### Sect. 3: The URM

- (a) Definition of the URM.
- (b) Higher level programming concepts for URMs.
- (c) URM computable functions.

### **Turing Completeness**

- Sometimes by "complete" it is meant that the model contains all functions computable by a Turing machine – then one obtains a mathematical definition.
- We use <u>Turing complete</u> for this mathematical definition.
  - So a model is Turing complete if it contains all functions computable by a Turing machine.

26 Computability Theory, Michaelmas Term 2008, Sect. 3

3-1

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

# (a) Definition of the URM

- A model of computation consists of a set of partial computable functions together with methods, which describe, how to compute those functions.
  - One aims at models of computation which are complete.
    - Here a model of computation is <u>complete</u>, if it contains all computable functions.
  - Since "intuitively computable" is not a mathematical notion, completeness is not a mathematical notion and cannot be proved mathematically.

Models of Computation

- Aim: an as simple model of computation as possible: constructs used minimised, while still being able to represent all intuitively computable functions.
  - Makes it easier to show for other models of computation, that the first model can be interpreted in it.
  - In mathematics one always aims at giving as simple and short definitions as possible, and to avoid unnecessary additions.
- Models of computation are mainly used for showing that something is non-computable rather than for showing that something is computable in this model.

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

## The URM

- The URM (the unlimited register machine) is one model of computation.
  - Particularly easy.
  - It defines a virtual machine, i.e. a description how a computer would execute its program.
  - The URM is not intended for actual implementation (although it can easily be implemented).
  - It is not intended to be a realistic model of a computer.
  - It is intended as a mathematical model, which is then investigated mathematically.
  - Not many programs are actually written in it one shows that in principal there is a way of writing a certain program in this language.

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26 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)
```

3-5

### URM



John Shepherdson (Bristol) (2nd from the right) Developed together with Sturgis the URM.

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## **Description of the URM**

- The URM consists of
  - infinitely many registers  $R_i$ 
    - can store arbitrarily big natural number;
  - a URM program consisting of a finite sequence of instructions I<sub>0</sub>, I<sub>1</sub>, I<sub>2</sub>, ... I<sub>n</sub>;
  - and a program counter PC.
    - stores a natural number.
    - If PC contains a number 0 ≤ i ≤ n, it points to instruction I<sub>i</sub>.
    - If content of PC is outside this range, the program stops.

# The URM

- Rather difficult to write actual programs for the URM.
- Low level programming language (only goto)
- URM idealised machine no bounds on the amount of memory or execution time
  - however all values will be finite.
- Many variants of URM this URM will be particularly easy.

### Remark

- Note that the URM program is part of the URM.
- One could distinguish between
  - The architecture of a URM consisting of registers, the program counter and a memory for a URM program,
  - and the URM program itself.
- For historic reasons by a URM we mean the URM architecture together with a URM program.



Program has terminated



# The URM

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

 $R_0 \quad R_1 \quad R_2 \quad R_3 \quad R_4 \quad R_5 \quad R_6 \quad R_7 \quad R_8 \quad \cdots$ 



**Execute Instruction** 

### **URM Instructions**

- 3 kinds of **URM instructions**.
  - The successor instruction

succ(k) ,

where  $k \in \mathbb{N}$ .

- Execution:
  - Add 1 to register  $R_k$ .
  - Increment PC by 1.
  - $\rightarrow$  execute next instruction or terminate.
- A more readable notation is

$$\mathbf{R}_k := \mathbf{R}_k + 1$$

3-8a

### **URM Instructions**

The predecessor instruction

pred(k) ,

where  $k \in \mathbb{N}$ .

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

Execution:

If  $R_k$  contains value > 0, decrease the content by 1.

If  $R_k$  contains value 0, leave it as it is. In all cases increment PC by 1.

A more readable notation is

$$\mathbf{R}_k := \mathbf{R}_k - 1$$

## **URM Instructions**

• The conditional jump instruction

ifzero(k,q)

where  $k, q \in \mathbb{N}$ . Execution:

- If  $R_k$  contains 0, PC is set to q
  - $\rightarrow$  next instruction is I<sub>q</sub>, if I<sub>q</sub> exists.

If no instruction  $I_q$  exists, the program stops.

- If  $R_k$  does not contain 0, the PC incremented by 1.
  - Program continues executing the next instruction, or terminates, if there is no next instruction.
- A more readable notation is

if  $\mathbf{R}_k = 0$  then goto q

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

### **Finiteness**

 A URM program refers only to finitely many registers, namely those referenced explicitly in one of the instructions.

$$x \div y$$

Jere

$$x - y := \max\{x - y, 0\}$$

i.e.

$$x - y = \begin{cases} x - y & \text{if } y \le x, \\ 0 & \text{otherwise} \end{cases}$$

3-11

### Example of a URM Program

• The following is an example of a URM-program:

 $I_0 = ifzero(0,3)$   $I_1 = pred(0)$  $I_2 = ifzero(1,0)$ 

### Example

 $I_0 = ifzero(0,3)$ 

 $I_1 = pred(0)$   $I_2 = i$ 

 $I_2 = ifzero(1,0)$ 

If we run this program with inital values  $R_0 = 2$ ,  $R_1 = 0$ , we obtain the following trace of a run of this program:

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

3-15

### Example

 $I_0 = ifzero(0,3)$ 

 $I_1 = pred(0)$   $I_2 = ifzero(1,0)$ 

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

### Example

 $I_0 = ifzero(0,3)$ 

 $I_1 = pred(0)$   $I_2 = ifzero(1,0)$ 

If we run this program with inital values  $R_0 = 2$ ,  $R_1 = 0$ , we obtain the following trace of a run of this program: Instruction  $R_0$   $R_1$ 

 $I_0 = ifzero(0,3)$   $I_1 = pred(0)$   $I_2 = ifzero(1,0)$ 

0

If we run this program with inital values  $R_0 = 2$ ,  $R_1 = 0$ , we obtain the following trace of a run of this program:

Instruction  $R_0 R_1$ 

I<sub>0</sub> 2

### Example

 $I_0 = ifzero(0,3)$ 

 $I_1 = pred(0)$   $I_2 = ifzero(1,0)$ 

If we run this program with inital values  $R_0 = 2$ ,  $R_1 = 0$ , we obtain the following trace of a run of this program:

Instruction	$\mathbf{R}_{0}$	$\mathbf{R}_{1}$
$I_0$	2	0
$I_1$	2	0
$I_2$	1	0

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

3-16

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

### Example

 $I_0 = ifzero(0,3)$   $I_1 = pred(0)$ 

 $I_2 = ifzero(1,0)$ 

If we run this program with initial values  $R_0 = 2$ ,  $R_1 = 0$ , we obtain the following trace of a run of this program:

Instruction  $R_0$   $R_1$ 

$I_0$	2	0
$I_1$	2	0

Example

 $I_0 = ifzero(0,3)$ 

 $I_1 = pred(0)$   $I_2 = ifzero(1,0)$ 

If we run this program with inital values  $R_0 = 2$ ,  $R_1 = 0$ , we obtain the following trace of a run of this program:

Instruction  $R_0 R_1$ 

I <sub>0</sub>	2	0
$I_1$	2	0
$I_2$	1	0
I <sub>0</sub>	1	0

 $I_0 = ifzero(0,3)$   $I_1 = pred(0)$   $I_2 = ifzero(1,0)$ 

If we run this program with inital values  $R_0 = 2$ ,  $R_1 = 0$ , we obtain the following trace of a run of this program:

Instruction  $R_0 R_1$ 

$I_0$	2	0
$I_1$	2	0
$I_2$	1	0
Io	1	0

 $I_1 1 0$ 

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

 $I_0 = ifzero(0,3)$ 

 $I_1 = pred(0)$   $I_2 = ifzero(1,0)$ 

If we run this program with initial values  $R_0 = 2$ ,  $R_1 = 0$ , we obtain the following trace of a run of this program:

Instruction	$\mathrm{R}_{\mathrm{0}}$	$\mathbf{R}_{1}$
$I_0$	2	0
$I_1$	2	0
$I_2$	1	0
$I_0$	1	0
$I_1$	1	0
$I_2$	0	0
$I_0$	0	0

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

## Example

 $I_0 = ifzero(0,3)$ 

 $I_1 = pred(0)$   $I_2 = ifzero(1,0)$ 

If we run this program with initial values  $R_0 = 2$ ,  $R_1 = 0$ , we obtain the following trace of a run of this program:

Instruction R<sub>0</sub> R<sub>1</sub>

$I_0$	2	0
$I_1$	2	0
$I_2$	1	0
$I_0$	1	0
$I_1$	1	0
$I_2$	0	0

Example

 $I_0 = \texttt{ifzero}(0,3)$ 

 $I_1 = pred(0)$   $I_2 = ifzero(1,0)$ 

If we run this program with inital values  $R_0 = 2$ ,  $R_1 = 0$ , we obtain the following trace of a run of this program:

Instruction  $R_0 R_1$ 

-		
$I_0$	2	0
$I_1$	2	0
$I_2$	1	0
$I_0$	1	0
$I_1$	1	0
$I_2$	0	0
$I_0$	0	0
$I_3$	0	0

3-16

 $I_0 = ifzero(0,3)$ 

 $I_1 = pred(0)$   $I_2 = ifzero(1,0)$ 

If we run this program with inital values  $R_0 = 2$ ,  $R_1 = 0$ , we obtain the following trace of a run of this program:

Instruction  $R_0 R_1$ 

$I_0$	2	0	
$I_1$	2	0	
$I_2$	1	0	
$I_0$	1	0	
$I_1$	1	0	
$I_2$	0	0	
$I_0$	0	0	
$I_3$	0	0	
URM Stops			

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

3-16

## **Behaviour of the Example**

- $I_0 = ifzero(0,3)$
- $I_1 = \text{pred}(0)$
- $I_2 = ifzero(1,0)$
- Assume  $R_1$  is initially zero.
- Then R<sub>1</sub> will never be changed by the program, so it will remain 0 for ever.
- So in instruction 2 the URM will always jump to instr. 0.
- Then the program will as long as  $R_0 \neq 0$  decrease  $R_0$  by 1.
- The result is that  $R_0$  is set to 0.
- This corresonds to the instruction from a higher level language  $R_0 := 0$ .

# **URM-Computable Functions**

- For every U-program we define the function defined by it.
- In fact there are many function which are defined by the same U-program:
  - A unary function  $U^{(1)}$ , which stores its argument in  $R_0$ , sets all other registers to 0, then starts to run the U.
    - If the U stops, the result is read off from  $R_0$ .
  - Otherwise the result is undefinded.
  - A binary function  ${\rm U}^{(2)},$  which stores its two arguments in  ${\rm R}_0$  and  ${\rm R}_1,$  then operates as  ${\rm U}^{(1)}.$
  - And so on. In general we obtain a k-ary partial function  $U^{(k)}$  for every  $k \ge 1$ .

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

# **Definition** $U^{(k)}$

- Let  $U = I_0, \ldots, I_{n-1}$  be a URM program,  $k \in \mathbb{N}, k \ge 1$ .
- We define a function

 $\mathbf{U}^{(k)}:\mathbb{N}^k\xrightarrow{\sim}\mathbb{N}$ 

by determining how it is computed:

- Assume we want to compute  $U^{(k)}(a_0, \ldots, a_{k-1})$ .
- Initialisation:
  - PC set to 0.
  - $a_0, \ldots, a_{k-1}$  stored in registers  $R_0, \ldots, R_{k-1}$ , respectively.
  - All other registers set to 0. (Sufficient to do this for registers referenced in the program).

### **URM-Computable Functions**

### Iteration:

As long as the PC points to an instruction, execute it. Continue with the next instruction as given by the PC.

- Output:
  - If PC value > n, the program stops.

**URM-Computable Functions** 

•  $f: \mathbb{N}^k \xrightarrow{\sim} \mathbb{N}$  is URM-computable, if  $f = U^{(k)}$  for some

- $\cdot$  The function returns the value in  $R_0$ .
- $\cdot$  So if  $R_0$  contains *b* then

$$\mathbf{U}^{(k)}(a_0,\ldots,a_{k-1})\simeq b$$
.

If the program never stops,

 $k \in \mathbb{N}$  and some URM program U.

$$\mathrm{U}^{(k)}(a_0,\ldots,a_{k-1})\uparrow$$
.

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

#### 3-20

### **Change of Notation**

- Until the academic year 2004/05, P was used instead of U to denote URM programs.
  - P will be used for Turing machines.
  - In order to distinguish URM-programs and Turing machine programs, we write here U instead of P.
  - Please take this into account when looking at exams and slides from 2004/05 and before.

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

### Example

 Consider the example of a URM-program treated before:

> $I_0 = ifzero(0,3)$   $I_1 = pred(0)$  $I_2 = ifzero(1,0)$

We have seen that if R<sub>1</sub> is initially zero, then the program reduces R<sub>0</sub> to 0 and then stops.

- $I_0 = ifzero(0,3)$
- $I_1 = \text{pred}(0)$
- $I_2 = \text{ ifzero}(1,0)$
- A computation of  $U^{(1)}(k)$  is as follows:
  - We set  $R_0$  to k, all other registers to 0.
  - Then the URM program is executed, starting with instruction  $\mathrm{I}_{\mathrm{0}}.$
  - This program terminates, with  $R_0$  containing 0.
  - The value returned is the content of  $R_0$ , i.e. 0.
  - Therefore  $U^{(1)}(k) \simeq 0$ .

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

### **Partial Computable Functions**

- For a partial function f to be computable we need only:
  - If f(a) ↓, then after finite amount of time we can determine this property, and the value of f(a).
- If *f*(*a*)↑, we will wait infinitally long for an answer, so we never determine that *f*(*a*)↑.
  - Turing halting problem is the question: "Is  $f(a) \downarrow$ ?".
  - Turing halting problem is undecidable.
- If we want to have always an answer, we need to refer to total computable functions.

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

## Example

- $I_0 = ifzero(0,3)$
- $I_1 = \operatorname{pred}(0)$
- $I_2 = \texttt{ifzero}(1,0)$
- In order to compute  $U^{(2)}(k, l)$  we have to do the same, but set initially  $R_0$  to k,  $R_1$  to l.
- For l = 0 we obtain the same run of the URM program as before.
  - Therefore  $U^{(2)}(k,0) \simeq 0$ .
- What is  $U^{(2)}(k, l)$  for l > 0?

## **Partial Computable Functions**

- In order to describe the total computable functions, we need to introduce the partial computable functions first.
  - There is no program language s.t.
    - it is decidable whether a string is a program,
    - and the program language describes all total computable functions.
      - This is essentially a consequence of the undecidability of the Turing Halting Problem.

3-24

<sup>26</sup> Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

### **Example of URM-Comp. Function**

The following function is computable:

 $f: \mathbb{N}^2 \xrightarrow{\sim} \mathbb{N}$ ,  $f(x,y) \simeq x + y$ 

We derive a URM-program for it in several steps.

Step 1:

Initially  $R_0$  contains x,  $R_1$  contains y, and the other registers contain 0.

Program should then terminate with  $R_0$  containing f(x, y),

i.e. x + y.

 $R_0 := R_0 + R_1$ 

Step 2:

A higher level program is as follows:

$$R_0 := R_0 + R_1$$

Example of URM-Comp. Function

Only successor and predecessor available, replace the

 $R_1 := R_1 - 1$ 

• This increases  $R_0$  by 1 as many times as the value

• This means that the content of  $R_1$  is added to  $R_0$ .

• Note that at the end of the run,  $R_1$  contains 0. But this is

no problem since the at the end we only read off the

#### 26 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

program by the following:

contained in  $R_1$ .

while  $(R_1 \neq 0)$  do  $\{R_0 := R_0 + 1\}$ 

3-28

### **Example of URM-Comp. Function**

while  $(R_1 \neq 0)$  do  $\{R_0 := R_0 + 1$   $R_1 := R_1 \dot{-} 1\}$ 

### Step 3:

Replace the while-loop by a goto:

```
LabelBegin : if R_1=0 then goto LabelEnd; R_0:=R_0+1; \\ R_1:=R_1-1; \\ \text{goto LabelBegin;} \end{cases}
```

LabelEnd :

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## **Example of URM-Comp. Function**

 $\texttt{LabelBegin}: \text{ if } R_1 = 0 \text{ then goto LabelEnd};$ 

 $R_0:=R_0+1; R_1:=R_1 - 1; \texttt{goto LabelBegin};$ 

LabelEnd :

### Step 4:

Replace last goto by a conditional goto, depending on  $R_2 = 0$ .

 $R_2$  is initially 0 and never modified, therefore this jump will always be carried out.

 $\texttt{LabelBegin}: \text{ if } R_1 = 0 \text{ then goto LabelEnd};$ 

```
\label{eq:R0} \begin{split} R_0 &:= R_0 + 1; \\ R_1 &:= R_1 \dot{-} 1; \\ \text{if } R_2 &= 0 \text{ then goto LabelBegin}; \end{split}
```

LabelEnd :

result from  $R_0$ , and ignore  $R_1$ .

### **Example of URM-Comp. Function**

LabelBegin: if  $R_1=0$  then goto LabelEnd;  $R_0:=R_0+1;$   $R_1:=R_1\div 1;$  if  $R_2=0$  then goto LabelBegin; LabelEnd:

### Step 5:

### Resolve labels:

- $0: \text{ if } R_1=0 \text{ then goto } 4;$
- 1:  $R_0 := R_0 + 1;$
- 2:  $R_1 := R_1 1;$
- 3: if  $R_2=0$  then goto 0;
- 4:

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

3-32

## (b) High Level Progr. Constructs

- In this Subsection we will introduce some higher level program constructs for URMs, and how to translate them back into the original URM language.
- These constructs will be still be rather low level in terms of the theory of programming languages, but high enough in order to allow easily to introduce the programs needed in this module.

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (b)

# Example of URM-Comp. Function

- 0: if  $R_1=0$  then goto 4;
- 1:  $R_0 := R_0 + 1;$
- 2:  $R_1 := R_1 \div 1;$
- 3: if  $R_2=0$  then goto 0;
- 4:

### Step 6:

Translate the program into a URM program  $\mathrm{I}_0,\mathrm{I}_1,\mathrm{I}_2,\mathrm{I}_3$ :

- $I_0 = ifzero(1,4)$
- $I_1 = \operatorname{succ}(0)$
- $I_2 = \text{pred}(1)$
- $I_3 = ifzero(2,0)$

## **Convention Concerning Jump Ad**

- When inserting URM programs U as part of new URM programs, jump addresses will be adapted accordingly.
- E.g.in succ(0) U

### $\mathtt{pred}(0)$

we add 1 to the jump addresses in the original version of  $\mathrm{U}.$ 

- Furthermore, we assume that, if U terminates, it terminates with the PC containing the number of the first instruction following U.
  - Means that if we then insert U, and a run of U terminates, the next instruction to be executed is the one following U.

<sup>26</sup> Computability Theory, Michaelmas Term 2008, Sec. 3 (a)

### **More Readable Statements**

We use the more readable statements

$\mathbf{R}_k := \mathbf{R}_k + 1$	for	${\tt succ}(k)$ ,
$\mathbf{R}_k := \mathbf{R}_k - 1$	for	$\mathtt{pred}(k)$ ,
if $R_k = 0$ then goto $q$	for	ifzero(k,q).

### **Omitting** $I_k =$

- We omit now " $I_k =$ ".
- Furthermore, labels don't have to start with Label, so we can write Begin instead of LabelBegin.
- We obtain the following program:

```
Begin: if R_0=0 then goto End R_0:=R_0 \div 1 if R_1=0 then goto Begin
```

End :

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (b)

Since End : is always the first instruction following the program, we will omit the last line End :.

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (b)

3-36

### Labelled URM programs

- We introduce labelled URM programs.
- It will be easier to translate them back into original URM programs.
- The label End denotes the first instruction following a program.

• So instead of 
$$I_0 = if R_0 = 0$$
 then goto 3  
 $I_1 = R_0 := R_0 - 1$   
 $I_2 = if R_1 = 0$  then goto 0

### we write

LabelBegin :  $I_0 = \text{ if } R_0 = 0 \text{ then goto End}$   $I_1 = R_0 := R_0 \div 1$   $I_2 = \text{ if } R_1 = 0 \text{ then goto LabelBegin}$ End :

## **Replacing Registers by Variables**

We write variable names instead of registers. So if x, y denote  $R_0$ ,  $R_1$ , respectively, we write instead of

Begin: if  $R_0=0$  then goto End  $R_0:=R_0 \div 1$  if  $R_1=0$  then goto Begin

### the following

Begin: if 
$$x = 0$$
 then goto End  
 $x := x - 1$   
if  $y = 0$  then goto Begin

### Goto

- goto mylabel; stands for the (labelled) URM statement if aux0 = 0 then goto mylabel;
- Here aux0 is a register (which we can keep fixed), which is initially zero and never modified in the URM program, so it contains always 0.

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (b)

3-40

while  $(\mathbf{x} \neq 0)$  do  $\{\cdots\}$ 

while  $(x \neq 0)$  do {  $\langle Instructions \rangle$ }; stands for the following URM program:

### **Repeat Loop**

### $repeat{}$

 $\langle Instructions \rangle \}$ 

until x = 0;

stands for the following URM program:

 $\langle Instructions \rangle;$ while  $(x \neq 0)$  do { $\langle Instructions \rangle$ };

- Note that this results in doubling of  $\langle Instructions \rangle$ .
  - One can avoid this.
  - But the length of the resulting program is not a problem as long as we are not dealing with complexity theory.

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (b)

 $\mathbf{x} := 0$ 

 $\mathbf{x} := \mathbf{0}$  stands for the following program:

while  $(x \neq 0)$  do  $\{x := x - 1; \};$ 

 $\mathbf{y} := \mathbf{x};$ 

### $\mathtt{y}:=\mathtt{x};$

stands for (if x, y denote different registers, aux is new):

 $\begin{array}{ll} aux:=0\\ \mbox{while }(x\neq 0) \mbox{ do } \{\\ x:=x \div 1;\\ aux:=aux+1; \}; & --x=0; aux=x \sim\\ y:=0; & --x=y=0; aux=x \sim\\ \mbox{while }(aux\neq 0) \mbox{ do } \{\\ aux:=aux \div 1;\\ x:=x+1;\\ y:=y+1; \}; & --x=x \sim; y=x \sim; aux=0; \end{array}$ 

If x, y are the same register, y := x stands for the empty statement.

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (b)

3-44

### Notation $\mathbf{x} \sim$

- On the previous slide the comments (indicated by --) indicate the state of the variables after executing this statement.
- $x \sim$ ,  $y \sim$  denote the values of x, y before executing the procedure.

### **Aliasing Problem**

Note that if for x, y denoting the same register we would define y := x as the same program as when they are different (using a while loop) we obtain the following program (comments explain the effects in this case):

$$\begin{array}{ll} aux := 0 \\ \mbox{while } (x \neq 0) \mbox{ do } \{ \\ x := x - 1; \\ aux := aux + 1; \}; & --x = 0; aux = x \sim \\ x := 0; & --x = 0; aux = x \sim \\ \mbox{while } (aux \neq 0) \mbox{ do } \{ \\ aux := aux - 1; \\ x := x + 1; \\ x := x + 1; \}; & --x = x \sim \cdot 2; aux = 0; \end{array}$$

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (b)

### **Aliasing Problem**

- Instead of assigning x to y (which means doing nothing), x is doubled in this program.
- The above is an occurrence of the aliasing problem.
- The aliasing problem occurs if we have procedure with parameters which modifies its arguments, and if this program doesn't do what it is intended to do in case two of its arguments are instantiated by the same variable.
- Frequent reason for programming erros, which are difficult to detect.

### $\mathbf{y} := \mathbf{x};$

- Note that the URM program y := x; preserved the value of x.
  - So after executing the URM program, x contains the value as it had before starting the execution.
- Similarly, in the URM programs introduced on the next slides

 $\begin{array}{rrrrr} x & := & y+z \\ x & := & y-z \end{array}$ 

the values of y and z will preserved.

### $\mathbf{x} := \mathbf{y} \div \mathbf{z};$

Assume x, y, z denote different registers. Remember, that  $a - b := \max\{0, a - b\}$ . x := y - z; is computed as follows (aux is an additional variable):

$$\begin{array}{l} {\tt x} := {\tt y};\\ {\tt aux} := {\tt z};\\ {\tt while} \; ({\tt aux} \neq 0) \; {\tt do} \; \{\\ {\tt aux} := {\tt aux} \doteq 1;\\ {\tt x} := {\tt x} \doteq 1; \; \}; \end{array}$$

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (b)

### $\mathbf{x} := \mathbf{y} + \mathbf{z};$

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (b)

Assume x, y, z denote different registers. x := y + z; stands for the following program (aux is an additional variable):

### **Checking for Inequality**

- We have
- $(\mathtt{x} \stackrel{.}{-} \mathtt{y}) + (\mathtt{y} \stackrel{.}{-} \mathtt{x}) \neq 0 \Leftrightarrow \mathtt{x} \neq \mathtt{y}$

Proof:

- If x > y, then
  - $\begin{array}{rll} {\bf x} \dot{-} {\bf y} &> 0 \ , \\ {\bf y} \dot{-} {\bf x} &= 0 \ , \\ ({\bf x} \dot{-} {\bf y}) + ({\bf y} \dot{-} {\bf x}) &> 0 \end{array}$
- If y > x, then
  - $\begin{array}{rll} {\bf y} \dot{-} {\bf x} &> 0 \ , \\ {\bf x} \dot{-} {\bf y} &= 0 \ , \\ ({\bf x} \dot{-} {\bf y}) + ({\bf y} \dot{-} {\bf x}) &> 0 \end{array}$

#### 26 Computability Theory, Michaelmas Term 2008, Sec. 3 (b)

3-46

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (b)

### **Checking for Inequality**

 $(\mathtt{x} \stackrel{.}{-} \mathtt{y}) + (\mathtt{y} \stackrel{.}{-} \mathtt{x}) \neq 0 \Leftrightarrow \mathtt{x} \neq \mathtt{y}$ 

• If x = y, then

$$\begin{array}{rcl} y \dot{-} x & = & 0 \ , \\ x \dot{-} y & = & 0 \ , \\ (x \dot{-} y) + (y \dot{-} x) & = & 0 \end{array}$$

### **Checking for Inequality**

while  $((\mathbf{x} \stackrel{\cdot}{-} \mathbf{y}) + (\mathbf{y} \stackrel{\cdot}{-} \mathbf{x}) \neq 0)$  do  $\{\cdots\}$ 

which can be replaced by

 $\begin{array}{l} \texttt{aux} := (\texttt{x} \dot{-} \texttt{y}) + (\texttt{y} \dot{-} \texttt{x}) \\ \texttt{while} \texttt{aux} \neq 0) \texttt{ do} \\ \{ \cdots \\ \texttt{aux} := (\texttt{x} \dot{-} \texttt{y}) + (\texttt{y} \dot{-} \texttt{x}) \\ \} \end{array}$ 

If we unfold this further, we obtain the following:

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (b)

3-50

### **Checking for Inequality**

- $(\mathbf{x} \div \mathbf{y}) + (\mathbf{y} \div \mathbf{x}) \neq 0 \Leftrightarrow \mathbf{x} \neq \mathbf{y}$ 
  - So a while loop

while  $(x \neq y)$  do  $\{\cdots\}$ 

can be replaced by

while 
$$((\mathbf{x} - \mathbf{y}) + (\mathbf{y} - \mathbf{x}) \neq 0) \text{ do } \{\cdots\}$$

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (b)

# while $(\mathtt{x} \neq \mathtt{y})$ do $\{\cdots\}$

Assume x, y denote different registers. while  $(x \neq y)$  do {  $\langle Statements \rangle$ }; stands for (aux, aux<sub>i</sub> denote new registers):

$$aux_0 := x - y;$$
  
 $aux_1 := y - x;$   
 $aux := aux_0 + aux_1;$   
while  $(aux \neq 0)$  do {  
 $\langle Statements \rangle$   
 $aux_0 := x - y;$   
 $aux_1 := y - x;$   
 $aux := aux_0 + aux_1;$ };

#### 26 Computability Theory, Michaelmas Term 2008, Sec. 3 (b)

### (c) URM-Computable Functions

- We introduce some constructions for introducing URM-computable functions.
- We will later introduce the set of partial recursive functions as the least set of functions closed under these constructions
  - Then by the fact that the URM-computable functions are closed under these operations it follows that all partial recursive functions are URM-computable.
- We introduce first names for all functions constructed this way.

### **Notations for Partial Functions**

(d) Assume

$$g : (B_0 \times \dots \times B_{k-1}) \xrightarrow{\sim} C ,$$
  
$$h_i : A_0 \times \dots \times A_{n-1} \xrightarrow{\sim} B_i . \quad i = 0, \dots, k-1$$

Define

$$f := \underline{g \circ (h_0, \dots, h_{k-1})} : A_0 \times \dots \times A_{n-1} \xrightarrow{\sim} C :$$

 $f(\vec{a}) :\simeq q(h_0(\vec{a}), \dots, h_{k-1}(\vec{a}))$ 

- In case of k = 1 we write  $q \circ h$  instead of  $q \circ (h)$ .
- Furthermore as usual

 $q_1 \circ q_2 \circ \cdots \circ q_n := q_1 \circ (q_2 \circ (\cdots \circ (q_{n-1} \circ q_n))) \quad .$ 

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

### Notations for Partial Functions

(e) Assume

 $q : \mathbb{N}^k \xrightarrow{\sim} \mathbb{N}$ ,  $h : \mathbb{N}^{k+2} \xrightarrow{\sim} \mathbb{N}$ 

Then we can define a function  $f: \mathbb{N}^{k+1} \xrightarrow{\sim} \mathbb{N}$  defined by primitive recursion from q and h as follows:

> $f(\vec{n}, 0) :\simeq q(\vec{n})$  $f(\vec{n}, m+1) \simeq h(\vec{n}, m, f(\vec{n}, m))$

- We write primrec(q, h) for the function f just defined.
- **So** primrec $(q, h) : \mathbb{N}^{k+1} \xrightarrow{\sim} \mathbb{N}$ .

#### 3-54

3-53

## Notations for Partial Functions

**Definition 3.1** 

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

- (a) Define the zero function zero :  $\mathbb{N} \to \mathbb{N}$ , zero(x) = 0.
- (b) Define the successor function succ :  $\mathbb{N} \to \mathbb{N}$ ,  $\operatorname{succ}(x) = x + 1.$
- (c) Define for 0 < i < n the projection function  $\operatorname{proj}_{i}^{n}: \mathbb{N}^{n} \to \mathbb{N}, \operatorname{proj}_{i}^{n}(x_{0}, \ldots, x_{n-1}) = x_{i}.$

### Remark

- Note that all total functions are as well partial, so we have for instance as well zero :  $\mathbb{N} \xrightarrow{\sim} \mathbb{N}$ .
- **•**  $\operatorname{proj}_{0}^{1}: \mathbb{N} \to \mathbb{N}$  is the identity function:  $\operatorname{proj}_{0}^{1}(x) = x$ .

#### 26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

### **Notations for Partial Functions**

In the special case k = 0, it doesn't make sense to use g(). Instead replace in this case g by some natural number. So the case k = 0 reads as follows:

Assume  $a \in \mathbb{N}$ ,  $h : \mathbb{N}^2 \xrightarrow{\sim} \mathbb{N}$ . Define

 $f:\mathbb{N}\xrightarrow{\sim}\mathbb{N}$ 

by primitive recursion from a and h as follows:

 $\begin{array}{rcl} f(0) & :\simeq & a \\ f(m+1) & :\simeq & h(m,f(m)) \end{array}$ 

We write primrec(a, h) for f, so primrec $(a, h) : \mathbb{N} \xrightarrow{\sim} \mathbb{N}$ .

In Haskell we can define primrec as a higher-order

- - primrec0 is the operator for primitive recursion

- - defining a 1-ary function primrec0 f a :: Nat  $\rightarrow$  Nat

primrec0 :: Nat  $\rightarrow$  (Nat  $\rightarrow$  Nat  $\rightarrow$  Nat  $\rightarrow$  Nat  $\rightarrow$  Nat

deriving Show

- - from f: Nat  $\rightarrow$  Nat  $\rightarrow$  Nat and a: Nat

primrec0 a g (S n) = g n (primrec0 a g n)

#### 26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

function as follows:

data Nat =  $Z \mid S$  Nat

primrec in Haskell

3-57

### primrec in Haskell (Cont.)

- - primrec1 is the operator for primitive recursion
- - defining a 2-ary function primrec1 f g :: Nat  $\rightarrow$  Nat  $\rightarrow$  Nat
- - from f: Nat  $\rightarrow$  Nat  $\rightarrow$  Nat  $\rightarrow$  Nat and g: Nat  $\rightarrow$  Nat

 $\begin{array}{l} \mbox{primrec1} :: (Nat \rightarrow Nat) \\ \rightarrow (Nat \rightarrow Nat \rightarrow Nat \rightarrow Nat) \\ \rightarrow Nat \rightarrow Nat \rightarrow Nat \end{array}$   $\begin{array}{l} \mbox{primrec1} g \ h \ n \ Z = g \ n \\ \mbox{primrec1} g \ h \ n \ (S \ m) = h \ n \ m \ (primrec1 \ g \ h \ n \ m) \end{array}$ 

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

### **Examples for Primitive Recursion**

● Addition can be defined using primitive recursion: Let add :  $\mathbb{N}^2 \to \mathbb{N}$ ,  $\operatorname{add}(x, y) := x + y$ . We have

 $\begin{array}{rcl} {\rm add}(x,0) & = & x+0=x \\ {\rm add}(x,y+1) & = & x+(y+1)=(x+y)+1={\rm add}(x,y)+ \end{array}$ 

Therefore

$$\begin{aligned} &\mathsf{add}(x,0) &= g(x) \\ &\mathsf{add}(x,y+1) &= h(x,y,\mathsf{add}(x,y)) \end{aligned}$$

where

$$g: \mathbb{N} \to \mathbb{N} , \qquad g(x) := x ,$$
  
$$h: \mathbb{N}^3 \to \mathbb{N} , \quad h(x, y, z) := z + 1$$

**So** add = primrec
$$(g, h)$$
.

primrec0 a q Z = a

### Addition (add)

 $\begin{array}{ll} g:\mathbb{N}\to\mathbb{N} \ , \qquad g(x):=x \ , \\ h:\mathbb{N}^3\to\mathbb{N} \ , \qquad h(x,y,z):=z+1 \ , \end{array}$  add := primrec(g,h)

- We have
  - add(x,0) = g(x) = x = x + 0.
  - $\operatorname{add}(x,1) = h(x,0,\operatorname{add}(x,0)) = \operatorname{add}(x,0) + 1 = x + 1.$
  - $\operatorname{add}(x,2) = h(x,1,\operatorname{add}(x,1)) = \operatorname{add}(x,1) + 1 = (x+1) + 1.$
  - etc.

### **Examples for Primitive Recursion**

● Multiplication can be defined using primitive recursion: Let mult :  $\mathbb{N}^2 \to \mathbb{N}$ , mult $(x, y) := x \cdot y$ . We have

 $\begin{aligned} & \mathsf{mult}(x,0) &= x \cdot 0 = 0 \\ & \mathsf{mult}(x,y+1) &= x \cdot (y+1) = x \cdot y + x = \mathsf{mult}(x,y) + x \end{aligned}$ 

Therefore

$$\begin{aligned} \mathsf{mult}(x,0) &= g(x) \\ \mathsf{mult}(x,y+1) &= h(x,y,\mathsf{mult}(x,y)) \end{aligned}$$

where

$$\begin{split} g: \mathbb{N} &\to \mathbb{N} \ , \qquad g(x) := 0 \ , \\ h: \mathbb{N}^3 &\to \mathbb{N} \ , \quad h(x,y,z) := z + x \end{split}$$

So mult = primrec(g, h).

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

### Multiplication (mult)

 $\begin{array}{ll} g:\mathbb{N}\to\mathbb{N} \ , \qquad g(x):=0 \ , \\ h:\mathbb{N}^3\to\mathbb{N} \ , \qquad h(x,y,z):=z+x \ , \end{array}$ mult := primrec(g, h)

- We have
  - $mult(x, 0) = g(x) = 0 = x \cdot 0.$
  - mult(x, 1) = h(x, 0, mult(x, 0)) = mult(x, 0) + x = 0 + x = x.
  - $mult(x, 2) = h(x, 1, mult(x, 1)) = mult(x, 1) + x = (x \cdot 1) + x.$
  - 🍠 etc.

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

3-61

### **Defining** + from primrec in Haskell

In Haskell we can define add from primrec as follows

add :: Nat  $\rightarrow$  Nat  $\rightarrow$  Nat

add = primrec1 ( $\lambda n \rightarrow n$ ) ( $\lambda n \ m \ k \rightarrow S \ k$ )

### **Examples for Primitive Recursion**

• Let pred :  $\mathbb{N} \to \mathbb{N}$ ,

 $pred(n) := n - 1 = \begin{cases} n-1 & \text{if } n > 0, \\ 0 & \text{otherwise.} \end{cases}$ 

pred can be defined using primitive recursion:

$$pred(0) = 0$$
$$pred(x+1) = x$$

Therefore

$$\begin{array}{rcl} \mathsf{pred}(0) &=& 0\\ \mathsf{pred}(x+1) &=& h(x,\mathsf{pred}(x) \end{array}$$

where

$$h: \mathbb{N}^2 \to \mathbb{N}$$
,  $h(x, y) := x$ 

**So** pred = primrec(0, h).

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

### **Examples for Primitive Recursion**

• x - y can be defined using primitive recursion: Let f(x, y) := x - y. We have

$$\begin{array}{rcl} f(x,0) &=& x \dot{-} \ 0 = x \\ f(x,y+1) &=& x \dot{-} \ (y+1) = (x \dot{-} \ y) \dot{-} \ 1 \\ &=& \mathsf{pred}(x \dot{-} \ y) = \mathsf{pred}(f(x,y)) \end{array}$$

Therefore

$$f(x,0) = g(x)$$
  
$$f(x,y+1) = h(x,y,f(x,y))$$

where

$$\begin{array}{ll} g:\mathbb{N}\to\mathbb{N} \ , \qquad g(x):=x \ , \\ h:\mathbb{N}^3\to\mathbb{N} \ , \ h(x,y,z):=\mathsf{pred}(z) \end{array}$$
 So  $f=\mathsf{primrec}(g,h).$ 

3-65

### Remark

• If  $f = \operatorname{primrec}(g, h)$ , then

$$f(\vec{n},m) \uparrow \to \forall k \ge m.f(\vec{n},k) \uparrow$$

### Proof:

We have

$$f(\vec{n}, m+1) :\simeq h(\vec{n}, m, f(\vec{n}, m))$$

- All functions are strict.
- So if  $f(\vec{n},m)\uparrow$ , then

$$f(\vec{n},m+1)\simeq h(\vec{n},m,f(\vec{n},m)) \uparrow$$

therefore

 $f(\vec{n},m+1) \uparrow$ 

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

### **Proof of Remark**

Therefore we have

 $f(\vec{n},m) \uparrow \rightarrow f(\vec{n},m+1) \uparrow$  .

• By induction it follows that  $f(\vec{n},m)\uparrow$  implies

 $\forall k \geq m.f(\vec{n},k) \uparrow$  .

• Let  $h : \mathbb{N}^2 \xrightarrow{\sim} \mathbb{N}$ ,  $h(n,m) \simeq \begin{cases} m \div 1 & \text{if } m > 0, \\ \bot & \text{otherwise.} \end{cases}$ 

Let

$$\begin{split} f: \mathbb{N} \xrightarrow{\sim} \mathbb{N} \ , \quad f:= \mathsf{primrec}(1,h) \ , \\ \text{i.e.} \ f(0) \simeq 1 \ , \qquad f(n+1) \simeq h(n,f(n)) \end{split}$$

Then

$$\begin{array}{ll} f(0) &\simeq & 1 \\ f(1) &\simeq & h(0,f(0)) \simeq h(0,1) \simeq 0 \\ f(2) &\simeq & h(1,f(1)) \simeq h(1,0) \uparrow \\ \forall m \geq 2.f(m) \uparrow \end{array}$$

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

## **Primitive-Recursive Functions**

- The functions, which can be defined from zero, succ, proj<sup>k</sup><sub>i</sub> by using composition (o) and primitive recursion (primrec) are called the primitive recursive functions.
- The primitive-recursive functions will be studied more in detail in Sect. 5.
  - There we will see that they are powerful, but not Turing-complete.

# **Notations for Partial Functions**

• Let  $g: \mathbb{N}^{n+1} \xrightarrow{\sim} \mathbb{N}$ . We define  $\mu y.(g(\vec{x}, y) \simeq 0)$ :  $\begin{aligned} & \text{the least } y \in \mathbb{N} \text{ s.t.} \\ & g(\vec{x}, y) \simeq 0 \\ & \text{and for } 0 \leq y' < y \\ & \text{there exists a } z' \neq 0 \\ & \text{s.t. } g(\vec{x}, y') \simeq z' \\ & \text{if such} \\ & exists, \\ & \perp \end{aligned}$ 

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

 $\mu(g)$ 

• Now define  $h: \mathbb{N}^n \xrightarrow{\sim} \mathbb{N}$ ,

 $h(\vec{x}) \simeq \mu y.(g(\vec{x},y) \simeq 0)$ 

• We write  $\mu(g)$  for this function *h*.

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

3-69

### Assume

$$g(x,0) \simeq 1$$
  
 $g(x,1) \uparrow$   
 $g(x,2) \simeq 0$ 

### Then

$$\mu y.(g(x,y) \simeq 0)$$

Assume instead

$$g(x,0) \simeq 1$$
  
 $g(x,1) \simeq 5$   
 $g(x,2) \simeq 0$ 

Then

$$\mu y.(g(x,y)\simeq 0)\simeq 2$$

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

3-73

# Computation of $\mu(g)$

 $\mu(g)(\vec{x}) :\simeq \mu y.(g(\vec{x},y) \simeq 0).$ 

- If *g* is intuitively computable, we see that  $h := \mu(g)$  is intuitively computable as follows:
  - In order to compute  $h(\vec{x})$  we first compute  $g(\vec{x}, 0)$ .
    - If this computation never terminates  $g(\vec{x}, 0)\uparrow$  and  $\mu y.(g(\vec{x}, y) \simeq 0)\uparrow$  as well.
    - If it terminates, and we have  $g(\vec{x},0) \simeq 0$ , we obtain  $\mu y.(g(\vec{x},y) \simeq 0) \simeq 0$ .
  - Otherwise, repeat the above with testing of  $g(\vec{x},1) \simeq 0.$ 
    - $\textbf{ If successful } \mu y.(g(\vec{x},y)\simeq 0)\simeq 1.$
  - If unsuccessful repeat it with 2, 3, etc.

## Computation of $\mu(g)$

- Note that  $\mu(g)(\vec{x})\uparrow$ in case there is a y s.t.
  - $g(\vec{x},y)\uparrow$
  - and for y' < y we have g(x, y')↓ but g(x, y') ≃ z for some z > 0.
- This coincides with computation by the above mentioned intuitive computation:
  - In this case, the program will compute  $g(\vec{x}, 0)$ ,  $g(\vec{x}, 1), \ldots, g(\vec{x}, y 1)$  and get as result that these values are  $\neq 0$ .
  - Then it will try to compute  $g(\vec{x}, y)$ , and this computation never terminates.
  - So the value of this program is undefined, as is  $\mu y.(g(\vec{x},y)\simeq 0).$

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

# Computation of $\mu(g)$

• If we defined  $\mu(g)(\vec{x})$  to be the least y s.t.

 $g(\vec{x},y)\simeq 0$ 

independently of whether  $g(\vec{x}, y') \downarrow$  for all y' < y, then we would obtain a **non computable function**.

### **Examples for** $\mu$

• Let  $f : \mathbb{N}^2 \to \mathbb{N}, f(x, y) := x - y$ . Then

 $\mu y.(f(x,y) \simeq 0) \simeq x$ 

SO  $\mu(f)(x) \simeq x$ .

• Let 
$$f : \mathbb{N} \xrightarrow{\sim} \mathbb{N}$$
,  
 $f(0)\uparrow$ ,  
 $f(n) := 0$  for  $n > 0$ .  
Then

 $\mu y.(f(y) \simeq 0)\uparrow$ 

### **Partial Recursive Functions**

- The functions, which can define in the same way as the primitive-recursive functions
  - i.e. being defined from zero, succ,  $proj_i^k$  by using composition (o) and primitive recursion (primrec) but by additionally closing them under  $\mu$ , are called the partial recursive functions.
- The partial recursive functions will be studied more in detail in Sect. 6.
  - There we will see that the partial recursive functions form a Turing complete model of computation.

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

3-77

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

## **Next Step**

- We are going to show that the URM computable functions are closed under the operations introduced above.
- In order to show this we need to be able to modify URM programs, so that they
  - have some other specified input and output registers
  - and conserve the content of certain other registers.
- The following lemma shows that such a modification is possible.

### **Examples for** $\mu$

• Let  $f: \mathbb{N} \xrightarrow{\sim} \mathbb{N}$ ,

 $f(n) := \left\{ \begin{array}{ll} 1 & \text{if there exist primes } p, q < 2n + 4 \\ & \text{s.t. } 2n + 4 = p + q, \\ 0 & \text{otherwise} \end{array} \right.$ 

**s.t.** 
$$2n + 4 = p + q$$

 $\mu y.(f(y) \simeq 0)$  is the first *n* s.t. there don't exist primes *p*, q s.t. 2n + 4 = p + q.

Goldbach's conjecture says that every even number > 4 is the sum of two primes.

This is equivalent to  $\mu y.(f(y) \simeq 0)\uparrow$ .

It is one of the most important open problems in mathematics to show (or refute) Goldbach's conjecture. If we could decide whether a partial computing function is defined (which we can't), we could decide Goldbach's conjecture.

### Lemma and Definition 3.2

Assume  $f : \mathbb{N}^k \xrightarrow{\sim} \mathbb{N}$  is URM-computable.

Assume  $x_0, \ldots, x_{k-1}$ , y,  $z_0, \ldots, z_l$  are different variables. Then one can define a URM program, which, computes  $f(x_0, \ldots, x_{k-1})$  and stores the result in y in the following sense:

- If f(x<sub>0</sub>,...,x<sub>k-1</sub>) ↓, the program terminates at the first instruction following this program, and stores the result in y.
- If  $f(\mathbf{x}_0, \ldots, \mathbf{x}_{k-1})\uparrow$ , the program never terminates.

The program can be defined so that it doesn't change  $x_0, \ldots, x_{k-1}, z_0, \ldots, z_l$ .

For U we say it is a URM program which computes

 $\mathbf{y} \simeq f(\mathbf{x}_0, \dots, \mathbf{x}_{k-1})$  and preserves  $z_0, \dots, z_l$ .

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

3-81

## Intuition behind Lem. 3.2

- Lemma 3.2 means that if *f* is URM-computable then we can define a URM-program in such a way that
  - it takes the arguments from registers we have chosen,
  - and stores the result in a register we have chosen,
  - and does this in such a way that the content of the input registers and of some other registers we have choosen are not modified.
  - This is possible as long as the input registers and the output register are all different.

### Idea of the proof

- First copy the arguments in some other registers, so that the arguments are preserved.
- Then compute the function on those auxiliary registers and make sure that the computation doesn't affect the registers to be preserved.
- Then move the result into the register chosen as output register, and set variables x<sub>0</sub>,..., x<sub>k-1</sub>, z<sub>0</sub>,..., z<sub>l</sub> back to their original (stored) values.

Omit Proof.

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

## Proof

Let U be a URM program s.t.  $U^{(k)} = f$ .

Let  $u_0, \ldots, u_{k-1}$  be registers different from the above. By renumbering of registers and of jump addresses, we obtain a program U', which computes the result of

 $f(\mathtt{u}_0,\ldots,\mathtt{u}_{k-1})$  in  $\mathtt{u}_0$ 

leaves the registers mentioned in the lemma unchanged, and which, if it terminates, terminates in the first instruction following U'.

The following is a program as intended:

 $u_0 := x_0;$ ....  $u_{k-1} := x_{k-1};$ U' $y := u_0;$ 

#### 26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

### Lemma 3.3

- (a) zero, succ and  $proj_i^n$  are URM-computable.
- (b) If  $f : \mathbb{N}^n \xrightarrow{\sim} \mathbb{N}$ ,  $g_i : \mathbb{N}^k \xrightarrow{\sim} \mathbb{N}$  are URM-computable, so is  $f \circ (g_0, \dots, g_{n-1})$ .
- (c) If  $g : \mathbb{N}^n \xrightarrow{\sim} \mathbb{N}$ , and  $h : \mathbb{N}^{n+2} \xrightarrow{\sim} \mathbb{N}$  are URM-computable, so is the function f := primrec(g, h) defined by primitive recursion from g and h.
- (d) If  $g: \mathbb{N}^{n+1} \xrightarrow{\sim} \mathbb{N}$  is URM-computable, so is  $\mu(g)$ .

### Proof of Lemma 3.3 (a)

Let  $\mathbf{x}_i$  denote register  $\mathbf{R}_i$ . **Proof of (a)** 

- zero is computed by the following program:  $x_0 := 0$ .
- succ is computed by the following program:

 $x_0 := x_0 + 1.$ 

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

•  $proj_k^n$  is computed by the following program:

 $\mathbf{x}_0 := \mathbf{x}_k$ .

• Especially, if k = 0 then  $\text{proj}_k^n$  is the empty program (i.e. the program with no instructions this is since we defined  $x_0 := x_0$  to be the empty program.)

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

3-85

### Remark

- The Lemma is very powerful:
  - It shows that many functions are URM-computable.
  - This shows that for instance the exponential function is URM computable.
    - This follows since addition, multiplication and exponentiation can be defined by primitive recursion from the basic functions.
    - Writing a URM program directly which computes the exponential function would be very difficult.

Omit Proof.

# Proof of Lemma 3.3 (b)

Assume  $f : \mathbb{N}^n \xrightarrow{\sim} \mathbb{N}$ ,  $g_i : \mathbb{N}^k \xrightarrow{\sim} \mathbb{N}$  are URM-computable. Show  $f \circ (g_0, \ldots, g_{n-1})$  is computable. A plan for the program is as follows:

- Input is stored in registers  $x_0, \ldots, x_{k-1}$ . Let  $\vec{x} := x_0, \ldots, x_{k-1}$ .
- First we compute g<sub>i</sub>(x) for i = 0,...,n-1, store result in registers y<sub>i</sub>.
  - By Lemma 3.2 we can do this in such a way that x<sub>0</sub>,..., x<sub>k-1</sub> and the previously computed values g<sub>i</sub>(x), which are stored in y<sub>j</sub> for j < i are not destroyed.</li>
- Then compute  $f(y_0, \ldots, y_{n-1})$ , and store result in  $x_0$ .
- Then  $\mathbf{x}_0$  contains  $f(g_0(\vec{\mathbf{x}}), \dots, g_{n-1}(\vec{\mathbf{x}}))$ ).

#### 26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

### Proof of Lemma 3.3 (b)

- Let therefore  $U_i$  be a URM program (i = 0, ..., n 1), which computes  $y_i \simeq g_i(\vec{x})$  and preserves  $y_j$  for  $j \neq i$ .
- Let V be a URM program, which computes  $\mathbf{x}_0 \simeq f(\mathbf{y}_0, \dots, \mathbf{y}_{n-1})$ .

### Proof of Lemma 3.3 (b)

- U' is the program
- U<sub>0</sub>
- $U_{n-1}$

V

- Case 1: For one  $i g_i(\vec{x})\uparrow$ . The program will loop in program  $U_i$  for the first such i.  $U'^{(k)}(\vec{x})\uparrow$ ,  $f \circ (g_0, \ldots, g_{n-1})(\vec{x})\uparrow$ .
- Case 2: For all *i* g<sub>i</sub>(x) ↓.
   The program executes U<sub>i</sub>, sets y<sub>i</sub> ≃ g<sub>i</sub>(x<sub>0</sub>,...,x<sub>k-1</sub>) and reaches beginning of V.

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

3-89

## Proof of Lemma 3.3 (b)



CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

# Proof of Lemma 3.3 (b)

- U' is the program  $U_0$   $\dots$   $U_{n-1}$ V
  - Case 2.1:  $f(g_0(\vec{x}), \ldots, g_{n-1}(\vec{x}))\uparrow$ . V will loop,  $U'^{(k)}(\vec{x})\uparrow$ ,  $f \circ (g_0, \ldots, g_{n-1})(\vec{x})\uparrow$ .
  - Case 2.2: Otherwise. The program reaches the end of program V and result in x<sub>0</sub> ≃ f(g<sub>0</sub>(x),...,g<sub>n-1</sub>(x)). So U'<sup>(k)</sup>(x) ≃ (f ∘ (g<sub>0</sub>,...,g<sub>n-1</sub>))(x).

### Proof of Lemma 3.3 (b)

In all cases

$$\mathbf{U}^{\prime(k)}(\vec{\mathbf{x}}) \simeq (f \circ (g_0, \dots, g_{n-1}))(\vec{\mathbf{x}})$$

### Proof of Lemma 3.3 (c)

Computation of  $f(\vec{n}, l)$  for l > 0 is as follows:

- Compute  $f(\vec{n}, 0)$  as  $g(\vec{n})$ .
- Compute  $f(\vec{n}, 1)$  as  $h(\vec{n}, 0, f(\vec{n}, 0))$ , using the previous result.
- Compute  $f(\vec{n}, 2)$  as  $h(\vec{n}, 1, f(\vec{n}, 1))$ , using the previous result.
- **9** ...
- Compute  $f(\vec{n}, l)$  as  $h(\vec{n}, l 1, f(\vec{n}, l 1))$ , using the previous result.

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

3-93

## Proof of Lemma 3.3 (c)

Assume

$$q: \mathbb{N}^n \xrightarrow{\sim} \mathbb{N}$$
,  $h: \mathbb{N}^{n+2} \xrightarrow{\sim} \mathbb{N}$ 

are URM-computable.

Let

$$f := \mathsf{primrec}(g, h)$$

Show *f* is URM-computable. Defining equations for *f* are as follows (let  $\vec{n} := n_0, \dots, n_{n-1}$ ):

- $\ \ \, {\it I} f(\vec{n},0)\simeq g(\vec{n}) , \ \ \,$
- $\ \ \, {} { \ \ \, } f(\vec{n},k+1)\simeq h(\vec{n},k,f(\vec{n},k)). \label{eq:finite_field}$

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

## Proof of Lemma 3.3 (c)

Plan for the program:

- Jet x̄ := x<sub>0</sub>,..., x<sub>n−1</sub>. Let y, z, u be new registers.
- Compute  $f(\vec{x}, y)$  for  $y = 0, 1, 2, ..., x_n$ , and store result in z.
  - Initially we have y = 0 (holds for all registers except of x<sub>0</sub>,..., x<sub>n</sub> initially).
     We compute z ≃ g(x) (≃ f(x, 0)).
     Then y = 0, z ≃ f(x, 0).

### Proof of Lemma 3.3 (c)

- In step from y to y + 1:
  - $\label{eq:states} \textbf{ ssume that we have } \textbf{z} \simeq f(\vec{\textbf{x}},\textbf{y}).$
  - We want that after increasing y by 1 the loop invariant z ≃ f(x, y) still holds. Obtained as follows
    - · Compute  $\mathbf{u} \simeq h(\vec{\mathbf{x}}, \mathbf{y}, \mathbf{z})$
    - $(\simeq h(\vec{\mathbf{x}},\mathbf{y},f(\vec{\mathbf{x}},\mathbf{y})) \simeq f(\vec{\mathbf{x}},\mathbf{y}+1)).$
    - · Execute  $z := u \ (\simeq f(\vec{x}, y + 1))$ .
    - · Execute y := y + 1.
    - $\cdot \,$  At the end ,  $\mathbf{z} \simeq f(\vec{\mathbf{x}},\mathbf{y})$  for the new value of y.
- Repeat this until  $y = x_n$ .
- Once y has reached  $x_n$ , z contains  $f(\vec{x}, y) \simeq f(\vec{x}, x_n)$ .
- Execute  $x_0 := z$ .

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

3-97

## Proof of Lemma 3.3 (c)

### Let

- U be a URM program, which computes z ≃ g(x) and preserves y (by definition 3.2, it doesn't modify the arguments x of g);
- V be a program, which computes  $u \simeq h(\vec{x}, y, z)$ . (by definition 3.2, it doesn't change  $\vec{x}, y, z$ .)

## Proof of Lemma 3.3 (c)

Let  $\mathrm{U}^\prime$  be as follows:

U % Compute  $z \simeq g(\vec{x})(\simeq f(\vec{x}, 0))$ while  $(x_n \neq y)$  do { V % Compute  $u \simeq h(\vec{x}, y, z)$ % will be  $\simeq h(\vec{x}, y, f(\vec{x}, y)) \simeq f(\vec{x}, y + 1)$  z := u; y := y + 1;};  $x_0 := z;$ 

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

## Proof of Lemma 3.3 (c)

### **Correctness of this program:**

- When U has terminated, we have y = 0 and  $z \simeq g(\vec{x}) \simeq f(\vec{x}, y)$ .
- After each iteration of the while loop, we have y := y' + 1 and z ≃ h(x, y', z').
   (y', z' are the previous values of y, z, respectively.)
- Therefore we have  $z \simeq f(\vec{x}, y)$ .
- The loop terminates, when y has reached x<sub>n</sub>.
   Then z contains f(x, y).
   This is stored in x<sub>0</sub>.

### Proof of Lemma 3.3 (c)

- If  ${\rm U}$  loops for ever, or in one of the iterations  ${\rm V}$  loops for ever, then:
  - U' loops,  $U'^{(n+1)}(\vec{x}, \mathbf{x}_n)\uparrow$ .
  - $f(\vec{\mathbf{x}},k)$  for some  $k < \mathbf{x}_n$ ,
  - subsequently  $f(\vec{\mathbf{x}}, l)\uparrow$  for all l > k.
  - Especially,  $f(\vec{\mathbf{x}}, \mathbf{x}_n)$   $\uparrow$ .
  - Therefore  $f(\vec{\mathbf{x}}, \mathbf{x}_n) \simeq U'^{(n+1)}(\vec{\mathbf{x}}, \mathbf{x}_n)$ .

### Proof of Lemma 3.3 (d)

Plan for the program:

- Compute  $g(\vec{x}, 0)$ ,  $g(\vec{x}, 1)$ ,... until we find a k s.t.  $g(\vec{x}, k) \simeq 0$ . Then return k.
- This is carried out by executing

 $\mathbf{z} \simeq g(\vec{\mathbf{x}}, \mathbf{y})$ 

and successively increasing y by 1 until we have z = 0.

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

3-101

## Proof of Lemma 3.3 (d)

Assume

$$g:\mathbb{N}^{n+1}\xrightarrow{\sim}\mathbb{N}$$

is URM-computable. Show

 $\mu(g)$ 

is URM-computable as well. Note  $\mu(g)(\mathbf{x}_0, \dots, \mathbf{x}_{k-1})$  is the minimal z s.t.

$$g(\mathbf{x}_0,\ldots,\mathbf{x}_{k-1},\mathbf{z})\simeq 0$$
 .

Let  $\vec{x} := x_0, \dots, x_{k-1}$  and let y, z be registers different from  $\vec{x}$ .

## Proof of Lemma 3.3 (d)

Let U compute

CS\_226 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

$$\mathsf{z} \simeq g(\mathsf{x}_0, \ldots, \mathsf{x}_{k-1}, \mathsf{y}) \;$$
,

(and preserve the arguments  $x_0, \ldots, x_{k-1}, y$ .) Let V be as follows:

### Omit rest of proof.

### Proof of Lemma 3.3 (d)

$$\label{eq:viscous} \begin{split} V \text{ is } & \texttt{repeat}\{U; \texttt{y} := \texttt{y} + 1; \} \texttt{ until } (\texttt{z} = 0); \\ & \texttt{y} := \texttt{y} - 1; \texttt{x}_0 := \texttt{y}; \end{split}$$

Initially y = 0. After each iteration of the repeat loop, we have

 $\mathbf{y} := \mathbf{y}' + 1$ ,  $\mathbf{z} \simeq g(\mathbf{x}_0, \dots, \mathbf{x}_{k-1}, \mathbf{y}')$ 

(y' is the value of y before this iteration). If the loop terminates, we have

 $z \simeq 0$  y = y' + 1

where y' is the first value, such that  $g(\mathbf{x}_0, \dots, \mathbf{x}_{k-1}, \mathbf{y}') \simeq 0$ .

26 Computability Theory, Michaelmas Term 2008, Sec. 3 (c)

3-105

## Proof of Lemma 3.3 (d)

- Finally y is decreased by one.
- Then y is the least y s.t.

 $g(\mathbf{x}_0,\ldots,\mathbf{x}_{k-1},\mathbf{y})\simeq 0$  .

•  $x_0$  is then set to that value.