q$ 
	- $\rightarrow$  next instruction is  $I_q$ , if  $I_q$  exists.
	- If no instruction  $\mathrm{I}_q$  exists, the program stops.
- If  $R_k$  does not contain 0, the PC incremented by 1. **Program continues executing the next instruction,** or terminates, if there is no next instruction.
- A more readable notation is

if  $\mathrm{R}_k=0$  then goto  $q$ 

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### **Finiteness**

A URM program refers only to **finitely many registers**, namely those referenced explicitly in one of theinstructions.

$$
x \doteq y
$$

 $\bullet$  Here

$$
x \doteq y := \max\{x - y, 0\} ,
$$

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i.e.

$$
x \dot{-} y = \begin{cases} x - y & \text{if } y \leq x, \\ 0 & \text{otherwise.} \end{cases}
$$

### **Example of <sup>a</sup> URM Program**

The following is an example of <sup>a</sup> URM-program:

 ${\rm I}_0 = \verb|$  ifzero $(0,3)$  ${\rm I}_1 = \hbox{ pred}(0)$  $I_2 =$  ifzero $(1, 0)$ 

### **Example**

 $I_0 =$ 

 $I_1 = \text{pred}(0)$   $I_2 = \text{ifzero}(1, 0)$ 

If we run this program with inital values  $\mathrm{R}_0 = 2, \, \mathrm{R}_1 = 0,$  we obtain the following trace of <sup>a</sup> run of this program:

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### **Example**

 $I_0 =$ 

 $I_1 = \text{pred}(0)$   $I_2 = \text{ifzero}(1, 0)$ 

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 $I_0 =$  $I_1 = \text{pred}(0)$   $I_2 = \text{ifzero}(1, 0)$ 

If we run this program with inital values  $\mathrm{R}_0 = 2, \, \mathrm{R}_1 = 0,$  we obtain the following trace of <sup>a</sup> run of this program:

Instruction  $R_0$   $R_1$ 

 $I<sub>0</sub>$  $\begin{array}{ccc} 0 & 2 & 0 \end{array}$ 

### **Example**

 $I_0 =$ 

 $I_1 = \text{pred}(0)$   $I_2 = \text{ifzero}(1, 0)$ 

If we run this program with inital values  $\mathrm{R}_0 = 2, \, \mathrm{R}_1 = 0,$  we obtain the following trace of <sup>a</sup> run of this program:



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### **Example**

 $I_0 =$ 

 $I_1 = \text{pred}(0)$   $I_2 = \text{ifzero}(1, 0)$ 

If we run this program with inital values  $\mathrm{R}_0 = 2, \, \mathrm{R}_1 = 0,$  we obtain the following trace of <sup>a</sup> run of this program:

Instruction  $R_0$   $R_1$ 



**Example**

 $I_0 =$ 

 $I_1 = \text{pred}(0)$   $I_2 = \text{ifzero}(1, 0)$ 

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Instruction  $R_0$   $R_1$ 



 $I_0 =$  $I_1 = \text{pred}(0)$   $I_2 = \text{ifzero}(1, 0)$ 

If we run this program with inital values  $\mathrm{R}_0 = 2, \, \mathrm{R}_1 = 0,$  we obtain the following trace of <sup>a</sup> run of this program:

Instruction  $R_0$   $R_1$ 



 $I_1$  $1 \t 1 \t 0$ 

### **Example**

 $I_0 =$ 

 $I_1 = \text{pred}(0)$   $I_2 = \text{ifzero}(1, 0)$ 

If we run this program with inital values  $\mathrm{R}_0 = 2, \, \mathrm{R}_1 = 0,$  we obtain the following trace of <sup>a</sup> run of this program:



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### **Example**

 $I_0 =$ 

 $I_1 = \text{pred}(0)$   $I_2 = \text{ifzero}(1, 0)$ 

If we run this program with inital values  $\mathrm{R}_0 = 2, \, \mathrm{R}_1 = 0,$  we obtain the following trace of <sup>a</sup> run of this program:

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Instruction  $R_0$   $R_1$ 



**Example**

 $I_0 =$ 

 $I_1 = \text{pred}(0)$   $I_2 = \text{ifzero}(1, 0)$ 

If we run this program with inital values  $\mathrm{R}_0 = 2, \, \mathrm{R}_1 = 0,$  we obtain the following trace of <sup>a</sup> run of this program:

Instruction  $R_0$   $R_1$ 



 $I_0 =$  $I_1 = \text{pred}(0)$   $I_2 = \text{ifzero}(1, 0)$ 

If we run this program with inital values  $\mathrm{R}_0 = 2, \, \mathrm{R}_1 = 0,$  we obtain the following trace of <sup>a</sup> run of this program:

### Instruction  $R_0$   $R_1$



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# **Behaviour of the Example**

- ${\rm I}_0 = \verb| &ifzero(0,3)|$
- ${\rm I}_1 = {\rm \ \ pred}(0)$
- ${\rm I}_2 = \verb|$  ifzero $(1,0)$
- Assume  $\rm R_1$  is initially zero.
- Then  $\mathrm{R}_1$  will never be changed by the program, so it will remain <sup>0</sup> for ever.
- So in instruction  $2$  the URM will always jump to instr.  $0$ .
- Then the program will as long as  $\mathrm{R}_0\neq 0$  decrease  $\mathrm{R}_0$  by 1.
- The result is that  $\rm R_0$  is set to  $0.$
- This corresonds to the instruction from <sup>a</sup> higher level language  $\mathrm{R}_0 := 0.$

# **URM-Computable Functions**

- For every <sup>U</sup>-program we define the function defined by it.
- In fact there are many function which are defined by the same <sup>U</sup>-program:
	- A unary function  $\mathrm{U}^{(1)}$ , which stores its argument in  $\rm R_{0},$  sets all other registers to  $0,$  then starts to run the U.
		- If the U stops, the result is read off from  $R_0$ .<br>Otherwise the result is undefinded.
		- **Cotherwise the result is undefinded.**
	- A binary function  $\mathrm{U}^{(2)}$ , which stores its two arguments in  $\mathrm{R}_0$  and  $\mathrm{R}_1$ , then operates as  $\mathrm{U}^{(1)}.$
	- And so on. In general we obtain a  $k$ -ary partial function  $\mathrm{U}^{(k)}$  for every  $k\geq 1.$

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# **Definition**  $\mathrm{U}^{(k)}$

- Let  $U = I_0, \ldots, I_{n-1}$  be a URM program,  $k \in \mathbb{N}, k \ge 1$ .
- We define <sup>a</sup> function

 $\mathrm{U}^{(k)}:\mathbb{N}^k\overset{\sim}{\rightarrow}\mathbb{N}$ 

by determining how it is computed:

- Assume we want to compute  ${\rm U}^{(k)}(a_0,\ldots,a_{k-1}).$
- **Initialisation:**
	- PC set to  $0$ .
	- $a_0, \ldots, a_{k-1}$  stored in registers  $\mathrm{R}_0, \ldots, \mathrm{R}_{k-1},$ respectively.
	- All other registers set to <sup>0</sup>. (Sufficient to do this for registers referenced in theprogram).

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### **URM-Computable Functions**

### **Iteration:**

 As long as the PC points to an instruction, execute it. Continue with the next instruction as given by thePC.

- **Output:**
	- If PC value  $>n$ , the program stops.

**URM-Computable Functions**

f : N<sup>k</sup>  $\stackrel{\sim}{\rightarrow}$  N is **URM-computable**, if  $f = U^{(k)}$  for some

- $\cdot$  The function returns the value in  $\mathrm{R}_0.$
- $\cdot$  So if  $\mathrm{R}_0$  contains  $b$  then

$$
U^{(k)}(a_0,\ldots,a_{k-1})\simeq b\ .
$$

If the program never stops,

 $k\in\mathbb{N}$  and some URM program  $\mathrm{U}.$ 

$$
\mathrm{U}^{(k)}(a_0,\ldots,a_{k-1})\uparrow\ .
$$

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### **Change of Notation**

- Until the academic year 2004/05, P was used instead of U to denote URM programs.
	- P will be used for Turing machines.
	- In order to distinguish URM-programs and Turingmachine programs, we write here U instead of P.<br>-
	- Please take this into account when looking at examsand slides from 2004/05 and before.

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### **Example**

Consider the example of <sup>a</sup> URM-program treatedbefore:

> ${\rm I}_0 = \verb|$  ifzero $(0,3)$  ${\rm I}_1 = ~\, {\rm pred}(0)$  $I_2 =$  ifzero $(1, 0)$

We have seen that if  $\mathrm{R}_1$  is initially zero, then the program reduces  $\mathrm{R}_0$  to  $0$  and then stops.

- ${\rm I}_0 = \;$  ifzero $(0,3)$
- ${\rm I}_1 = {\rm \ \ pred}(0)$
- ${\rm I}_2 = \verb|$  ifzero $(1,0)$
- A computation of  $\mathrm{U}^{(1)}(k)$  is as follows:
	- We set  $\mathrm{R}_0$  to  $k$ , all other registers to  $0.$
	- Then the URM program is executed, starting with instruction  $\mathrm{I}_0.$

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- This program terminates, with  $\rm R_{0}$  containing  $0.$
- The value returned is the content of  $R_0$ , i.e. 0.
- Therefore  $\mathrm{U}^{(1)}(k) \simeq 0.$

### **Partial Computable Functions**

- For a <mark>partial</mark> function  $f$  to be computable we need only:
	- If  $f(a)\downarrow$ , then after finite amount of time we can determine this property, and the value of  $f(a)$ .
- If  $f(a){\uparrow}$ , we will wait infinitally long for an answer, so we never determine that  $f(a)$ ↑.
	- **Turing halting problem** is the question: "Is <sup>f</sup>(a) <sup>↓</sup>?".
	- Turing halting problem is **undecidable**.
- If we want to have always an answer, we need to refer to **total computable functions**.

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### **Example**

- ${\rm I}_0 = \verb| &ifzero(0,3)|$
- ${\rm I}_1 = {\rm \ \ pred}(0)$
- ${\rm I}_2 = \verb|$  ifzero $(1,0)$
- In order to compute  $U^{(2)}(k,l)$  we have to do the same, but set initially  $\mathrm{R}_0$  to  $k,$   $\mathrm{R}_1$  to  $l.$
- For  $l=0$  we obtain the same run of the URM program as before.
	- Therefore  $\mathrm{U}^{(2)}(k,0) \simeq 0.$
- What is  $\mathrm{U}^{(2)}(k,l)$  for  $l > 0$ ?

# **Partial Computable Functions**

- In order to describe the total computable functions, we need to introduce the partial computable functions first.
	- **There is no program language s.t.** 
		- $\bullet$  it is decidable whether a string is a program,
		- and the program language describes all total computable functions.
			- · This is essentially <sup>a</sup> consequence of theundecidability of the Turing Halting Problem.

### **Example of URM-Comp. Function**

The following function is computable:

 $f : \mathbb{N}^2 \stackrel{\sim}{\to} \mathbb{N}$ ,  $f(x, y) \simeq x+y$ 

We derive <sup>a</sup> URM-program for it in several steps. **Step 1:**

Initially  $\mathrm{R}_0$  contains  $x$ ,  $\mathrm{R}_1$  contains  $y$ , and the other registers contain  $0.\,$ 

Program should then terminate with  $\mathrm{R}_0$  containing  $f(x, y)$ ,

i.e.  $x+y$ .

A higher level program is as follows:

$$
\mathrm{R}_0:=\mathrm{R}_0+\mathrm{R}_1
$$

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### **Example of URM-Comp. Function**

while  $(R_1 \neq 0)$  do  $\{R_0 := R_0 + 1\}$  $R_1 := R_1 - 1$ 

### **Step 3:**

Replace the while-loop by <sup>a</sup> goto:

```
{\tt LabelBegin: \; \; if \;} {\rm R_1}=0 \; {\tt then \; goto \; LabelEnd};R_0 := R_0 + 1;R_1 := R_1 \div 1;goto LabelBegin;
LabelEnd :
```
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# **Example of URM-Comp. Function**

 $R_0 := R_0 + R_1$ **Step 2:**

 Only successor and predecessor available, replace theprogram by the following:

while  $(R_1 \neq 0)$  do  $\{R_0 := R_0 + 1\}$  $R_1 := R_1 - 1$ 

- This increases  $\mathrm{R}_0$  by  $1$  as many times as the value contained in  $\mathrm{R}_1.$
- This means that the content of  $\mathrm{R}_1$  is added to  $\mathrm{R}_0.$
- Note that at the end of the run,  $\rm R_1$  contains  $0.$  But this is no problem since the at the end we only read off theresult from  $\mathrm{R}_0$ , and ignore  $\mathrm{R}_1.$

### **Example of URM-Comp. Function**

 ${\tt LabelBegin:}~~{\tt if}~{\rm R}_1=0~{\tt then}~{\tt goto}~{\tt LabelEnd};$  $\text{R}_0 := \text{R}_0 + 1; \text{R}_1 := \text{R}_1 \dot{-} 1; \texttt{goto LabelBegin};$ 

LabelEnd :

### **Step 4:**

 Replace last goto by <sup>a</sup> conditional goto, depending on $R_2 = 0.$ 

 $\mathrm{R}_2$  is initially  $0$  and never modified, therefore this jump will always be carried out.

 ${\tt LabelBegin: \; \; if \;} {\rm R_1}=0 \; {\tt then \; goto \; LabelEnd};$ 

```
R_0 := R_0 + 1;R_1 := R_1 \div 1;if \mathrm{R}_2 = 0 then goto LabelBegin;
```
LabelEnd :

### **Example of URM-Comp. Function**

 ${\tt LabelBegin:}~~{\tt if}~{\rm R}_1=0~{\tt then}~{\tt goto}~{\tt LabelEnd};$  $R_0 := R_0 + 1;$  $R_1 := R_1 \div 1;$ if  $\mathrm{R}_2 = 0$  then goto LabelBegin; LabelEnd :

### **Step 5:**

### Resolve labels:

- $0:$  if  $R_1 = 0$  then goto  $4;$
- $1: R_0 := R_0 + 1;$

$$
2: R_1:=R_1-1;
$$

- $3:$  if  $R_2 = 0$  then goto  $0;$
- <sup>4</sup> :

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# **(b) High Level Progr. Constructs**

- In this Subsection we will introduce some higher level program constructs for URMs, and how to translatethem back into the original URM language.
- These constructs will be still be rather low level in termsof the theory of programming languages, but highenough in order to allow easily to introduce theprograms needed in this module.

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# **Example of URM-Comp. Function**

```
0: if R_1 = 0 then goto 4;
```

```
1: R_0 := R_0 + 1;
```

```
2: R_1 := R_1 \div 1;
```

```
3: if R_2 = 0 then goto 0;
```

```
4 :
```
### **Step 6:**

Translate the program into a URM program  $\mathrm{I}_0,\mathrm{I}_1,\mathrm{I}_2,\mathrm{I}_3$ :

 ${\rm I}_0 = \verb| &ifzero(1,4)|$ 

 ${\rm I}_1 = \verb+succ+(0)$ 

```
{\rm I}_2 = {\rm \ \ pred}(1)
```

```
I_3 = ifzero(2,0)
```
# **Convention Concerning Jump Addresses**

- When inserting URM programs  $U$  as part of new URM<br>pregrams, jump addresses will be adopted accordingly programs, jump addresses will be adapted accordingly.
- $\textsf{E.g.in } \textsf{succ}(0)$  $\mathbf{U}$

### $\mathtt{pred}(0)$

we add 1 to the jump addresses in the original version of U.

- <span id="page-11-0"></span>Furthermore, we assume that, if U terminates, it terminates with the PC containing the number of thefirst instruction following  $\operatorname{U}.$ 
	- Means that if we then insert  $\mathrm{U},$  and a run of  $\mathrm{U}$  terminates, the next instruction to be executed is theone following  $\operatorname{U}.$

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### **More Readable Statements**

● We use the more readable statements

 $R_k := R_k + 1$ for succ(k),  $R_k := R_k - 1$ for pred $(k)$ , if  $\mathrm{R}_k=0$  then goto  $q$  for ifzero $(k,q).$ 

# **Omitting**  $I_k =$

- We omit now " $\mathrm{I}_k =$ ".
- Furthermore, labels don't have to start with Label, so we can write Begin instead of LabelBegin.
- We obtain the following program:

```
Begin : if R_0 = 0 then goto End
          R_0 := R_0 - 1if \mathrm{R}_1 = 0 then goto Begin
```
End :

Since End : is always the first instruction following the program, we will omit the last line End :.

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# **Labelled URM programs**

- We introduce labelled URM programs.
- It will be easier to translate them back into original URMprograms.
- The label End denotes the first instruction following <sup>a</sup>program.

\n- So instead of 
$$
I_0 = \text{ if } R_0 = 0 \text{ then go to 3}
$$
\n $I_1 = R_0 := R_0 - 1$ \n $I_2 = \text{ if } R_1 = 0 \text{ then go to 0}$ \n
\n

### we write

 $\texttt{LabelBegin:} \quad \mathrm{I}_0 = \quad \texttt{if} \ \mathrm{R}_0 = 0 \ \texttt{then} \ \texttt{goto} \ \texttt{End}$  $I_1 = R_0 := R_0 - 1$  $I_2 =$  if  $R_1 = 0$  then goto LabelBegin CS 226 Computability Theory, Michaelmas Term 2008, Sec. 3 (b)

# **Replacing Registers by Variables**

We write variable names instead of registers. So if  $\mathrm{x},\,\mathrm{y}$  denote  $\mathrm{R}_0,\,\mathrm{R}_1$ , respectively, we write instead of

Begin :  $if R_0 = 0$  then goto End  $R_0 := R_0 - 1$ if  $\mathrm{R}_1 = 0$  then goto Begin

### the following

Begin: if 
$$
x = 0
$$
 then go to End

\n $x := x - 1$ 

\nif  $y = 0$  then go to Begin

### **Goto**

**goto mylabel;** 

stands for the (labelled) URM statement  $\mathtt{if}~\mathtt{aux0}=0$  then goto mylabel;

Here aux0 is <sup>a</sup> register (which we can keep fixed), which is initially zero and never modified in the URM program, so it contains always <sup>0</sup>.

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while  $(x \neq 0)$  do  $\{\cdots\}$ 

while  $(x \neq 0)$  do  $\{$  $\langle Instantactions\rangle\};$ stands for the following URM program:

 ${\tt LabelLoop: \;\; if}\; {\tt x} = 0 \; {\tt then} \; {\tt goto} \; {\tt End};$  $\langle Instantuctions \rangle$ goto LabelLoop;

### **Repeat Loop**

### repea<sup>t</sup>{

 $\langle Instantactions \rangle$ 

until  $x = 0$ ;

stands for the following URM program:

 $\langle Instantuctions \rangle$ ; while  $(x \neq 0)$  do  $\{$  $\langle Instantations\rangle\};$ 

- $\bullet$  Note that this results in doubling of  $\langle Instantutions\rangle$ .
	- One can avoid this.
	- But the length of the resulting program is not a problem as long as we are not dealing withcomplexity theory.

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# $\mathbf{x} := 0$

 $\mathtt{x} := 0$ stands for the following program:

while  $(x \neq 0)$  do  $\{x := x - 1; \}$ ;

# $y := x;$

### y := <sup>x</sup>;

stands for (if  $\mathrm{x},\,\mathrm{y}$  denote different registers,  $\mathrm{aux}$  is new):

 $\mathtt{aux} := 0$ while  $(x \neq 0)$  do  $\{$  $x := x - 1;$ aux := aux + 1; };  $- -x = 0$ ; aux = x  $\sim$  ${\bf y} := 0; \hspace{1cm} -{\bf x} = {\bf y} = 0; \text{aux} = {\bf x} \sim$  $y := 0;$ while  $(aux \neq 0)$  do  $\{$  $aux := aux - 1;$  $x := x + 1;$  $y :=$  $--x = x \sim; y = x \sim; aux = 0;$ 

If  $\mathrm{x},\, \mathrm{y}$  are the same register,  $\mathrm{y} := \mathrm{x}$  stands for the empty<br>etatement statement.

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### **Notation <sup>x</sup>**<sup>∼</sup>

- On the previous slide the comments (indicated by −−) indicate the state of the variables after executing thisstatement.
- x <sup>∼</sup>, <sup>y</sup> <sup>∼</sup> denote the values of <sup>x</sup>, <sup>y</sup> before executing the procedure.

### **Aliasing Problem**

Note that if for x, y denoting the same register we would define  $y := x$  as the same program as when they are<br>different (veing a while leap) we abtain the following different (using <sup>a</sup> while loop) we obtain the followingprogram (comments explain the effects in this case):

$$
aux := 0
$$
\n
$$
while (x \neq 0) do {\n x := x - 1;\n aux := aux + 1;}; \n -- -x = 0; aux = x \sim\n x := 0;\n while (aux \neq 0) do {\n aux := aux - 1;\n x := x + 1;\n x := x + 1;}; \n -- -x = x \sim 2; aux = 0;
$$

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### **Aliasing Problem**

- Instead of assigning  $\boldsymbol{\mathrm{x}}$  to  $\boldsymbol{\mathrm{y}}$  (which means doing nothing),  $\mathrm{\textbf{x}}$  is doubled in this program.
- The above is an occurrence of the **aliasing**✿✿✿✿✿✿✿✿✿✿✿ **problem**. ✿✿✿✿✿✿✿✿✿✿
- The aliasing problem occurs if we have procedure with parameters which modifies its arguments, and if thisprogram doesn't do what it is intended to do in case twc of its arguments are instantiated by the same variable.
- **•** Frequent reason for programming erros, which are difficult to detect.

# $y := x;$

- Note that the URM program  $\mathrm{y}:=\mathrm{x};$  preserved the value<br>of  $\mathrm{r}$ of <sup>x</sup>.
	- So after executing the URM program, <sup>x</sup> contains thevalue as it had before starting the execution.
- Similarly, in the URM programs introduced on the next slides

 $x := y + z$  $x := y - z$ 

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the values of <sup>y</sup> and <sup>z</sup> will preserved.

# $x := y - z;$

Assume <sup>x</sup>, <sup>y</sup>, <sup>z</sup> denote different registers. Remember, that  $a - b := \max\{0, a - b\}.$ x := y ∸ z;<br>. is computed as follows (aux is an additional variable):

$$
x := y;
$$
  
\n
$$
aux := z;
$$
  
\n
$$
while (aux \neq 0) do {\n\n
$$
aux := aux - 1;
$$
  
\n
$$
x := x - 1; \};
$$
$$

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# $\mathbf{x} := \mathbf{y} + \mathbf{z};$

Assume <sup>x</sup>, <sup>y</sup>, <sup>z</sup> denote different registers.  $\mathrm{x} := \mathrm{y} + \mathrm{z};$  stands for the following program ( $\mathrm{aux}$  is an additional variable):

$$
x := y;
$$
  
\n
$$
-x = y \sim; y = y \sim
$$
  
\n
$$
aux := z;
$$
  
\n
$$
while (aux \neq 0) do {\n\n
$$
aux := aux - 1;
$$
  
\n
$$
x := x + 1; }; \qquad -x = y \sim +z \sim;
$$
  
\n
$$
-y = y \sim; z = z \sim; aux = 0;
$$
$$

### **Checking for Inequality**

- We have
- $(x y) + (y x) \neq 0 \Leftrightarrow x \neq y$

**Proof:**

- If  $x > y$ , then
- $x y$  > 0,  $y - x = 0,$  $(x - y) + (y - x) > 0$
- If  $y > x$ , then
	- $y x$  >  $> 0$ ,<br>= 0,  $x - y = 0$ ,  $(x - y) + (y - x) > 0$

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### **Checking for Inequality**

 $(x - y) + (y - x) \neq 0 \Leftrightarrow x \neq y$ 

If  $x = y$ , then

$$
y - x = 0,
$$
  
\n
$$
x - y = 0,
$$
  
\n
$$
(x - y) + (y - x) = 0
$$

### **Checking for Inequality**

while  $((x - y) + (y - x) \neq 0)$  do  $\{\cdots\}$ 

which can be replaced by

 $\text{aux} := (\text{x} \dot{-}\text{ y}) + (\text{y} \dot{-}\text{ x})$ while aux  $\neq 0$ ) do  $\{\ldots$  $\text{aux} := (\text{x} \dot{-} \text{ y}) + (\text{y} \dot{-} \text{ x})$ }

If we unfold this further, we obtain the following:

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### **Checking for Inequality**

 $(x - y) + (y - x) \neq 0 \Leftrightarrow x \neq y$ 

So a while loop

while  $(x \neq y)$  do  $\{\cdots\}$ 

can be replaced by

while 
$$
((x - y) + (y - x) \neq 0)
$$
 do  $\{\cdots\}$ 

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# while  $(x \neq y)$  do  $\{\cdots\}$

Assume <sup>x</sup>, <sup>y</sup> denote different registers. while  $(x \neq y)$  do {  $\langle Statements \rangle$ ; stands for ( $\mathrm{aux},\,\mathrm{aux}_i$  denote new registers):

$$
aux_0 := x - y;
$$
  
\n
$$
aux_1 := y - x;
$$
  
\n
$$
aux := aux_0 + aux_1;
$$
  
\n
$$
while (aux \neq 0) do {\n \langle Statements \rangle\n \n aux_0 := x - y;
$$
  
\n
$$
aux_1 := y - x;
$$
  
\n
$$
aux := aux_0 + aux_1; };
$$

### **(c) URM-Computable Functions**

- We introduce some constructions for introducing URM-computable functions.
- We will later introduce the set of partial recursive functions as the least set of functions closed underthese constructions
	- Then by the fact that the URM-computable functionsare closed under these operations it follows that all partial recursive functions are URM-computable.
- We introduce first names for all functions constructedthis way.

**Notations for Partial Functions**

(a) Define the **zero function** zero :  $\mathbb{N} \to \mathbb{N}$ , zero $(x) = 0$ .

Note that all total functions are as well partial, so we

proj $_0^1$  :  $\mathbb{N} \to \mathbb{N}$  is the identity function:  $\text{proj}_0^1(x) = x$ .

 $\qquad \qquad \textbf{(b)} \ \ \textbf{Define the successor function succ : ℕ → ℕ,$ 

(c) Define for  $0 \leq i < n$  the **projection function**  $\operatorname{proj}_i^n : \mathbb{N}^n \to \mathbb{N}$ , proj $_i^n(x_0, \ldots, x_{n-1}) = x_i.$ 

have for instance as well zero :  $\mathbb{N} \stackrel{\sim}{\rightarrow} \mathbb{N}$ .

### **Notations for Partial Functions**

(d) Assume

$$
g : (B_0 \times \cdots \times B_{k-1}) \xrightarrow{\sim} C ,
$$
  
\n
$$
h_i : A_0 \times \cdots \times A_{n-1} \xrightarrow{\sim} B_i . \quad i = 0, ..., k-1
$$

Define

$$
f := g \circ (h_0, \ldots, h_{k-1}) : A_0 \times \cdots \times A_{n-1} \xrightarrow{\sim} C :
$$

 $f(\vec{a}) := g(h_0(\vec{a}), \ldots, h_{k-1}(\vec{a}))$ 

In case of 
$$
k = 1
$$
 we write  $g \circ h$  instead of  $g \circ (h)$ .

Furthermore as usual

 $g_1 \circ g_2 \circ \cdots \circ g_n := g_1 \circ (g_2 \circ (\cdots \circ (g_{n-1} \circ g_n)))$ .

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### **Notations for Partial Functions**

(e) Assume

 $g$  :  $\mathbb{N}^k \overset{\sim}{\rightarrow} \mathbb{N}$  $\begin{array}{c}\n\cdots \\
\infty k+2 \quad \sim \quad \infty\n\end{array}$  $h$  :  $\mathbb{N}^{k+2} \overset{\sim}{\rightarrow} \mathbb{N}$ . . .<br>.

Then we can define a function  $f: \mathbb{N}^{k+1}$  $\frac{\textbf{primitive} \textbf{recursion}}{\textbf{simitive}}$  from  $g$  and  $h$  as follows: ∼→N defined by ✿✿✿✿✿✿✿✿✿✿✿

> $f(\vec{n}, 0) \cong g(\vec{n})$  $f(\vec{n}, m + 1) \simeq h(\vec{n}, m, f(\vec{n}, m))$

- We write  $\overline{\text{prime}(g,h)}$  for the function  $f$  just defined.
- <span id="page-17-0"></span> ${\sf So}$  primrec $(g,h):{\mathbb{N}}^{k+1}$  $~\stackrel{\sim}{\to}~\mathbb{N}.$

 $succ(x) = x + 1.$ 

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✿✿✿✿✿✿

✿✿✿✿✿✿✿✿✿✿✿✿✿

**Definition 3.1**

**Remark**

### **Notations for Partial Functions**

In the special case  $k = 0$ , it doesn't make sense to use  $g()$ . Instead replace in this case  $g$  by some natural number. So the case  $k = 0$  reads as follows:

Assume  $a\in\mathbb{N}$ ,  $h:\mathbb{N}^2 \stackrel{\sim}{\rightarrow} \mathbb{N}.$ Define

 $f:\mathbb{N}\stackrel{\sim}{\rightarrow}\mathbb{N}$ 

by primitive recursion from  $a$  and  $h$  as follows:

 $f(0) \cong a$  $f(m+1) \cong h(m, f(m))$ 

We write primrec $(a,h)$  for  $f$ , so primrec $(a,h): \mathbb{N} \stackrel{\sim}{\rightarrow} \mathbb{N}$ .

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### **primrec in Haskell (Cont.)**

- - primrec1 is the operator for primitive recursion
- - defining a 2-ary function primrec1 f g :: Nat  $\rightarrow$  Nat  $\rightarrow$  Nat from fundetic Met
- - from f: Nat  $\rightarrow$  Nat  $\rightarrow$  Nat  $\rightarrow$  Nat and g: Nat  $\rightarrow$  Nat

primrec1 :: (Nat → Nat)  $\rightarrow$  (Nat  $\rightarrow$  Nat  $\rightarrow$  Nat $\rightarrow$  Nat) → Nat → Nat → Nat<br>b.p.7.c.p. primrec1 g h  $n Z = g n$ primrec1 g h <sup>n</sup> (S m) <sup>=</sup> h <sup>n</sup> <sup>m</sup> (primrec1 g h <sup>n</sup> m)

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### **primrec in Haskell**

In Haskell we can define **primrec** as <sup>a</sup> higher-order function as follows:

data Nat $=$  Z  $\mid$  S Nat deriving Show

- - primrec0 is the operator for primitive recursion
- - defining a 1-ary function primrec0 f a :: Nat  $\rightarrow$  Nat<br>from f: Nat  $\rightarrow$  Nat  $\rightarrow$  Nat and a: Nat
- from f: Nat  $\rightarrow$  Nat  $\rightarrow$  Nat and a: Nat

primrec0 :: Nat → (Nat → Nat → Nat) → Nat → Nat<br>primrec0.e.e.7 primrec0  $a \, q \, Z = a$ primrec0 <sup>a</sup> g (S n) <sup>=</sup> g <sup>n</sup> (primrec0 <sup>a</sup> g n)

### **Examples for Primitive Recursion**

Addition can be defined using primitive recursion: Let  $\mathsf{add} : \mathbb{N}^2 \to \mathbb{N}$ ,  $\mathsf{add}(x, y) := x + y$ . We have

 $\mathsf{add}(x, 0) = x + 0 = x$  $add(x, y + 1) = x + (y + 1) = (x + y) + 1 = add(x, y) +$ 

**Therefore** 

$$
\begin{array}{rcl} \mathsf{add}(x,0) & = & g(x) \\ \mathsf{add}(x,y+1) & = & h(x,y,\mathsf{add}(x,y)) \end{array}
$$

where

$$
g: \mathbb{N} \to \mathbb{N} , \qquad g(x) := x ,
$$
  

$$
h: \mathbb{N}^3 \to \mathbb{N} , \quad h(x, y, z) := z + 1 .
$$

So add = 
$$
primrec(g, h)
$$
.

### **Addition (**add**)**

$$
g: \mathbb{N} \to \mathbb{N} , \qquad g(x) := x ,
$$
  
\n
$$
h: \mathbb{N}^3 \to \mathbb{N} , \qquad h(x, y, z) := z + 1 ,
$$
  
\n
$$
add := \text{prime}(g, h)
$$

- We have
	- $\mathsf{add}(x,0) = g(x) = x = x + 0.$
	- $\mathsf{add}(x,1) = h(x,0,\mathsf{add}(x,0)) = \mathsf{add}(x,0) + 1 = x + 1.$
	- $\mathsf{add}(x,2) = h(x,1,\mathsf{add}(x,1)) = \mathsf{add}(x,1) + 1 = (x+1) + 1.$
	- $e$  etc.

### **Examples for Primitive Recursion**

Multiplication can be defined using primitive recursion: Let  $\texttt{mult} : \mathbb{N}^2 \to \mathbb{N}$ ,  $\textsf{mult}(x, y) := x \cdot y$ . We have

 $\text{mult}(x, 0) = x \cdot 0 = 0$ mult $(x, y + 1) = x \cdot (y + 1) = x \cdot y + x = \text{mult}(x, y) + x$ 

**Therefore** 

$$
\begin{array}{rcl}\n\mathsf{mult}(x,0) & = & g(x) \\
\mathsf{mult}(x,y+1) & = & h(x,y,\mathsf{mult}(x,y))\n\end{array}
$$

where

$$
g: \mathbb{N} \to \mathbb{N} , \qquad g(x) := 0 ,
$$
  

$$
h: \mathbb{N}^3 \to \mathbb{N} , \quad h(x, y, z) := z + x .
$$

So mult  $=$  primrec $(g,h)$ .

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### **Multiplication (**mult**)**

 $g : \mathbb{N} \to \mathbb{N}$ ,  $g(x) := 0$ ,  $h : \mathbb{N}^3 \to \mathbb{N}$ ,  $h(x, y, z) := z + x$ ,  $\mathsf{mult} := \mathsf{prime}(g,h)$ 

- We have
	- mult $(x, 0) = g(x) = 0 = x \cdot 0.$
	- $\mathsf{mult}(x,1) = h(x,0,\mathsf{mult}(x,0)) = \mathsf{mult}(x,0) + x = 0$  $0 + x = x.$
	- $mult(x, 2) = h(x, 1, mult(x, 1)) = mult(x, 1) + x =$  $(x \cdot 1) + x.$
	- $e$  etc.

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# **Defining** <sup>+</sup> **from primrec in Haskell**

In Haskell we can define add from primrec as follows

add :: Nat <sup>→</sup> Nat <sup>→</sup> Nat

add = primrec1 ( $\lambda$ n  $\rightarrow$  n) ( $\lambda$ n m k  $\rightarrow$  S k)

### **Examples for Primitive Recursion**

Let  $\operatorname{\mathsf{pred}}: \mathbb{N} \rightarrow \mathbb{N},$ ✿✿✿✿

> $\mathsf{pred}(n) :=$  $\,n$  $-1 = \begin{cases} n \\ 0 \end{cases}$  $n-1$  if  $n > 0$ ,<br>0 otherwise.

pred can be defined using primitive recursion:

$$
pred(0) = 0
$$
  
pred $(x + 1) = x$ 

**Therefore** 

$$
\begin{array}{rcl} \mathsf{pred}(0) & = & 0 \\ \mathsf{pred}(x+1) & = & h(x,\mathsf{pred}(x)) \end{array}
$$

where

$$
h: \mathbb{N}^2 \to \mathbb{N} , \quad h(x, y) := x
$$

 $\textsf{So}$  pred  $=$  primrec $(0,h)$ .

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### **Examples for Primitive Recursion**

 $x-y$  can be defined using primitive recursion: Let  $f(x,y) := x - y$ . We have

$$
f(x, 0) = x \div 0 = x
$$
  

$$
f(x, y+1) = x \div (y+1) = (x \div y) \div 1
$$
  

$$
= \text{pred}(x \div y) = \text{pred}(f(x, y))
$$

**Therefore** 

$$
f(x, 0) = g(x) \n f(x, y + 1) = h(x, y, f(x, y))
$$

where

 $f=$ 

$$
g: \mathbb{N} \to \mathbb{N} , \qquad g(x) := x ,
$$
  
\n
$$
h: \mathbb{N}^3 \to \mathbb{N} , \quad h(x, y, z) := \text{pred}(z) .
$$
  
\nSo  $f = \text{prime}(g, h).$ 

### **Remark**

If  $f=$  primrec $(g, h)$ , then

$$
f(\vec{n},m)\uparrow \rightarrow \forall k \geq m.f(\vec{n},k)\uparrow
$$

### **Proof:**

• We have

$$
f(\vec{n}, m+1) := h(\vec{n}, m, f(\vec{n}, m))
$$

- **All functions are strict.**
- So if  $f(\vec{n},m) \mathord{\uparrow}$ , then

$$
f(\vec{n}, m+1) \simeq h(\vec{n}, m, f(\vec{n}, m))\uparrow
$$

therefore

 $f(\vec{n}, m + 1)\uparrow$ 

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### **Proof of Remark**

• Therefore we have

 $f(\vec{n}, m) \uparrow \rightarrow f(\vec{n}, m + 1) \uparrow$ .

By induction it follows that  $f(\vec{n}, m) \mathord{\uparrow}$  implies

 $\forall k \geq m.f(\vec{n},k)$   $\uparrow$ .

 $\bullet$  Let  $h$  :  $\mathbb{N}^2 \stackrel{\sim}{\rightarrow}$  $\widetilde{\to} \mathbb{N}$ ,  $h(n, m) \simeq \begin{cases} m-1 & \text{if } m > 0, \\ \bot & \text{otherwise.} \end{cases}$ Let $f : \mathbb{N} \stackrel{\sim}{\rightarrow} \mathbb{N}$ ,  $f := \text{prime}(1, h)$ ,<br> $f(x) \ge 1$ ,  $f(x+1) \ge h(x) f(x)$ i.e.  $f(0) \simeq 1$ ,  $f(n + 1) \simeq h(n, f(n))$ . **Then**  $f(0) \simeq 1$  $f(1) \simeq h(0, f(0)) \simeq h(0, 1) \simeq 0$  $f(2) \simeq h(1, f(1)) \simeq h(1, 0)$  $\forall m \geq 2.f(m)$ 

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**Primitive-Recursive Functions** 

- The functions, which can be defined from zero, succ, rn zero, succ,<br>tive resureis proj $_{i}^{k}$  by using composition (○) and primitive recursion (primrec) are called the **primitive**✿✿✿✿✿✿✿✿✿✿✿✿✿ **recursive**✿✿✿✿✿✿✿✿✿✿✿✿✿ **functions**. ✿✿✿✿✿✿✿✿✿✿✿
- The primitive-recursive functions will be studied more indetail in <mark>Sect. 5.</mark>
	- There we will see that they are powerful, but **not Turing-complete**.

### **Notations for Partial Functions**

Let  $g:\mathbb{N}^{n+1}\stackrel{\sim}{\rightarrow}\mathbb{N}.$ Me define  $uu$  (a $\ell$ We define  $\mu y.(g(\vec{x}, y) \simeq 0)$ :  $\mu y.(g(\vec{x}, y) \simeq 0) :=$ the least  $y \in \mathbb{N}$  s.t.<br>  $g(\vec{x}, y) \simeq 0$ <br>
and for  $0 \le y' < y$ <br>
there exists a  $z' \ne 0$ <br>
s.t.  $g(\vec{x}, y') \simeq z'$  if such y<br>
exists,<br>
⊥ otherwis otherwise

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 $\mu(g)$ 

Now define  $h : \mathbb{N}^n \overset{\sim}{\to} \mathbb{N}$ ,

 $h(\vec{x}) \simeq \mu y.(g(\vec{x}, y) \simeq 0)$ 

We write  $\mu(g)$  for this function  $h.$ 

### **Assume**

$$
g(x, 0) \simeq 1
$$
  

$$
g(x, 1) \uparrow
$$
  

$$
g(x, 2) \simeq 0
$$

### Then

$$
\mu y.(g(x, y) \simeq 0) \uparrow
$$

**Assume instead** 

$$
g(x,0) \approx 1
$$
  

$$
g(x,1) \approx 5
$$
  

$$
g(x,2) \approx 0
$$

Then

$$
\mu y.(g(x, y) \simeq 0) \simeq 2
$$

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# **Computation of**  $\mu(g)$

 $\mu(g)(\vec{x}) := \mu y.(g(\vec{x}, y) \simeq 0).$ 

- If  $g$  is intuitively computable, we see that  $h := \mu(g)$  is<br>intuitively computable as follows: intuitively computable as follows:
	- In order to compute  $h(\vec{x})$  we first compute  $g(\vec{x}, 0)$ .
		- If this computation never terminates  $g(\vec{x},0){\uparrow}$  and  $\mu y.(g(\vec{x}, y) \simeq 0)$ † as well.
		- If it terminates, and we have  $g(\vec{x}, 0) \simeq 0$ , we obtain  $\mu y.(g(\vec{x}, y) \simeq 0) \simeq 0.$
	- Otherwise, repeat the above with testing of  $g(\vec{x}, 1) \simeq 0.$ <br> $\blacksquare$  If 2.12222
		- If successful  $\mu y.(g(\vec{x}, y) \simeq 0) \simeq 1.$
	- If unsuccessful repeat it with  $2, 3$ , etc.

# **Computation of**  $\mu(g)$

- Note that  $\mu(q)(\vec{x})\uparrow$ in case there is a  $y$  s.t.
	- $g(\vec{x}, y)$
	- and for  $y'< y$  we have  $g(\vec{x}, y') \!\downarrow$  but  $g(\vec{x}, y') \simeq z$  for some  $z > 0$ .
- This coincides with computation by the abovementioned intuitive computation:
	- In this case, the program will compute  $g(\vec{x}, 0)$ ,  $g(\vec{x}, 1), \ldots, g(\vec{x}, y-1)$  and get as result that these<br>values are  $\angle$  0 values are  $\neq 0$ .
	- Then it will try to compute  $g(\vec{x}, y)$ , and this computation never terminates.
	- So the value of this program is undefined, as is $\mu y.(g(\vec{x}, y) \simeq 0).$

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# **Computation of**  $\mu(g)$

If we defined  $\mu(g)(\vec{x})$  to be the least  $y$  s.t.

 $g(\vec{x}, y) \simeq 0$ 

independently of whether  $g(\vec{x}, y') \downarrow$  for all  $y' < y$ , then we would obtain <sup>a</sup> **non computable function**.

# Examples for  $\mu$

Let  $f : \mathbb{N}^2 \to \mathbb{N}$ ,  $f(x, y) := x \div y$ . Then

 $\mu y.(f(x, y) \simeq 0) \simeq x$ 

so  $\mu(f)(x) \simeq x$ .

\n- Let 
$$
f : \mathbb{N} \xrightarrow{\sim} \mathbb{N}
$$
,  $f(0) \uparrow$ ,  $f(n) := 0$  for  $n > 0$ . Then
\n

$$
\mu y.(f(y) \simeq 0) \uparrow
$$

### **Partial Recursive Functions**

- The functions, which can define in the same way as the primitive-recursive functions
	- i.e. being defined from zero, succ, proj $_i^k$  by using<br>composition (c) and primitive reqursion (primate composition (◦) and primitive recursion (primrec)but by additionally closing them under  $\mu,$  are called the ✿✿✿✿✿✿✿✿**partial**✿✿✿✿✿✿✿✿✿✿✿✿✿ **recursive**✿✿✿✿✿✿✿✿✿✿✿✿✿ **functions**.
- The partial recursive functions will be studied more in detail in <mark>Sect. 6.</mark>
	- There we will see that the partial recursive functions **form <sup>a</sup> Turing complete model of computation**.

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# Examples for  $\mu$

Let  $f : \mathbb{N} \stackrel{\sim}{\rightarrow} \mathbb{N},$  (  $\frac{1}{1}$  ;

1 if there exist primes  $p, q < 2n + 4$ <br>s.t.  $2n + 4 = p + q$ ,<br>0 otherwise

 $f(n) :=$ 

 $\mu y.(f(y) \simeq 0)$  is the first  $n$  s.t. there don't exist primes  $p,$ q s.t.  $2n + 4 = p + q$ .<br>Coldbook'e eenige

**Goldbach's conjecture** says that every even number  $\geq 4$  is the sum of two primes.<br>This is equivalent to we (the  $\mathcal{L}$ 

This is equivalent to  $\mu y.('f(y) \simeq 0)$ ↑.

It is one of the most important open problems in mathematics to show (or refute) Goldbach's conjecture. If we could decide whether <sup>a</sup> partial computing function is defined (which we can't), we could decide Goldbach'sconjecture.

**Next Step**

● We are going to show that the URM computable functions are closed under the operations introducedabove.

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- In order to show this we need to be able to modify URM programs, so that they
	- have some other specified input and output registers,
	- and conserve the content of certain other registers.
- The following lemma shows that such a modification is possible.

### **Lemma and Definition 3.2**

Assume  $f: \mathbb{N}^k \stackrel{\sim}{\rightarrow} \mathbb{N}$  is URM-computable.<br>Assume your available and different

Assume  $\text{x}_0, \ldots, \text{x}_{k-1}, \, \text{y}, \, \text{z}_0, \, \ldots, \text{z}_l$  are different variables. Then one can define <sup>a</sup> URM program, which, computes $f(\mathbf{x}_0, \dots, \mathbf{x}_{k-1})$  and stores the result in  $\mathrm{y}$  in the following sense:

- If  $f(\mathrm{x}_0, \dots, \mathrm{x}_{k-1})$   $\downarrow$ , the program terminates at the first instruction following this **program**, and stores the result in <sup>y</sup>.
- If  $f(\mathrm{x}_0, \dots, \mathrm{x}_{k-1}){\uparrow}$ , the program never terminates.

The program can be defined so that it doesn't change $x_0, \ldots, x_{k-1}, z_0, \ldots, z_l$ .

 $\boldsymbol{\epsilon}$  U we say it is <u>a URM program which computes</u>

✿✿✿✿✿✿✿✿✿✿✿✿✿✿✿✿✿✿✿✿✿✿ <sup>y</sup> ' <sup>f</sup>(<sup>x</sup>0, . . . , <sup>x</sup>k−<sup>1</sup>) ✿✿✿✿✿ **and**✿✿✿✿✿✿✿✿✿✿✿✿✿ **preserves**✿✿✿✿✿✿✿✿✿✿✿ <sup>z</sup>0, . . . , <sup>z</sup>l.

```
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```
# **Intuition behind Lem. 3.2**

- Lemma 3.2 means that if  $f$  is URM-computable then we can define <sup>a</sup> URM-program in such <sup>a</sup> way that
	- $\bullet$  it takes the arguments from registers we have chosen,
	- and stores the result in a register we have chosen,
	- and does this in such a way that the content of the input registers and of some other registers we havechoosen are not modified.
	- This is possible as long as the input registers and the output register are all different.

# **Idea of the proof**

- First copy the arguments in some other registers, sothat the arguments are preserved.
- Then compute the function on those auxiliary registers and make sure that the computation doesn't affect theregisters to be preserved.
- Then move the result into the register chosen as output register, and set variables  $\mathrm{x}_0, \ldots, \mathrm{x}_{k-1}, \, \mathrm{z}_0, \ldots, \mathrm{z}_l$  back to their original (stored) values.

[O](#page-25-0)m[i](#page-25-0)t Proof.

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### **Proof**

Let U be a URM program s.t.  $U^{(k)} = f$ .

Let  $\mathfrak{u}_0,\ldots,\mathfrak{u}_{k-1}$  be registers different from the above. By renumbering of registers and of jump addresses, weobtain a program  $U'$ , which computes the result of  $f(x, y)$ 

 $f(\mathtt{u}_0,\dots,\mathtt{u}_{k-1})$  in  $\mathtt{u}_0$ 

leaves the registers mentioned in the lemma unchanged, and which, if it terminates, terminates in the first instructionfollowing U′.<br><del>T</del>'

The following is <sup>a</sup> program as intended:

 $u_0 := x_0;$ · · ·  $\mathbf{u}_{k-1} := \mathbf{x}_{k-1};$  $\mathbf{U}^{\prime}$ 

### **Lemma 3.3**

- (a) zero, succ <mark>and</mark> proj $_{i}^n$  are URM-computable.
- (b) If  $f : \mathbb{N}^n \stackrel{\sim}{\to} \mathbb{N}$ ,  $g_i : \mathbb{N}^k \stackrel{\sim}{\to} \mathbb{N}$  are URM-computable, so is  $f \circ (g_0, \ldots, g_{n-1})$  $f \circ (g_0, \ldots, g_{n-1}).$
- (c) If  $g : \mathbb{N}^n \stackrel{\sim}{\to} \mathbb{N}$ , and  $h : \mathbb{N}^{n+2} \stackrel{\sim}{\to} \mathbb{N}$  are URM-computable,<br>so is the function  $f := \text{prime}(a, b)$  defined by primitive so is the function  $f := \text{prime}(g,h)$  defined by primitive<br>requires from , and  $l$ recursion from  $g$  and  $h$ .
- (d) If  $g : \mathbb{N}^{n+1} \stackrel{\sim}{\rightarrow} \mathbb{N}$  is URM-computable, so is  $\mu(g).$

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### **Remark**

- **•** The Lemma is very powerful:
	- It shows that many functions are URM-computable.
	- This shows that for instance the exponential functionis URM computable.
		- **This follows since addition, multiplication and** exponentiation can be defined by primitiverecursion from the basic functions.
		- Writing <sup>a</sup> URM program directly which computesthe exponential function would be very difficult.

### Omit Proof.

### **Proof of Lemma 3.3 (a)**

Let  $\mathrm{x}_i$  denote register  $\mathrm{R}_i.$ **Proof of (a)**

- zero is computed by the following program:  $x_0 := 0.$
- succ is computed by the following program:  $x_0 := x_0 + 1.$
- $\mathsf{proj}^n_k$  is computed by the following program:  $x_0 := x_k.$ 
	- Especially, if  $k=0$  then  $\mathsf{proj}_k^n$  is the empty program (i.e. the program with no instructionsthis is since we defined  $\mathrm{x}_{0} := \mathrm{x}_{0}$  to be the empty<br>pregram ) program.)

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### **Proof of Lemma 3.3 (b)**

Assume <sup>f</sup> : <sup>N</sup><sup>n</sup> <sup>∼</sup><sup>→</sup> <sup>N</sup>, <sup>g</sup><sup>i</sup> : <sup>N</sup><sup>k</sup> <sup>∼</sup><sup>→</sup> <sup>N</sup> are URM-computable. Show  $f \circ (g_0, \ldots, g_{n-1})$  is computable. A plan for the program is as follows:

- Input is stored in registers  $\mathrm{x}_0,\ldots,\mathrm{x}_{k-1}.$ Let  $\vec{x} := x_0, \ldots, x_{k-1}.$
- First we compute  $g_i(\vec{\mathbf{x}})$  for  $i=0,\ldots,n-1,$  store result in<br>registers registers  $\mathrm{y}_i.$ 
	- By Lemma 3.2 we can do this in such <sup>a</sup> way that  $\mathbf{x}_{0}, \ldots, \mathbf{x}_{k-1}$  and the previously computed values  $g_i(\vec{\mathrm{x}})$ , which are stored in  $\mathrm{y}_j$  for  $j < i$  are not destroyed.
- Then compute  $f(\mathrm{y}_0,\ldots,\mathrm{y}_{n-1})$ , and store result in  $\mathrm{x}_0$ .
- <span id="page-25-0"></span>Then  $x_0$  contains  $f(g_0(\vec{x}), \ldots, g_{n-1}(\vec{x})))$ .

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### **Proof of Lemma 3.3 (b)**

- Let therefore  $U_i$  be a URM program  $(i = 0, \ldots, n 1),$ which computes  $\mathrm{y}_{i} \simeq g_{i}(\vec{\mathrm{x}})$  and preserves  $\mathrm{y}_{j}$  for  $j \neq i.$
- Let  $V$  be a URM program, which computes  $x_0 \simeq f(y_0, \ldots, y_{n-1}).$

### **Proof of Lemma 3.3 (b)**



- **Case 1:** For one <sup>i</sup> <sup>g</sup>i(<sup>~</sup>x)↑. The program will loop in program  $\mathrm{U}_i$  for the first such  $i.$  $U^{\prime (k)}(\vec{\mathbf{x}})\mathbf{\uparrow}$ ,  $f \circ (g_0,\ldots,g_{n-1})(\vec{\mathbf{x}})\mathbf{\uparrow}$ .
- $\textbf{Case 2:}$  For all  $i \; g_i(\vec{\textbf{x}}) \downarrow$ . The program executes  $\mathbf{U}_i$ , sets  $\mathbf{y}_i \simeq g_i(\mathbf{x}_0, \dots, \mathbf{x}_{k-1})$  and reaches beginning of  $\mathbf{V}_i$ reaches beginning of <sup>V</sup>.

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### **Proof of Lemma 3.3 (b)**



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### **Proof of Lemma 3.3 (b)**



- **Case 2.1:** f(<sup>g</sup>0(<sup>~</sup>x), . . . , <sup>g</sup><sup>n</sup>−<sup>1</sup>(<sup>~</sup>x))↑. V will loop,  $U'^{(k)}(\vec{x})$ ↑,  $f \circ (g_0, \ldots, g_{n-1})(\vec{x})$ ↑.
- **Case 2.2:** Otherwise. The program reaches the end of program V and<br>result in  $x \in \Omega(f(\alpha(\vec{x}))$ result in  $\mathbf{x}_0 \simeq f(g_0(\vec{\mathbf{x}}), \ldots, g_{n-1}(\vec{\mathbf{x}})).$ So  $U'^{(k)}(\vec{x}) \simeq (f \circ (g_0, \ldots, g_{n-1}))(\vec{x}).$

### **Proof of Lemma 3.3 (b)**

In all cases

$$
U^{\prime(k)}(\vec{x}) \simeq (f \circ (g_0, \ldots, g_{n-1}))(\vec{x}) .
$$

### **Proof of Lemma 3.3 (c)**

Computation of  $f(\vec{n}, l)$  for  $l > 0$  is as follows:

- Compute  $f(\vec{n}, 0)$  as  $g(\vec{n})$ .
- Compute  $f(\vec{n}, 1)$  as  $h(\vec{n}, 0, f(\vec{n}, 0))$ , using the previous result.
- Compute  $f(\vec{n}, 2)$  as  $h(\vec{n}, 1, f(\vec{n}, 1))$ , using the previous result.
- $\cdot \cdot \cdot$
- Compute  $f(\vec{n}, l)$  as  $h(\vec{n}, l 1, f(\vec{n}, l 1))$ , using the previous result.

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### **Proof of Lemma 3.3 (c)**

Assume

$$
g: \mathbb{N}^n \xrightarrow{\sim} \mathbb{N}, \quad h: \mathbb{N}^{n+2} \xrightarrow{\sim} \mathbb{N}
$$

are URM-computable.

Let

$$
f := \textsf{prime}(g,h) \enspace .
$$

Show  $f$  is URM-computable.<br>Defining equations for  $f$  are Defining equations for  $f$  are as follows (let  $\vec{n} := n_0, \ldots, n_{n-1}$ ):

- $f(\vec{n}, 0) \simeq g(\vec{n}),$
- $f(\vec{n}, k + 1) \simeq h(\vec{n}, k, f(\vec{n}, k)).$

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### **Proof of Lemma 3.3 (c)**

Plan for the program:

- Let  $\vec{x} := x_0, \ldots, x_{n-1}.$ Let y, <sup>z</sup>, <sup>u</sup> be new registers.
- <span id="page-27-0"></span>Compute  $f(\vec{x}, y)$  for  $y = 0, 1, 2, ..., x_n$ , and store result in z.
	- Initially we have  $y=0$  (holds for all registers except of  $\mathrm{x}_0,\ldots,\mathrm{x}_n$  initially). We compute  $\mathbf{z} \simeq g(\vec{\mathbf{x}})$  ( $\simeq f(\vec{\mathbf{x}}, 0)$ ). Then  $y = 0$ ,  $z \simeq f(\vec{x}, 0)$ .

### **Proof of Lemma 3.3 (c)**

- In step from  $y$  to  $y + 1$ :<br>Assume that we have
	- Assume that we have  $z \simeq f(\vec{x}, y)$ .
	- We want that after increasing y by 1 the **loop invariant**  $z \simeq f(\vec{x}, y)$  still holds. Obtained as follows
		- Compute  $u \simeq h(\vec{x}, y, z)$
		- $(\simeq h(\vec{x}, y, f(\vec{x}, y)) \simeq f(\vec{x}, y + 1)).$  $\cdot$  Execute  $z := u \ (\simeq f(\vec{x}, y + 1)).$
		- $\cdot$  Execute  $y := y + 1$ .
		- · At the end ,  $\mathsf{z} \simeq f(\vec{\mathsf{x}}, \mathsf{y})$  for the new value of  $\mathsf{y}.$
- Repeat this until  $\mathrm{y}=\mathrm{x}_n.$
- Once y has reached  $\mathrm{x}_n$ ,  $\mathrm{z}$  contains  $f(\vec{\mathrm{x}},\mathrm{y}) \simeq f(\vec{\mathrm{x}},x_n).$
- Execute  $\mathrm{x}_0 := \mathrm{z}$ .

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### **Proof of Lemma 3.3 (c)**

### Let

- U be a URM program, which computes  $z \simeq g(\vec{x})$  and<br>presentes  $\sim$  (by definition 2.2, it decen't modify the preserves <sup>y</sup> (by definition 3.2, it doesn't modify thearguments  $\vec{\mathrm{x}}$  of  $g$ );
- V be a program, which computes  $u \simeq h(\vec{x}, y, z)$ . (by definition 2.2, it decent shapes  $\vec{z} = -\lambda$ definition 3.2, it doesn't change  $\vec{\mathrm{x}}, \mathrm{y}, \mathrm{z}$ .)

# **Proof of Lemma 3.3 (c)**

Let  $\mathrm{U}'$  be as follows:

U  $\%$ % Compute  $z \simeq g(\vec{x})(\simeq f(\vec{x}, 0))$ while  $(\mathtt{x}_n\neq \mathtt{y})$  do  $\{$  $V$  % % Compute  $u \simeq h(\vec{x}, y, z)$ % will be  $\simeq h(\vec{x}, y, f(\vec{x}, y)) \simeq f(\vec{x}, y + 1)$  $z := u;$  $y := y + 1$ ; };  $x_0 := z;$ 

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### **Proof of Lemma 3.3 (c)**

### **Correctness of this program:**

- When  $U$  has terminated, we have  $y = 0$  and  $z \simeq g(\vec{x}) \simeq f(\vec{x}, y).$
- After each iteration of the while loop, we have  $y := y' + 1$ and  $\mathbf{z} \simeq h(\vec{\mathbf{x}}, \mathbf{y}', \mathbf{z}').$ (y′,  $\rm z'$  are the previous values of  $\rm y,$   $\rm z,$  respectively.)
- Therefore we have  $\mathsf{z} \simeq f(\vec{\mathsf{x}}, \mathsf{y}).$
- The loop terminates, when  $\mathrm y$  has reached  $\mathrm x_n$ . Then z contains  $f(\vec{x}, y)$ . This is stored in  $\mathrm{x}_0$ .

## **Proof of Lemma 3.3 (c)**

- If  $U$  loops for ever, or in one of the iterations  $V$  loops for<br>aver, then: ever, then:
	- $\mathrm{U}'$  loops,  $\mathrm{U}'^{(n+1)}(\vec{\mathrm{x}}, \mathrm{x}_n)$ ↑.
	- $f(\vec{x}, k)$ ↑ for some  $k < \mathbf{x}_n$ ,
	- subsequently  $f(\vec{\mathbf{x}}, l) \mathcal{\uparrow}$  for all  $l > k$ .
	- Especially,  $f(\vec{\mathrm{x}}, \mathrm{x}_n) \!\!\uparrow$ .
	- Therefore  $f(\vec{x}, x_n) \simeq U'^{(n+1)}(\vec{x}, x_n)$ .

### **Proof of Lemma 3.3 (d)**

Plan for the program:

- Compute  $g(\vec{\mathbf{x}}, 0), \, g(\vec{\mathbf{x}}, 1)$  , . . . until we find a  $k$  s.t.  $g(\vec{\mathbf{x}}, k) \simeq 0.$ Then ratur Then return  $k$ .
- This is carried out by executing

 $z \simeq g(\vec{x}, y)$ 

and successively increasing  $\mathrm y$  by  $1$  until we have  $\mathrm z=0.$ 

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# **Proof of Lemma 3.3 (d)**

Assume

$$
g:\mathbb{N}^{n+1}\overset{\sim}{\to}\mathbb{N}
$$

is URM-computable. Show

 $\mu(q)$ 

is URM-computable as well. Note  $\mu(g)(\mathtt{x}_0,\ldots,\mathtt{x}_{k-1})$  is the minimal  $\mathtt{z}$  s.t.

$$
g(\mathbf{x}_0,\ldots,\mathbf{x}_{k-1},\mathbf{z})\simeq 0.
$$

Let  $\vec{\mathrm{x}} := \mathrm{x}_0, \dots, \mathrm{x}_{k-1}$  and let  $\mathrm{y}, \mathrm{z}$  be registers different from  $\vec{\mathrm{x}}$ .

### **Proof of Lemma 3.3 (d)**

Let U compute

$$
\mathbf{z} \simeq g(\mathbf{x}_0,\ldots,\mathbf{x}_{k-1},\mathbf{y}) \enspace ,
$$

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(and preserve the arguments  $\mathrm{x}_0,\ldots,\mathrm{x}_{k-1},\mathrm{y}.$ ) Let  $V$  be as follows:

> repea<sup>t</sup>{ $U$  $y := y + 1;$  $\texttt{until (z=0)};$  $y := y - 1;$  $x_0 := y;$

### Omit rest of proof.

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### **Proof of Lemma 3.3 (d)**

V is repeat $\{U; y := y + 1; \}$  until  $(z = 0)$ ;  $y := y - 1; x_0 := y;$ 

Initially  $y = 0$ . After each iteration of the repeat loop, we have

 $y := y' + 1$ ,  $z \simeq g(x_0, \ldots, x_{k-1}, y')$ 

(y′ is the value of  $\mathrm y$  before this iteration). If the loop terminates, we have

$$
z \simeq 0 \qquad y = y' + 1
$$

where  $\mathrm{y}'$  is the first value, such that  $g(\mathrm{x}_0, \dots, \mathrm{x}_{k-1}, \mathrm{y}') \simeq 0$  .

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# **Proof of Lemma 3.3 (d)**

- Finally  $\boldsymbol{\mathrm{y}}$  is decreased by one.
- Then  $y$  is the least  $y$  s.t.  $\,$

 $g(\mathbf{x}_0,\ldots,\mathbf{x}_{k-1},\mathbf{y})\simeq 0$ .

 $\mathrm{x}_0$  is then set to that value.